



State of Rhode Island and Providence Plantations
Department of State - Business Services Division

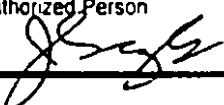
Annual Report for the year: **2018**

Limited Liability Company

→ Filing period: September 1 - November 1

→ Filing Fee: \$50.00

→ Penalty: Additional \$25.00 fee if form is not filed by December 1.

1. Entity ID Number 001659857		2. Exact name of the Limited Liability Company MMC Securities LLC			
3. NAICS Code 523120		4. Brief description of the character of business conducted in Rhode Island REGISTERED BROKER DEALER			
5. State of Formation DELAWARE					
6. Principal Office Address 1209 ORANGE STREET		City WILMINGTON		State DE	Zip 19801
7. Mailing Address of Limited Liability Company and Name or Title of Contact Person					
Contact Name JOSEPH P GIGLIOTTI		Contact Title VICE PRESIDENT - TAX			
Street Address 121 RIVER STREET, 3RD FL - TAX DEPT		City HOBOKEN		State NJ	Zip 07030
8. List ALL managers (names and addresses) of the Limited Liability Company. IF APPLICABLE - DO NOT LIST MEMBERS					
Manager Name KIM BLACKMORE		Manager Name JOSEPH P GILGLIOTTI			
Street Address 121 RIVER STREET		Street Address 121 RIVER STREET, 3RD FL - TAX DEPARTMENT			
City HOBOKEN	State NJ	Zip 07030	City HOBOKEN	State NJ	Zip 07030
Manager Name CHRISTOPHER EZBIANSKY		Manager Name MARIANE LIEBOWITZ			
Street Address 1166 AVE OF THE AMERICAS		Street Address 1166 AVE OF THE AMERICAS			
City NEW YORK	State NY	Zip 10036	City NEW YORK	State NY	Zip 10036
Check the box to indicate an attachment ☐					
9. Resident Agent in Rhode Island. This information is currently of record with the Department of State. Changes require filing Form 642.					
Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.					
Name of Authorized Person JOSEPH P GIGLIOTTI				Date 1/29/2018	
Signature of Authorized Person 				SIGN DOCUMENT HERE	

MAIL TO:

Division of Business Services

148 W. River Street, Providence, Rhode Island 02904-2615

Phone: (401) 222-3040

Website: www.sos.n.gov

FILED

FEB 09 2018

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