



State of Rhode Island and Providence Plantations
Department of State - Business Services Division

RECEIVED
SECRETARY OF STATE
CORPORATIONS DIV
2018 MAY -4 PM 1:21
STATE

Application for Certificate of Authority

FOREIGN Business Corporation

→ Filing Fee: \$310.00 minimum

Pursuant to the provisions of RIGL 7-1.2-1405, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is: Pivotal Software, Inc.		
2. It is incorporated under the laws of: Delaware		
3. The name, if different, which it elects to use in Rhode Island is: (a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation", "company", "incorporated", or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island: (b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:		
4. The date of its incorporation is: 04/01/2013 And the period of its duration is: CHECK ONE BOX ONLY ☒ Perpetual (on-going) ☐ Date certain for dissolution _____		
5. The address of its principal office is: c/o Legal Department, 875 Howard Street, 5th Floor, San Francisco, CA 94103		
6. The name and address of the initial registered agent/office in Rhode Island: Agent Name Corporation Service Company Street Address (NOT a P.O. Box) 222 Jefferson Boulevard, Suite 200 City/Town Warwick State RHODE ISLAND Zip Code 02888		

MAIL TO:
Division of Business Services
148 W. River Street, Providence, Rhode Island 02904-2815
Phone: (401) 222-3040
Website: www.sos.ri.gov

FILED
MAY 4 - 2018
33002
1121

7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:

Information technologies and solutions company

8. (a) The names and respective addresses of its directors (optional, unless directors are required under the laws of the state or country of which it is incorporated):

NAME	ADDRESS
See attached list	

Check the box to indicate an attachment ☒

8. (b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated):

OFFICE	NAME	ADDRESS
PRESIDENT	See attached list	
VICE PRESIDENT		
TREASURER		
SECRETARY		

Check the box to indicate an attachment ☒

9. The aggregate number of shares which it has authority to issue; itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is: (These #s are as of April 19, 2018.)

NUMBER OF SHARES	CLASS	SERIES	PAR VALUE OR STATE NO PAR VALUE
4,000,000,000	A	Common	\$0.01
500,000,000	B	Common	\$0.01
500,000,000	----	Preferred	\$0.01

10. An estimate, as a percentage, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located. (Note: Percentage obtained from worksheet.)

0 %

11. An estimate, as a percentage, of the proportion of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year compared to the gross amount thereof which will be transacted by the corporation during the following year. (Note: Percentage obtained from worksheet.)

100 %



PIVOTAL EXECUTIVE OFFICER LIST

As of April 2018

EXECUTIVE OFFICER	CONTACT INFORMATION
Robert Mee	Pivotal Software, Inc. 875 Howard Street, 5 th Floor, San Francisco, CA 94103
William Cook	Pivotal Software, Inc. 875 Howard Street, 5 th Floor, San Francisco, CA 94103
Scott Yara	Pivotal Software, Inc. 875 Howard Street, 5 th Floor, San Francisco, CA 94103
Edward Hieatt	Pivotal Software, Inc. 875 Howard Street, 5 th Floor, San Francisco, CA 94103
Onsi Fakhouri	Pivotal Software, Inc. 875 Howard Street, 5 th Floor, San Francisco, CA 94103
Cynthia Gaylor	Pivotal Software, Inc. 875 Howard Street, 5 th Floor, San Francisco, CA 94103
Andrew M. Cohen	Pivotal Software, Inc. 875 Howard Street, 5 th Floor, San Francisco, CA 94103

PIVOTAL BOARD OF DIRECTORS

As of April 2018

BOARD MEMBER	CONTACT INFORMATION
Michael Dell	Pivotal Software, Inc. 875 Howard Street, 5 th Floor, San Francisco, CA 94103
Egon Durban	Pivotal Software, Inc. 875 Howard Street, 5 th Floor, San Francisco, CA 94103
William D. Green	Pivotal Software, Inc. 875 Howard Street, 5 th Floor, San Francisco, CA 94103
Marcy Klevorn	Pivotal Software, Inc. 875 Howard Street, 5 th Floor, San Francisco, CA 94103
Paul Maritz (Executive Chairman)	Pivotal Software, Inc. 875 Howard Street, 5 th Floor, San Francisco, CA 94103
Rob Mee	Pivotal Software, Inc. 875 Howard Street, 5 th Floor, San Francisco, CA 94103
Zane Rowe	Pivotal Software, Inc. 875 Howard Street, 5 th Floor, San Francisco, CA 94103
Khazema Shipchandler	Pivotal Software, Inc. 875 Howard Street, 5 th Floor, San Francisco, CA 94103

12. This application must be accompanied by a <u>Certificate of Good Standing/Letter of Status</u> from the state or country of formation dated within 60 days of the date of this filing.	
13. Date when the Certificate of Authority will be effective: CHECK ONE BOX ONLY	
☒ Date received (Upon filing)	
☐ Later effective date (Date must be no more than 90 days from the date of filing) _____	
<i>Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.</i>	
Type or Print Name of Authorized Officer Andrew M. Cohen	 Date 4/17/2018
Signature of Authorized Officer of the Corporation SIGN DOCUMENT HERE	

If you have any questions, please call us at (401) 222-3040, Monday through Friday, between 8:30 a.m. and 4:30 p.m., or email corporations@sos.ri.gov.

Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "PIVOTAL SOFTWARE, INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE EIGHTEENTH DAY OF APRIL, A.D. 2018.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "PIVOTAL SOFTWARE, INC." WAS INCORPORATED ON THE FIRST DAY OF APRIL, A.D. 2013.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.

RECEIVED
SECRETARY OF STATE
CORPORATIONS DIV
2018 MAY -4 PM 1:21



5311800 8300

SR# 20182788846

You may verify this certificate online at corp.delaware.gov/authver.shtml


Jeffrey W. Bullock, Secretary of State

Authentication: 202531799

Date: 04-18-18