



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2018

**1. Corporate ID No.** 000042986

**2. Name of Corporation** Thrivent Investment Management Inc.

**3. Street Address Principal Business Office:**

No. and Street: MS - REG FINANCIAL

625 FOURTH AVENUE SOUTH

City or Town: MINNEAPOLIS

State: MN Zip: 55415-1665 Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: DE

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

524210

**6. Brief Description of the Character of Business Conducted in Rhode Island**

TO ACT AS A BROKER-DEALER FOR THE SALE OF MUTUAL FUND SHARES.

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	KAREN LARSON	625 FOURTH AVE S MINNEAPOLIS, MN 55415 USA

TREASURER	KURT S TURESON	625 FOURTH AVE S MINNEAPOLIS, MN 55415 USA
VICE PRESIDENT	NIKKI L SORUM	625 FOURTH AVE S MINNEAPOLIS, MN 55415 USA
CHIEF COMPLIANCE OFFICER	ANDREA GOLIS	625 FOURTH AVE S MINNEAPOLIS, MN 55415 USA
DIRECTOR	MIKE FUEHRMEYER	625 FOURTH AVE S MINNEAPOLIS, MN 55415 USA
VICE PRESIDENT	JENNIFER GLOVACKI	625 FOURTH AVE S MINNEAPOLIS, MN 55415 USA
VP SERV OPS	BRUCE KORNAUS	625 FOURTH AVE S MINNEAPOLIS, MN 55415 USA
ASST SECRETARY	TRACY SALWEI	625 FOURTH AVE S MINNEAPOLIS, MN 55415 USA
VP CORPORATE ADMIN	SUE PLAMANN	625 FOURTH AVE S MINNEAPOLIS, MN 55415 USA
VICE PRESIDENT	MIKE FUEHRMEYER	625 FOURTH AVE S MINNEAPOLIS, MN 55415 USA
VP, SUPERVISION	CHRISTOPHER OSBORNE	625 FOURTH AVE S MINNEAPOLIS, MN 55415 USA
SECRETARY	PETER BADO	625 FOURTH AVE S MINNEAPOLIS, MN 55415 USA
VICE PRESIDENT	DAVE KLOSTER	625 FOURTH AVE S MINNEAPOLIS, MN 55415 USA
VICE PRESEIDENT	THOMAS YOUNG	625 FOURTH AVE S MINNEAPOLIS, MN 55415 USA
DIRECTOR	KAREN LARSON	625 FOURTH AVE S MINNEAPOLIS, MN 55415 USA
DIRECTOR	RANDALL L BOUSHEK	625 FOURTH AVE S MINNEAPOLIS, MN 55415 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.0100	1,000.00	98

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 10 Day of January, 2018 at 5:29:56 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By KURT S TURESON  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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