

# State of Rhode Island and Providence Plantations Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

# Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2018

1. Corporate ID No. 000108923

2. Name of Corporation GWN Securities, Inc.

3. Street Address Principal Business Office:

No. and Street: <u>11440 NORTH JOG ROAD</u>, <u>SUITE 101</u>

City or Town: PALM BEACH GARDENS State: FL Zip: 33418-1766 Country: USA

4. Business Phone No.

8003261825

5. State of Incorporation

State: FL

### ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes <u>here.</u> More information on <u>NAICS</u> can be found online.

523120

6. Brief Description of the Character of Business Conducted in Rhode Island

## NON-CLEARING BROKER DEALER.

## 7. Names and Addresses of the Officers and Directors:

### All officers and directors must be listed.

Title	Individual Name	Address	
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country	
PRESIDENT	DENIS S WALSH	11440 NORTH JOG ROAD PALM BEACH GARDENS, FL 33418 USA	
TREASURER	MARIO MONTEIRO	11440 NORTH JOG ROAD	

		PALM BEACH GARDENS, FL 33418 USA	
SECRETARY	MARY T WALSH	11440 NORTH JOG ROAD PALM BEACH GARDENS, FL 33418 USA	
CFO	BARRY RITTMAN	11440 NORTH JOG RD PALM BEACH GARDENS, FL 33418 USA	
VICE PRESIDENT	BARRY RITTMAN	11440 NORTH JOG RD PALM BEACH GARDENS, FL 33418 USA	
VICE PRESIDENT	MARIO MONTEIRO	11440 NORTH JOG ROAD PALM BEACH GARDENS, FL 33418 USA	
VICE PRESIDENT	LAURA COGNETTI	11440 NORTH JOG ROAD PALM BEACH GARDENS, FL 33418 USA	
DIRECTOR	DENIS S WALSH	11440 NORTH JOG ROAD PALM BEACH GARDENS, FL 33418-1766 USA	
DIRECTOR	KATHLEEN WALSH	11440 NORTH JOG RD., STE. 101 PALM BEACH GARDENS, FL 33418 USA	
DIRECTOR	PATRICK WALSH	11440 NORTH JOG RD., PALM BEACH GARDENS, FL 33418 USA	

### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares Number of Shares	Total Issued and Outstanding Num of Shares
CWP		\$0.0100	1,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

**Signed this 18 Day of January, 2018 at 3:36:32 PM.** This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

## By BARRY RITTMAN

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

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