



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Limited Liability Company
Annual Report**

Filing Period: September 1 - November 1

In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2018

1. ID No. 001661307

2. Exact Name of the Limited Liability Company STEARNS HOLDINGS, LLC

3. State of Formation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

551112

4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island

FINANCIAL SERVICES HOLDING COMPANY

5. Principal Office Address

No. and Street: 4 HUTTON CENTRE DRIVE, 10TH FLOOR

City or Town: SANTA ANA

State: CA Zip: 92707 Country: USA

6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:

Contact Name: ATTN: LICENSING Contact Title:

No. and Street: 4 HUTTON CENTRE DR 10TH FL

City or Town: SANTA ANA

State: CA Zip: 92707 Country: USA

**7. Name and Address of Each Manager of the Limited Liability Company, if Applicable.
DO NOT LIST MEMBERS**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
MANAGER	MICHAEL BERCHTOLD	4 HUTTON CENTRE DR 10TH FL SANTA ANA, CA 92707 USA
MANAGER	KELLY WANNOP	345 PARK AVE

		NEW YORK, NY 10154 USA
MANAGER	GLENN B STEARNS	4 HUTTON CENTRE DR 10TH FL SANTA ANA, CA 92707 USA
MANAGER	NADIM EL GABBANI	345 PARK AVE NEW YORK, NY 10154 USA
MANAGER	CHIN CHU	345 PARK AVE NEW YORK, NY 10154 USA
MANAGER	M CHRISTIAN MITCHELL	4 HUTTON CENTRE DR 10TH FL SANTA ANA, CA 92707 USA
MANAGER	WILLIAM CARY	4 HUTTON CENTRE DR 10TH FL SANTA ANA, CA 92707 USA
MANAGER	DAVID SCHNEIDER	4 HUTTON CENTRE DR 10TH FL SANTA ANA, CA 92707 USA
MANAGER	MARTIN BRAND	4 HUTTON CENTRE DR 10TH FL SANTA ANA, CA 92707 USA

**8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER
Changes Require Filing of Form 642 - R.I.G.L. 7-16-11**

CT CORPORATION SYSTEM 450 VETERANS MEMORIAL PARKWAY, SUITE 7A EAST
PROVIDENCE , RI 02914

9. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).

Signed this 12 Day of July, 2018 at 5:10:04 PM by the authorized person. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.*

By LORIANN VENTURA
Signature of Authorized Person

Form No. 632
Revised 09/07

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