



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS  
Office of the Secretary of State  
Matthew A. Brown, Secretary of State

Corporations Division  
100 North Main Street  
Providence, RI 02903-1335  
401.222.3040

# PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2005

Filing Period: January 1 - March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED OR PRINTED IN BLACK)

|  |              |  |  |                     |              |
|--|--------------|--|--|---------------------|--------------|
| 1. Corporate ID No.<br>110207  |              | 2. Name of Corporation<br>USI Consulting Group, Inc. |  |                     |              |
| 3. Street Address Principal Business Office<br>95 Glastonbury Road,  |              | City<br>Glastonbury                                  | State<br>CT  | Zip<br>06033        |              |
| 4. Business Phone No.<br>(860) 633-5283  |              | 5. State of Incorporation<br>CONNECTICUT             |  | 6. SIC Code<br>7286 |              |
| 7. Brief Description of the Character of Business Conducted in Rhode Island<br>TRANSACTIONING BUSINESS IN LIFE ACCIDENT AND HEALTH INSURANCE AND COLLECTING COMMISSIONS. |              |  |  |                     |              |
| 8. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS                             |              |  |  |                     |              |
| President Name<br>Jim Kinney   |              | Vice President Name<br>David Hens                    |  |                     |              |
| Street Address<br>555 Pleasantville Road, Ste. 1605  |              | Street Address<br>555 Pleasantville Road, Ste. 1605  |  |                     |              |
| City<br>Briarcliff Manor   | State<br>NY  | Zip<br>10510   | City<br>Briarcliff Manor   | State<br>NY         | Zip<br>10510 |
| Secretary Name<br>Ernest Newborn   |              | Treasurer Name<br>Robert Schneider                   |  |                     |              |
| Street Address<br>555 Pleasantville Road, Ste. 1605  |              | Street Address<br>555 Pleasantville Road, Ste. 1605  |  |                     |              |
| City<br>Briarcliff Manor   | State<br>NY  | Zip<br>10510   | City<br>Briarcliff Manor   | State<br>NY         | Zip<br>10510 |
| 9. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS                                       |              |  |  |                     |              |
| Director Name<br>Ernest Newborn  |              | Director Name  |  |                     |              |
| Street Address<br>555 Pleasantville Road, Ste. 1605  |              | Street Address                                       |  |                     |              |
| City<br>Briarcliff Manor   | State<br>NY  | Zip<br>10510   | City   | State               | Zip          |
| Director Name  |              | Director Name  |  |                     |              |
| Street Address   |              | Street Address                                       |  |                     |              |
| City   | State        | Zip  | City   | State               | Zip          |
| 10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/><br>AUTHORIZED SHARES   |              |  | 11. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/><br>ISSUED SHARES |                     |              |
| Number of Shares   | Class/Series | Par Value  | Number of Shares   | Class/Series        | Par Value    |
| 5,000 COMM NO PAR VALUE  |              |  | 100  |                     |              |
|  |              |  |  |                     |              |

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



\*110207\*

File Date **FILED** JAN 11 2005  
Check No. **JAN 11 2005**  
By: **542336**  
FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer **Namee Oberst** Date **1/7/05**  
Print or Type Name of Officer **Assistant Secretary**  
Title of Officer

8. Other Names and addresses of officers and directors of the corporation are:

**Name and Title**

**Address**

Namee Oberst – Ass't Secretary 555 Pleasantville Road, Ste. 160S, Briarcliff Manor, NY 10510



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100 North Main Street  
Providence, RI 02903-1335  
401.222.3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2004

Filing Period: January 1 - March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED OR PRINTED IN BLACK)

|   |                    |   |                          |                            |
|---|--------------------|---|--------------------------|----------------------------|
| 1. Corporate ID No.<br><b>110207</b>  |                    | 2. Name of Corporation<br><b>USI Consulting Group, Inc.</b> |                          |                            |
| 3. Street Address Principal Business Office<br><b>95 Glastonbury Blvd</b>   |                    | City<br><b>Glastonbury</b>                                  | State<br><b>CT</b>       | Zip<br><b>06033</b>        |
| 4. Business Phone No.<br><b>(860) 633-5000</b>  |                    | 5. State of Incorporation<br><b>CONNECTICUT</b>             |                          | 6. SIC Code<br><b>7286</b> |
| 7. Brief Description of the Character of Business Conducted in Rhode Island<br><b>TRANSACTIONING BUSINESS IN LIFE ACCIDENT AND HEALTH INSURANCE AND COLLECTING COMMISSIONS.</b> |                    |   |                          |                            |
| 8. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS   |                    |   |                          |                            |
| President Name<br><b>J. Rubinstein</b>  |                    | Vice President Name   |                          |                            |
| Street Address<br><b>95 Glastonbury Blvd</b>  |                    | Street Address  |                          |                            |
| City<br><b>Glastonbury</b>  | State<br><b>CT</b> | Zip<br><b>06033</b>   | City                     | State                      |
| Secretary Name<br><b>Ernest J. Newborn II</b>   |                    | Treasurer Name<br><b>Robert S. Schneider</b>                |                          |                            |
| Street Address<br><b>50 California Street 24th Fl</b>   |                    | Street Address<br><b>555 Pleasantville Rd Ste 1100 S</b>    |                          |                            |
| City<br><b>San Francisco</b>  | State<br><b>CA</b> | Zip<br><b>94111</b>   | City<br><b>Brimfield</b> | State<br><b>MA</b>         |
| 9. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS  |                    |   |                          |                            |
| Director Name<br><b>ERNEST J NEWBORN II</b>   |                    | Director Name   |                          |                            |
| Street Address<br><b>50 California St. 24th Floor</b>   |                    | Street Address  |                          |                            |
| City<br><b>San Francisco</b>  | State<br><b>CA</b> | Zip<br><b>94111</b>   | City                     | State                      |
| Director Name   |                    | Director Name   |                          |                            |
| Street Address  |                    | Street Address  |                          |                            |
| City  | State              | Zip   | City                     | State                      |
| 10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> 11. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/>                                     |                    |   |                          |                            |
| AUTHORIZED SHARES   |                    | ISSUED SHARES   |                          |                            |
| Number of Shares  | Class/Series       | Par Value   | Number of Shares         | Class/Series               |
| <b>5,000 COMM NO PAR VALUE</b>  |                    |   | <b>908</b>               | <b>Comm</b>                |
|   |                    |   |                          | <b>NO PAR VALUE</b>        |

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



\* 1 1 0 2 0 7 \*

File Date **11/02/04**  
Check No. **111621**  
By **[Signature]**  
FOR SECRETARY OF STATE USE ONLY

**FILED**  
**MAR 01 2005**

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer

Date

**ERNEST J. NEWBORN II**  
Print or Type Name of Officer

**Secretary**  
Title of Officer



STATE OF RHODE ISLAND  
AND PROVIDENCE PLANTATIONS  
Office of the Secretary of State

Edward S. Inman, III, Secretary of State  
Corporations Division  
100 North Main Street, Providence, RI 02903-1335  
401-222-3040



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2003

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED OR PRINTED IN BLACK)

1. Corporate ID No. 110207 2. Name of Corporation USI Consulting Group, Inc.

3. Street Address Principal Business Office 95 Glastonbury Blvd.  
4. Business Phone No. 860-633-5283 5. State of Incorporation CONNECTICUT

6. Brief Description of the Character of Business Conducted in Rhode Island  
Insurance Brokerage and related services

8. NAMES AND ADDRESSES OF THE OFFICERS ("X" BOX FOR ATTACHMENT) **FILL IN SPACES BEFORE USING ATTACHMENTS**

President Name Douglas Rubinstein  
Street Address 95 Glastonbury Blvd.  
City Glastonbury State CT Zip 06033  
Secretary Name Ernest Newborn, Jr.  
Street Address 50 California St. 24 FL.  
City San Francisco State CA Zip 94111

Vice President Name William Tremko  
Street Address 95 Glastonbury Blvd.  
City Glastonbury State CT Zip 06033  
Treasurer Name Ed Bowler  
Street Address 50 California St. 24 FL  
City San Francisco State CA Zip 94111

9. NAMES AND ADDRESSES OF THE DIRECTORS ("X" BOX FOR ATTACHMENT) **FILL IN SPACES BEFORE USING ATTACHMENTS**

Director Name David Eslick  
Street Address 50 California St.  
City San Francisco State CA Zip 94111  
Director Name  
Street Address  
City State Zip

Director Name William Tremko  
Street Address 95 Glastonbury Blvd.  
City Glastonbury State CT Zip 06033  
Director Name  
Street Address  
City State Zip

10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT)

AUTHORIZED SHARES  
Number of Shares Class/Series Par Value  
5,000 COMM NO PAR VALUE

11. SHARES ISSUED ("X" BOX FOR ATTACHMENT)

ISSUED SHARES  
Number of Shares Class/Series Par Value  
908 common no par value

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



\* 1 1 0 2 0 7 \*

File Date: 2/24/03

Check No.: 7238052

By: [Signature]

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and the all statements contained herein are true and correct.

Signature of Officer

Date

Ernest J. Newborn

Print or Type Name of Officer

Title of Officer

5

Form 630 12/02



STATE OF RHODE ISLAND  
AND PROVIDENCE PLANTATIONS  
Office of the Secretary of State

Edward S. Inman, III, Secretary of State  
Corporations Division  
100 North Main Street, Providence, RI 02903-1335  
401-222-3040



# PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR \_\_\_\_\_

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. **110207** 2. Name of Corporation **USI Consulting Group, Inc.**  
3. Street Address Principal Business Office **95 Glastonbury Blvd.** City **Glastonbury** State **CT** Zip **06033**  
4. Business Phone No. **860-633-5283** 5. State of Incorporation  
6. SIC Code

7. Brief Description of the Character of Business Conducted in Rhode Island

## 8. NAMES AND ADDRESSES OF THE OFFICERS ("X" BOX FOR ATTACHMENT) FILL IN SPACES BEFORE USING ATTACHMENTS

President Name **Douglas Rubinstein**  
Street Address **95 Glastonbury Blvd.**  
City **Glastonbury** State **CT** Zip **06033**

Secretary Name **Ernest Newborn, II**  
Street Address **50 California St. 24th Fl.**  
City **San Francisco** State **CA** Zip **94111**

Vice President Name **William Tremko**  
Street Address **95 Glastonbury Blvd.**  
City **Glastonbury** State **CT** Zip **06033**  
Treasurer Name **Ed Bowler**  
Street Address **50 California St. 24th Fl.**  
City **San Francisco** State **CA** Zip **94111**

## 9. NAMES AND ADDRESSES OF THE DIRECTORS ("X" BOX FOR ATTACHMENT) FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name **Douglas Rubinstein**  
Street Address **95 Glastonbury Blvd.**  
City **Glastonbury** State **CT** Zip **06033**

Director Name **Dave Eslick**  
Street Address **50 California St. 24th Floor**  
City **SF** State **CA** Zip **94111**

Director Name **William Tremko**  
Street Address **95 Glastonbury Blvd.**  
City **Glastonbury** State **CT** Zip **06033**

Street Address  
City State Zip

## 10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT)

AUTHORIZED SHARES

| Number of Shares | Class/Series | Par Value |
|------------------|--------------|-----------|
| 5,000            | Common       | \$0       |

## 11. SHARES ISSUED ("X" BOX FOR ATTACHMENT)

ISSUED SHARES

| Number of Shares | Class/Series | Par Value |
|------------------|--------------|-----------|
| 5,000            | A            | \$0.50    |

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer

Date

**Ernest Newborn II**  
Print or Type Name of Officer

File Date:

Check No.:

4-8-02

5808

2



STATE OF RHODE ISLAND  
AND PROVIDENCE PLANTATIONS  
Office of the Secretary of State

Edward S. Inman, III, Secretary of State  
Corporations Division  
100 North Main Street, Providence, RI 02903-1335  
401-222-3040



PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2002

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. 2. Name of Corporation

110207

USI Consulting Group, Inc.

3. Street Address Principal Business Office

95 glastonbury blvd.

City

Glastonbury

State

CT

Zip

06033

4. Business Phone No.

860-633-5283

5. State of Incorporation

CONNECTICUT

6. SIC Code

7286

7. Brief Description of the Character of Business Conducted in Rhode Island

Benefit Consulting Services

8. NAMES AND ADDRESSES OF THE OFFICERS ("X" BOX FOR ATTACHMENT) • FILL IN SPACES BEFORE USING ATTACHMENTS

President Name

DOUGLAS RUBINSTEIN

Street Address

95 GLASTONBURY BLVD.

City

State

Zip

GLASTONBURY

CT

06033

Secretary Name

ERNEST NEWBORN, II

Street Address

50 CALIFORNIA STREET, 24 FL

City

State

Zip

SF

CA

94111

Vice President Name

WILLIAM TREMKO

Street Address

95GLASTONBURY BLVD.

City

State

Zip

GLASTONBURY

CT

06033

Treasurer Name

EDWARD BOWLER

Street Address

50 CALIFORNIA STREET, 24 FL

City

State

Zip

SF

CA

94110

9. NAMES AND ADDRESSES OF THE DIRECTORS ("X" BOX FOR ATTACHMENT) • FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name

WILLIAM TREMKO

Street Address

95 GLASTONBURY BLVD.

City

State

Zip

GLASTONBURY

CT

06033

Director Name

DAVID ESLICK

Street Address

50 CALIFORNIA STREET, 24 fl.

City

State

Zip

SAN FRANCISCO

CA

94111

Director Name

DOUGLAS RUBINSTEIN

Street Address

95 GLASTONBURY BLVD.

City

State

Zip

GLASTONBURY

CT

06033

Director Name

Street Address

City

State

Zip

10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares

Class/Series

Par Value

5,000 COMM NO PAR VALUE

11. SHARES ISSUED ("X" BOX FOR ATTACHMENT)

ISSUED SHARES

Number of Shares

Class/Series

Par Value

908

Comm

NO PAR

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



\* 1 1 0 2 0 7 \*

File Date:

3-29-02

Check No.:

5513

By:

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer

Treasurer EDWARD BOWLER

Print or Type Name of Officer

Date

3-22-02

Title of Officer

5

Form 630 12/01



STATE OF RHODE ISLAND  
AND PROVIDENCE PLANTATIONS  
Office of the Secretary of State

Corporations Division  
100 North Main Street, Providence, RI 02903-1335  
401-222-3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2001

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)



|   |                    |   |                              |                     |
|---|--------------------|---|------------------------------|---------------------|
| 1. Corporate ID No.<br><b>110207</b>  |                    | 2. Name of Corporation<br><b>USI Consulting Group, Inc.</b> |                              |                     |
| 3. Street Address Principal Business Office<br><b>95 Glastonbury</b>  |                    | City<br><b>Glastonbury</b>                                  | State<br><b>CT</b>           | Zip<br><b>06033</b> |
| 4. Business Phone No.   |                    | 5. State of Incorporation<br><b>CONNECTICUT</b>             |                              | 6. SIC Code         |
| 7. Brief Description of the Character of Business Conducted in Rhode Island<br><b>Insurance related services</b>                            |                    |   |                              |                     |
| 8. NAMES AND ADDRESSES OF THE OFFICERS ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS            |                    |   |                              |                     |
| President Name<br><b>Douglas J. Rubinstein</b>  |                    | Vice President Name   |                              |                     |
| Street Address<br><b>95 Glastonbury</b>   |                    | Street Address  |                              |                     |
| City<br><b>Glastonbury</b>  | State<br><b>CT</b> | Zip<br><b>06033</b>   | City                         | State<br><b>CT</b>  |
| Secretary Name<br><b>Ernest J. Newborn</b>  |                    | Treasurer Name<br><b>Edward J. Bowler</b>                   |                              |                     |
| Street Address<br><b>50 California St., 24th Fl.</b>  |                    | Street Address<br><b>50 California St., 24th Fl.</b>        |                              |                     |
| City<br><b>San Francisco</b>  | State<br><b>CA</b> | Zip<br><b>94111</b>   | City<br><b>San Francisco</b> | State<br><b>CA</b>  |
| 9. NAMES AND ADDRESSES OF THE DIRECTORS ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS           |                    |   |                              |                     |
| Director Name<br><b>Douglas Rubinstein</b>  |                    | Director Name<br><b>David L. Eslick</b>                     |                              |                     |
| Street Address<br><b>95 Glastonbury</b>   |                    | Street Address<br><b>50 California St., 24th Fl.</b>        |                              |                     |
| City<br><b>Glastonbury</b>  | State<br><b>CT</b> | Zip<br><b>06033</b>   | City<br><b>San Francisco</b> | State<br><b>CA</b>  |
| Director Name<br><b>Bernard H. Mizel</b>  |                    | Director Name<br><b>William M. Tremko</b>                   |                              |                     |
| Street Address<br><b>50 California St., 24th Fl.</b>  |                    | Street Address<br><b>95 Glastonbury</b>                     |                              |                     |
| City<br><b>San Francisco</b>  | State<br><b>CA</b> | Zip<br><b>94111</b>   | City<br><b>Glastonbury</b>   | State<br><b>CT</b>  |
| 10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> 11. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> |                    |   |                              |                     |
| AUTHORIZED SHARES   |                    | ISSUED SHARES   |                              |                     |
| Number of Shares  | Class/Series       | Par Value   | Number of Shares             | Class/Series        |
| <b>5,000 COMM NO PAR VALUE</b>  |                    |   | <b>908</b>                   | <b>common</b>       |
|   |                    |   |                              | <b>\$0.50</b>       |

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



\* 110207 \*

FILED

File Date: **MAR 30 2001**

Check No.: **By 3/20/01**

By: **Ernest J. Newborn, II**

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer

**Ernest J. Newborn, II**

Print or Type Name of Officer

**Secretary**

Title of Officer

Date

**3/20/01**