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ID Number:

132513



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Corporations Division
100 North Main Street
Providence, Rhode Island 02903-1335

BUSINESS CORPORATION

APPLICATION FOR CERTIFICATE OF AUTHORITY
(To Be Filed In Duplicate Original)

Pursuant to the provisions of Section 7-1.1-103 of the General Laws, 1956, as amended, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the state of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is WM Funds Distributor, Inc.
2. It is incorporated under the laws of Washington
3. The name, if different, which it elects to use in Rhode Island is:
 - (a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation," "company," "incorporated," or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:

 - (b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:

4. The date of its incorporation is April 17, 1997 and the period of its duration is Perpetual
5. The address of its principal office in the state or country under the laws of which it is incorporated is _____
c/o Washington Mutual, Suite 1706, 1201 Third Avenue, Seattle, WA 98101
6. The address of its proposed registered office in Rhode Island is 170 Westminster Street, Suite 900
(Street Address, not P.O. Box)
Providence RI 02903 and the name of its proposed registered agent in Rhode Island at
(City/Town) (Zip Code)
that address is Corporation Service Company
(Name of Agent)
7. The specific purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:
Distribution services for mutual fund. To engage in any act or activity for which corporations may be organized.
8. The names and respective addresses of the directors and officers are:

	<u>Name</u>	<u>Address</u>
Director	<u>See attached officers/directors rider</u>	
Director		
President		
Vice President		
Treasurer		
Secretary		

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JUN 10 11:41 AM '03
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By [Signature]
3/19/04

9. The aggregate number of shares which it has authority to issue, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

Number of Shares	Class	Series	Par Value or Statement that Shares are without Par Value
10,000	Common		\$10.00

10. The aggregate number of its issued shares, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

Number of Shares	Class	Series	Par Value or Statement that Shares are without Par Value
10,000	Common		\$10.00

11. (a) An estimate of the value of all property to be owned by the corporation for the following year, wherever located, is \$ 12,529,158.00.

- (b) An estimate of the value of the corporation's property to be located within Rhode Island during the following year is \$ 0.00.

- (c) An estimate, expressed as a percentage, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located, is 0 %. I divide (b) by (a) and multiply by 100 to obtain the percentage.

12. (a) An estimate of the gross amount of business to be transacted by the corporation during the following year is \$ 36,644,291.00.

- (b) An estimate of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year is \$ 10,000.

- (c) An estimate, expressed as a percentage, of the proportion that the gross amount of business to be transacted by the corporation at or from places of business in this state during the following year bears to the gross amount thereof which will be transacted by the corporation during the following year is 0.3 %. I divide (b) by (a) and multiply by 100 to obtain the percentage.

13. This application is accompanied by certified copies of its articles of incorporation and all amendments thereto, duly authenticated by the secretary of state or other authorized officer of the jurisdiction of its incorporation.

Date: May 29, 2003

WM Funds Distributor, Inc.

Print Exact Name of Corporation Making Application

By Monte D. Calvin

☐ President or ☒ Vice President (check one)

By Sharon L. Howells

☒ Secretary or ☐ Assistant Secretary (check one)

STATE OF Washington
COUNTY OF King

In Seattle Washington, on this 29th day of May, 2003, personally appeared before me Monte D. Calvin who, being by me first duly sworn, declared that he/she is the First Vice President of the corporation and that he/she signed the foregoing document as such officer of the corporation, and that the statements herein contained are true.

Notary Public Meryl K. Seely

My Commission Expires: December 16, 2006

Notary Public
State of Washington
MERYL K. SEELY
My Appointment Expires Dec 16, 2006

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

OFFICERS/DIRECTORS RIDER

RI – Application for Certificate of Authority

WM Funds Distributor, Inc.

List of Officers

Name:	William G. Papesh	Title:	President
Bus. Addr.:	c/o Washington Mutual, 1201 Third Ave., WMT2220, Seattle, WA 98101		
Name:	Sandra A. Cavanaugh	Title:	Sr. Vice President
Bus. Addr.:	c/o Washington Mutual, 1201 Third Ave., WMT2210, Seattle, WA 98101		
Name:	Sharon L. Howells	Title:	Secretary, First Vice President
Bus. Addr.:	c/o Washington Mutual, 1201 Third Ave., WMT2220, Seattle, WA 98101		
Name:	David M. Williams	Title:	Treasurer
Bus. Addr.:	c/o Washington Mutual, 1215 Fourth Ave., Ste. 800, Seattle, WA 98101		
Name:	Leslie A. Harrison	Title:	First Vice President
Bus. Addr.:	c/o Washington Mutual, 1201 Third Ave., WMT2220, Seattle, WA 98101		
Name:	Russell J. Adams	Title:	First Vice President
Bus. Addr.:	c/o Washington Mutual, 12009 Foundation Place, Ste. 350, Sacramento, CA 95670		
Name:	Monte D. Calvin	Title:	First Vice President
Bus. Addr.:	c/o Washington Mutual, 1201 Third Ave., WMT2210, Seattle, WA 98101		
Name:	Alex Ghazanfari	Title:	Vice President
Bus. Addr.:	c/o Washington Mutual, 1201 Third Ave., WMT2220, Seattle, WA 98101		
Name:	Glenn Commons	Title:	Vice President
Bus. Addr.:	c/o Washington Mutual, 1201 Third Ave., WMT2220, Seattle, WA 98101		
Name:	Hans Henselman	Title:	Vice President
Bus. Addr.:	c/o Washington Mutual, 3391 Michelson Dr., Ste. 400, Irvine, CA 92612		
Name:	Joel H. Jonczyk	Title:	Vice President
Bus. Addr.:	c/o Washington Mutual, 1201 Third Ave., WMT2220, Seattle, WA 98101		
Name:	Debra C. Ramsey	Title:	First Vice President
Bus. Addr.:	c/o Washington Mutual, 12009 Foundation Place, Ste. 350, Sacramento, CA 95670		
Name:	John T. West	Title:	First Vice President
Bus. Addr.:	c/o Washington Mutual, 1201 Third Ave., WMT2210, Seattle, WA 98101		
Name:	Robert O. Schadt	Title:	Assistant Vice President
Bus. Addr.:	c/o Washington Mutual, 500 Pacific Avenue, Bremerton, WA 98337		

List of Directors

Name:	Monte D. Calvin	Term:	Feb. 15, 2004
Bus. Addr.:	c/o Washington Mutual, 1201 Third Ave., WMT2210, Seattle, WA 98101		

List of Directors (cont.)

Name: Sandra A. Cavanaugh **Term:** Feb. 15, 2004
Bus. Addr.: c/o Washington Mutual, 1201 Third Ave., WMT2210, Seattle, WA 98101

Name: Sharon L. Howells **Term:** June 15, 2003
Bus. Addr.: c/o Washington Mutual, 1201 Third Ave., WMT2220, Seattle, WA 98101

Name: William G. Papesh **Term:** June 15, 2003
Bus. Addr.: c/o Washington Mutual, 1201 Third Ave., WMT2220, Seattle, WA 98101

Name: Randall L. Yoakum **Term:** June 15, 2003
Bus. Addr.: c/o Washington Mutual, 1201 Third Ave., WMT2220, Seattle, WA 98101

Name: Joel Calvo **Term:** June 15, 2003
Bus. Addr.: c/o Washington Mutual, 1201 Third Ave., WMT1501, Seattle, WA 98101