

Filing Fee: \$150.00  
License Fee: \$15.00 minimum (§7-1.1-1 24)

ID Number:

110207



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS  
Office of the Secretary of State  
Corporations Division

BUSINESS CORPORATION

APPLICATION FOR CERTIFICATE OF AUTHORITY

Pursuant to the provisions of Section 7-1.1-103 of the General Laws, 1956, as amended, the undersigned corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is USI CONSULTING GROUP, INC. (OK)
2. It is incorporated under the laws of Connecticut
3. The name, if different, which it elects to use in Rhode Island is:
  - (a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation," "company," "incorporated," or "limited" (or an abbreviation thereof), then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:

- (b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this Application:

4. The date of its incorporation is October 16, 1981 and the period of its duration is Perpetual
5. The address of its principal office in the state or country under the laws of which it is incorporated is c/o USI CONSULTING GROUP, INC., 95 GLASTONBURY BLVD., GLASTONBURY, CT 06033
6. The address of its proposed registered office in Rhode Island is 170 Westminster Street, Suite 900  
(Street)  
Providence, RI 02903 and the name of its proposed registered agent in Rhode Island at  
(City/Town) (Zip Code)

that address is Corporation Service Company

7. The specific purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:  
To include transacting business in life accident & health ins. & collecting commissions  
to engage in any act or activity for which corporations may be organized

8. The names and respective addresses of the directors and officers are:

Name

Address

Director See attached officers/directors rider

Director FILED

President

Vice President JAN 11 2000

Secretary 66. JAN 11 3 31 PM '99

Treasurer 66. JAN 11 3 31 PM '99

66. JAN 11 3 31 PM '99

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JAN 11 2 22 PM '99

9. The aggregate number of shares which it has authority to issue, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

<u>Number of Shares</u>	<u>Class</u>	<u>Series</u>	<u>Par Value or Statement that Shares are without Par Value</u>
5,000	Common		No par value

10. The aggregate number of its issued shares, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

<u>Number of Shares</u>	<u>Class</u>	<u>Series</u>	<u>Par Value or Statement that Shares are without Par Value</u>
908	A/COMMON		50c per share

11. (a) An estimate of the value of all property to be owned by the corporation for the following year, wherever located, is \$ 2,200,000
- (b) An estimate of the value of the corporation's property to be located within Rhode Island during the following year is \$ 0
- (c) An estimate, expressed as a percentage, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located 0 % [divide (b) by (a) and multiply by 100 to obtain the percentage].
12. (a) An estimate of the gross amount of business to be transacted by the corporation during the following year is \$ 25,000,000
- (b) An estimate of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year is \$ 100,000
- (c) An estimate, expressed as a percentage, of the proportion that the gross amount of business to be transacted by the corporation at or from places of business in this state during the following year bears to the gross amount thereof which will be transacted by the corporation during the following year .4 % [divide (b) by (a) and multiply by 100 to obtain the percentage].
13. This application is accompanied by certified copies of its articles of incorporation and all amendments thereto, duly authenticated by the secretary of state or other authorized officer of the jurisdiction of its incorporation.

Dated Sept 16, 1999

USI CONSULTING GROUP, INC.

(Exact Corporate Name of Corporation Making Application)

By [Signature]

☒ President or ☐ Vice President (check one)

By [Signature]

☐ Secretary or ☒ Assistant Secretary (check one)

STATE OF CONNECTICUT  
COUNTY OF HARTFORD

In Cheshire, on this 16<sup>th</sup> day of September, 1999, personally appeared before me Brian D. Whitney, an officer of the corporation, who, under oath, verified that the information contained in this Application is true and accurate.

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RECEIVED  
STATE OF CONNECTICUT  
SECRETARY OF STATE

Notary Public

My Commission Expires:

[Signature]  
JILL M. CURRIER

NOTARY PUBLIC

MY COMMISSION EXPIRES OCT. 31, 2000

**USI Consulting Group, Inc.  
95 Glastonbury Blvd.  
Glastonbury, CT 06033**

**Corporate Officers**

NAME	TITLE	SS#	RESIDENT ADDRESS
Brian D. Whitney	President	044-52-5350	125 Partridge Landing, Glastonbury, CT 06033
William M. Tremko	Vice President	045-58-5548	29 Timber Lane, Wilington, CT 06279
Brian D. Whitney	Treasurer	044-52-5350	125 Partridge Landing, Glastonbury, CT 06033
William M. Tremko	Secretary	045-58-5548	29 Timber Lane, Wilington, CT 06279
Carrie-Lynn Whitney	Assistant Vice President	042-64-7974	140 Silkey Road, N. Granby, CT 06060

**Directors**

NAME	TITLE	SS#	RESIDENT ADDRESS
Bernard H. Mizel	Director	568-46-6872	410 E. Strawberry Drive, Mill Valley, CA 94941
William M. Tremko	Director	045-58-5548	29 Timber Lane, Willington, CT 06279
Brian D. Whitney	Director	044-52-5350	125 Partridge Landing, Glastonbury, CT 06033

**Shareholders**

NAME	TITLE	SS#	RESIDENT ADDRESS
USI Insurance Services Corp.			235 Pine St. ,10 <sup>th</sup> Fr., San Francisco, CA 94104

**USI Consulting Group, Inc.  
95 Glastonbury Blvd.  
Glastonbury, CT 06033**

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