



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2018

**1. Corporate ID No.** 000062642

**2. Name of Corporation** Franklin/Templeton Distributors, Inc.

**3. Street Address Principal Business Office:**

No. and Street: ONE FRANKLIN PARKWAY

City or Town: SAN MATEO

State: CA Zip: 94403-1906 Country: USA

**4. Business Phone No.**

650-312-4262

**5. State of Incorporation**

State: NY

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523930

**6. Brief Description of the Character of Business Conducted in Rhode Island**

MUTUAL FUND DISTRIBUTOR

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

| Title                 | Individual Name<br>First, Middle, Last, Suffix | Address<br>Address, City or Town, State, Zip Code, Country |
|-----------------------|--|--|
| SENIOR VICE PRESIDENT | YAQUB AHMED                                    | 140 FOUNTAIN PARKWAY<br>SAINT PETERSBURG, FL 33716 USA     |
| SENIOR VICE PRESIDENT | ALLISON J. BAUMANN                             | ONE FRANKLIN PARKWAY                                       |

|   |                       |  |
|---|-----------------------|--|
|   |                       | SAN MATEO, CA 94403 USA                                |
| TREASURER                                 | GWEN SHANEYFELT       | ONE FRANKLIN PARKWAY<br>SAN MATEO, CA 94403 USA        |
| SENIOR VICE PRESIDENT                     | MICHAEL D MAHONEY     | 3366 QUALITY DRIVE<br>RANCHO CORDOVA, CA 95670 USA     |
| SENIOR VICE PRESIDENT                     | JOSEPH L. EPSTEIN, JR | 140 FOUNTAIN PARKWAY<br>SAINT PETERSBURG, FL 33716 USA |
| SENIOR VICE PRESIDENT                     | JIMMY A. ESCOBEDO     | 140 FOUNTAIN PARKWAY<br>SAINT PETERSBURG, FL 33716 USA |
| SENIOR VICE PRESIDENT                     | DANIEL R. GRIP        | 140 FOUNTAIN PARKWAY<br>SAINT PETERSBURG, FL 33716 USA |
| VICE PRESIDENT AND ASSISTANT<br>SECRETARY | STEVEN GRAY           | ONE FRANKLIN PARKWAY<br>SAN MATEO, CA 94403 USA        |
| SECRETARY                                 | MARIA GRAY            | ONE FRANKLIN PARKWAY<br>SAN MATEO, CA 94403 USA        |
| EXECUTIVE VICE PRESIDENT                  | THOMAS REGNER         | 140 FOUNTAIN PARKWAY<br>ST. PETERSBURG, FL 33716 USA   |
| SENIOR VICE PRESIDENT                     | RONICE L BARLOW       | 200 KING STREET WEST<br>TORONTO, ON M5H 3T4 CA         |
| SENIOR VICE PRESIDENT                     | PIERRE CARAMAZZA      | 140 FOUNTAIN PARKWAY<br>SAINT PETERSBURG, FL 33716 USA |
| VICE PRESIDENT                            | SEAN B. O MALLEY      | ONE FRANKLIN PARKWAY<br>SAN MATEO, CA 94403 USA        |
| VICE PRESIDENT                            | CHRISTINA STRUKEL     | 140 FOUNTAIN PARKWAY<br>SAINT PETERSBURG, FL 33716 USA |
| SENIOR VICE PRESIDENT                     | JEFFERY ROSE          | ONE FRANKLIN PARKWAY<br>SAN MATEO, CA 94403 USA        |
| DIRECTOR                                  | JED A. PLAFKER        | ONE FRANKLIN PARKWAY<br>SAN MATEO, CA 94403 USA        |
| CHIEF COMPLIANCE OFFICER                  | ROBERT LIM            | ONE FRANKLIN PARKWAY<br>SAN MATEO, CA 94403 USA        |
| DIRECTOR                                  | THOMAS REGNER         | 140 FOUNTAIN PARKWAY<br>ST. PETERSBURG, FL 33716 USA   |
| SENIOR VICE PRESIDENT                     | ROBERT M GEPPNER      | 140 FOUNTAIN PARKWAY<br>ST. PETERSBURG, FL 33716 USA   |
| CFO & DESIGNATED FINANCIAL<br>PRINCIPAL   | ANGELINA HENDRAKA     | ONE FRANKLIN PARKWAY<br>SAN MATEO, CA 94403 USA        |
| SENIOR VICE PRESIDENT                     | ROGER J MICHAUD       | 140 FOUNTAIN PARKWAY<br>ST. PETERSBURG, FL 33716 USA   |
| PRESIDENT                                 | DANIEL T O'LEAR       | 101 JFK PARKWAY<br>SHORT HILLS, NJ 07078 USA           |
| EXECUTIVE VICE PRESIDENT                  | DAVID MCSPADDEN       | ONE FRANKLIN PARKWAY<br>SAN MATEO, CA 94403 USA        |
| DIRECTOR                                  | DANIEL T. O'LEAR      | 101 JFK PARKWAY<br>SHORT HILLS, NJ 07078 USA           |

## 8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized<br>Shares<br><i>Number of Shares</i> | Total Issued<br>and<br>Outstanding<br><i>Num of<br/>Shares</i> |
|----------------|-----------------|---------------------|---|--|
| CWP            |                 | \$1.0000            | 20,000.00   | 2355   |

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 13 Day of February, 2018 at 5:58:14 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By MARIA GRAY  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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