



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

*Filing Period: January 1 - March 1*

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2018

**1. Corporate ID No.** 000789958

**2. Name of Corporation** Aria Retirement Solutions, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 505 MONTGOMERY STREET, 11TH FLOOR

City or Town: SAN FRANCISCO

State: CA Zip: 94111 Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: DE

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

524210

**6. Brief Description of the Character of Business Conducted in Rhode Island**

INSURANCE AGENCY

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
CEO	DAVID STONE	505 MONTGOMERY STREET, 11TH FLOOR SAN FRANCISCO, CA 94111 USA
CFO	KAREN CHANG	505 MONTGOMERY STREET, 11TH FLOOR

		SAN FRANCISCO, CA 94111 USA
DIRECTOR	DAVID STONE	505 MONTGOMERY STREET, 11TH FLOOR SAN FRANCISCO, CA 94111 USA
DIRECTOR	RUSSELL PARKER	505 MONTGOMERY STREET, 11TH FLOOR SAN FRANCISCO, CA 94111 USA
DIRECTOR	ALAN SPOON	505 MONTGOMERY STREET, 11TH FLOOR SAN FRANCISCO, CA 94111 USA
DIRECTOR	KEVIN OSBORN	505 MONTGOMERY STREET, 11TH FLOOR SAN FRANCISCO, CA 94111 USA
SECRETARY	DAVID STONE	505 MONTGOMERY STREET, 11TH FLOOR SAN FRANCISCO, CA 94111 USA
PRESIDENT	EDWARD J MERCIER	505 MONTGOMERY STREET, 11TH FLOOR SAN FRANCISCO, CA 94111 USA
DIRECTOR	THOMAS STREIFF	505 MONTGOMERY STREET, 11TH FLOOR SAN FRANCISCO, CA 94111 USA

## 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
PWP	B	\$0.0001	1,345,985.00	2228440
CWP		\$0.0001	3,800,000.00	918005
PWP	A	\$0.0001	1,000,000.00	1199625

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 1 Day of March, 2018 at 5:22:21 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By KAREN CHANG

Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07