



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

Limited Liability Company
Annual Report

Filing Period: September 1 - November 1

In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2017

1. ID No. 000137121

2. Exact Name of the Limited Liability Company PRUCO SECURITIES, LLC

3. State of Formation

State: NJ

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

524298

4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island

THIS ENTITY IS A BROKER-DEALER AND PROVIDES INVESTMENT ADVISORY SERVICES.

5. Principal Office Address

No. and Street: 751 BROAD ST.
21ST FLOOR

City or Town: NEWARK State: NJ Zip: 07102 Country: USA

6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:

Contact Name: Contact Title:
No. and Street: 751 BROAD ST.
21ST FLOOR

City or Town: NEWARK State: NJ Zip: 07102 Country: USA

7. Name and Address of Each Manager of the Limited Liability Company, if Applicable.
DO NOT LIST MEMBERS

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country

MANAGER	JOHN G GORDON	213 WASHINGTON ST, WASH NEWARK, NJ 07102 USA
MANAGER	SALENE HITCHCOCK-GEAR	213 WASHINGTON ST, WASH NEWARK, NJ 07102 USA
MANAGER	PATRICK L HYNES	1 MILL RIDGE LN, MILL RIDGE FARM CHESTER, NJ 07930 USA
MANAGER	KEVIN M BRAYTON	280 TRUMBULL ST, 1 COMMERCIAL PLZ HARTFORD, CT 06103 USA
MANAGER	CAROLINE A FEENEY	213 WASHINGTON ST, WASH NEWARK, NJ 07102 USA
MANAGER	ANTHONY M FONTANO	213 WASHINGTON ST, WASH NEWARK, NJ 07102 USA
MANAGER	PETER C GAYLE	200 WOOD AVE S, ISELIN ISELIN, NJ 08830 USA

**8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER
Changes Require Filing of Form 642 - R.I.G.L. 7-16-11**

CT CORPORATION SYSTEM 450 VETERANS MEMORIAL PARKWAY, SUITE 7A EAST
PROVIDENCE , RI 02914

9. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).

Signed this 16 Day of October, 2017 at 4:41:24 PM by the authorized person. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.

By DARETH JEFFERS
Signature of Authorized Person

Form No. 632
Revised 09/07

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