RI SOS Filing Number: 201755200440 Date: 12/19/2017 3:59:00 PM



State of Rhode Island and Providence Plantations Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2018

1. Corporate ID No. 000791873

2. Name of Corporation Upstart Network, Inc.

3. Street Address Principal Business Office:

No. and Street: 2 CIRCLE STAR WAY

City or Town: SAN CARLOS State: CA Zip: 94070 Country: USA

4. Business Phone No.

6502041000

5. State of Incorporation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes <u>here.</u> More information on <u>NAICS</u> can be found online.

522291

6. Brief Description of the Character of Business Conducted in Rhode Island

<u>UPSTART OPERATES A LENDING AND INVESTMENT PLATFORM THAT ENABLES INDIVIDUALS TO BORROW MONEY BY OBTAINING A LOAN VIA ITS WEBSITE AND ALLOWS INVESTORS TO INVEST IN THESE LOAN VIA ITS WEBSITE.</u>

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name Address	
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
DIRECTOR & PRESIDENT	DAVE GIROUARD	2 CIRLE STAR WAY

		SAN CARLOS, CA 94070 USA	
DIRECTOR & SECRETARY	ALISON NICOLL	2 CIRCLE STAR WAY SAN CARLOS, CA 94070 USA	

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares Number of Shares	Total Issued and Outstanding Num of Shares
PWP	А	\$0.0001	4,701,457.00	0
CWP		\$0.0001	25,900,000.00	120
PWP	А	\$0.0001	2,009,641.00	20

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 19 Day of December, 2017 at 4:01:24 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By VICTORIA WONG

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

© 2007 - 2017 State of Rhode Island and Providence Plantations All Rights Reserved