



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2018

1. Corporate ID No. 000108426

2. Name of Corporation Scottrade, Inc.

3. Street Address Principal Business Office:

No. and Street: 700 MARYVILLE CENTRE DRIVE
City or Town: ST. LOUIS

State: MO Zip: 63141 Country: USA

4. Business Phone No.

5. State of Incorporation

State: AZ

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

524210

6. Brief Description of the Character of Business Conducted in Rhode Island

BROKERAGE FIRM

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	PETER DESILVA	700 MARYVILLE CENTRE DRIVE SAINT LOUIS, MO 63141 USA
TREASURER	WILLIAM T YATES	200 S. 108TH AVENUE

		OMAHA, NE 68154 USA
SECRETARY	JANIS CAMPANELLA	200 S. 108TH AVENUE OMAHA, NE 68154 USA
CHIEF FINANCIAL OFFICER	STEPHEN J BOYLE	200 S. 108TH AVENUE OMAHA, NE 68154 USA
CHIEF RISK OFFICER	DAVID R KIMM	200 S. 108TH AVENUE OMAHA, NE 68154 USA
OTHER OFFICER		
CHIEF COMPLIANCE OFFICER	SUSAN M BOUDROT	200 S. 108TH AVENUE OMAHA, NE 68154 USA
EXECUTIVE VICE PRESIDENT	THOMAS A NALLY	200 S. 108TH AVENUE OMAHA, NE 68154 USA
SENIOR VICE PRESIDENT	STEVEN M QUIRK	200 S. 108TH AVENUE OMAHA, NE 68154 USA
VICE PRESIDENT	JUDITH K RICKETTS	200 S. 108TH AVENUE OMAHA, NE 68154 USA
VICE PRESIDENT	ALBERT G SCHWEISS	200 S. 108TH AVENUE OMAHA, NE 68154 USA
VICE PRESIDENT	SCOTT R SEIFFERT	200 S. 108TH AVENUE OMAHA, NE 68154 USA
CHIEF ACCOUNTING OFFICER	SCOTT R SEIFFERT	200 S. 108TH AVENUE OMAHA, NE 68154 USA
DIRECTOR	PETER DESILVA	700 MARYVILLE CENTRE DRIVE ST. LOUIS, MO 63141 USA
DIRECTOR	STEPHEN J BOYLE	200 S. 108TH AVENUE OMAHA, NE 68154 USA
DIRECTOR	THOMAS A NALLY	200 S. 108TH AVENUE OMAHA, NE 68154 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CNP		\$0.0000	7,500,000.00	10

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 1 Day of March, 2018 at 5:29:21 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By JANIS CAMPANELLA
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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