State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: $50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

Foreign Business Corporation
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of $25.00.

ANNUAL REPORT YEAR: 2018

1. Corporate ID No. 000142593

2. Name of Corporation ROACH HOWARD SMITH & BARTON, INC.

3. Street Address Principal Business Office:

No. and Street: 8750 N CENTRAL EXPWY STE 500
City or Town: DALLAS State: TX Zip: 75231 Country: USA

4. Business Phone No.

9727442702

5. State of Incorporation

State: TX

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes here. More information on NAICS can be found online.

524210

6. Brief Description of the Character of Business Conducted in Rhode Island

INSURANCE AGENCY SALES / MARKETING

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
SECRETARY	BARTON TUCKER	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
CEO	THOMAS HUGHSTON	8750 N CENTRAL EXPY STE 500

		DALLAS, TX 75231 USA
COO	JOHN LOSURDO	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	GWEN BIEHLER	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
SR. VICE PRESIDENT	JOHN HALL III	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
SR. VICE PRESIDENT	DOUG JONES	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
SR. VICE PRESIDENT	BARTON H TUCKER	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	COURTNEY WOODRUFF	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	KAREN E BITZER	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
ASST. VICE PRESIDENT	KELEIGH BUCHANAN	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	DENISE BUMP	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
SR. VICE PRESIDENT	DAVID COOPER	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
CONTROLLER	GRADY ALLUMS	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
SR. VICE PRESIDENT	DOROTHY HEDMAN	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
SR. VICE PRESIDENT	DAVID KOHL	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	SPENCER MCCLENAHAN	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	BRIAN TUCKER	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	LYNN LODGE	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
ASST VICE PRESIDENT	ALLISON NIXON	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	ANDREW RAETZMAN	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	JULIA MILLER-SPRACKLEN	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
VICE PRESIDENT	SHELLIE CROY	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
ASST VICE PRESIDENT	DANIEL RUPPER	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
ASST VICE PRESIDENT	AUSTIN JANUARY	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
ASST VICE PRESIDENT	JOHN SANDERS	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
ASST VICE PRESIDENT	NICHOLAS GALATI	8750 N CENTRAL EXPRESSWAY STE 500 DALLAS, TX 75231 USA
DIRECTOR	DOUGLAS JONES	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
DIRECTOR	JOHN HALL III	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
DIRECTOR	THOMAS A HUGHSTON	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA

DIRECTOR	BARTON H TUCKER	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA
DIRECTOR	DAVID KOHL	8750 N CENTRAL EXPY STE 500 DALLAS, TX 75231 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares *Number of Shares*	Total Issued and Outstanding *Num of Shares*
CWP		$0.1000	300,000.00	75983

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 12 Day of February, 2018 at 4:24:53 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By VICKI STEVENS
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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