



**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2005**

Filing Period: January 1 - March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED OR PRINTED IN BLACK)

1. Corporate ID No. 54013		2. Name of Corporation YALE FINANCIAL SERVICES, INC.			
3. Street Address Principal Business Office 10 Riverview Drive			City Danbury	State CT	Zip 06810
4. Business Phone No. (203) 7649-6000		5. State of Incorporation DELAWARE		6. SIC Code 8888	
7. Brief Description of the Character of Business Conducted in Rhode Island FINANCIAL SERVICES					
8. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name Daniel S. Henson			Vice President Name Edward Simoneau		
Street Address 10 Riverview Drive			Street Address 10 Riverview Drive		
City Danbury	State CT	Zip 06810	City Danbury	State CT	Zip 06810
Secretary Name Belle-Ann Abrams			Treasurer Name Joseph Hanlon		
Street Address 10 Riverview Drive			Street Address 10 Riverview Drive		
City Danbury	State CT	Zip 06810	City Danbury	State CT	Zip 06810
9. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS					
Director Name Daniel S. Henson			Director Name Edward Simoneau		
Street Address 10 Riverview Drive			Street Address 10 Riverview Drive		
City Danbury	State CT	Zip 06810	City Danbury	State CT	Zip 06810
Director Name Raymond Floyd			Director Name Colin Wilson		
Street Address 10 Riverview Drive			Street Address 1400 Sullivan Drive		
City Danbury	State CT	Zip 06810	City Greenville	State NC	Zip 27834
10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> AUTHORIZED SHARES			11. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> ISSUED SHARES		
Number of Shares	Class/Series	Par Value	Number of Shares	Class/Series	Par Value
1,000	COMM	\$1.00 PAR VALUE	1,000	C	\$1.00

05 APR - 6 AM '05  
 SECRETARY OF STATE  
 27834

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



\*54013\*

File Date \_\_\_\_\_ **FILED**

Check No. \_\_\_\_\_ APR 06 2005

By: \_\_\_\_\_ KML

FOR SECRETARY OF STATE USE ONLY

M62491

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Belle-Ann Abrams 4/4/05  
Signature of Officer Date

Belle-Ann Abrams  
Print or Type Name of Officer  
Secretary  
Title of Officer



**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR** 2004

Filing Period: January 1 - March 1 • Filing Fee: \$50.00  
(FORM MUST BE TYPED OR PRINTED IN BLACK)

1 Corporate ID No <b>54013</b>		2 Name of Corporation <b>YALE FINANCIAL SERVICES, INC.</b>			
3 Street Address Principal Business Office <b>260 LONG RIDGE ROAD</b>			City <b>STAMFORD</b>	State <b>CT</b>	Zip <b>06810</b>
4 Business Phone No <b>203 796 2474</b>		5 State of Incorporation <b>DELAWARE</b>		6 SIC Code <b>8888</b>	
7 Brief Description of the Character of Business Conducted in Rhode Island <b>FINANCIAL SERVICES</b>					
8. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name <b>PAUL T BOSSIDY</b>			Vice President Name <b>LINDA ZECHER</b>		
Street Address <b>44 OLD RIDGEBURY ROAD</b>			Street Address <b>44 OLD RIDGEBURY ROAD</b>		
City <b>DANBURY</b>	State <b>CT</b>	Zip <b>06810</b>	City <b>DANBURY</b>	State <b>CT</b>	Zip <b>06810</b>
Secretary Name <b>AMANDA SKOLAN-LOGUE</b>			Treasurer Name <b>SVITEK JOSEPH</b>		
Street Address <b>44 OLD RIDGEBURY ROAD</b>			Street Address <b>44 OLD RIDGEBURY ROAD</b>		
City <b>DANBURY</b>	State <b>CT</b>	Zip <b>06810</b>	City <b>DANBURY</b>	State <b>CT</b>	Zip <b>06810</b>
9. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS					
Director Name <b>PAUL T BOSSIDY</b>			Director Name <b>CHRISTOPHER JACOBS</b>		
Street Address <b>44 OLD RIDGEBURY ROAD</b>			Street Address <b>44 OLD RIDGEBURY ROAD</b>		
City <b>DANBURY</b>	State <b>CT</b>	Zip <b>06810</b>	City <b>DANBURY</b>	State <b>CT</b>	Zip <b>06810</b>
Director Name			Director Name		
Street Address			Street Address		
City	State	Zip	City	State	Zip
10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/>			11. SHARES ISSUED ("X" BOX FOR ATTACHMENT) <input type="checkbox"/>		
AUTHORIZED SHARES			ISSUED SHARES		
Number of Shares	Class/Series	Par Value	Number of Shares	Class/Series	Par Value
<b>1,000 COMM \$1.00 PAR VALUE</b>			<b>1,000</b>		<b>\$1.00</b>

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



\* 5 4 0 1 3 \*

File Date 2/26/04  
Check No. 0016176276  
By LB  
FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Linda Zecher 2/24/04  
Signature of Officer Date  
**LINDA ZECHER**  
Print or Type Name of Officer  
**VICE-PRESIDENT**  
Title of Officer



**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2003**  
Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED OR PRINTED IN BLACK)

1. Corporate ID No. **54013** 2. Name of Corporation **YALE FINANCIAL SERVICES, INC. AKA NMHG Financial Services Inc.**  
3. Street Address Principal Business Office **260 Longridge Rd.** City **Stamford** State **CT** Zip **06827**  
4. Business Phone No. **203-796-2474** 5. State of Incorporation **DELAWARE** 6. SIC Code **8888**  
7. Brief Description of the Character of Business Conducted in Rhode Island **Financing**

**8. NAMES AND ADDRESSES OF THE OFFICERS (\*X\* BOX FOR ATTACHMENT) FILL IN SPACES BEFORE USING ATTACHMENTS**

<p>President Name <b>Paul T. Bossidy</b> Street Address <b>44 Old Ridgebury Rd.</b> City <b>Danbury</b> State <b>CT</b> Zip <b>06810</b></p> <p>Secretary Name <b>Amanda Skolan-Logue</b> Street Address <b>44 Old Ridgebury Rd.</b> City <b>Danbury</b> State <b>CT</b> Zip <b>06810</b></p>	<p>Vice President Name <b>Linda Zecher</b> Street Address <b>44 Old Ridgebury Rd.</b> City <b>Danbury</b> State <b>CT</b> Zip <b>06810</b></p> <p>Treasurer Name <b>Jeffrey Werner</b> Street Address <b>260 Longridge Rd.</b> City <b>Stamford</b> State <b>CT</b> Zip <b>06927</b></p>
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**9. NAMES AND ADDRESSES OF THE DIRECTORS (\*X\* BOX FOR ATTACHMENT) FILL IN SPACES BEFORE USING ATTACHMENTS**

<p>Director Name <b>Paul T. Bossidy</b> Street Address <b>44 Old Ridgebury Rd.</b> City <b>Danbury</b> State <b>CT</b> Zip <b>06810</b></p> <p>Director Name <b>Edward Simoneau</b> Street Address <b>40 Old Ridgebury Rd.</b> City <b>Danbury</b> State <b>CT</b> Zip <b>06810</b></p>	<p>Director Name <b>Christopher Jacobs</b> Street Address <b>44 Old Ridgebury Rd.</b> City <b>Danbury</b> State <b>CT</b> Zip <b>06810</b></p>
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**10. SHARES AUTHORIZED (\*X\* BOX FOR ATTACHMENT)**

AUTHORIZED SHARES	Number of Shares	Class/Series	Par Value
	1,000	COMM	\$1.00 PAR VALUE

**11. SHARES ISSUED (\*X\* BOX FOR ATTACHMENT)**

ISSUED SHARES	Number of Shares	Class/Series	Par Value
	1000	Common	1.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



File Date: 3-17-03  
Check No.: 16862932  
By: [Signature]

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.  
Signature of Officer: Linda Zecher Date: 2/3/03  
Print or Type Name of Officer: Linda Zecher  
Title of Officer: VP Licensing

**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR** 2002  
Filing Period: January 1-March 1 • Filing Fee: \$50.00



(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. **54013** 2. Name of Corporation **YALE FINANCIAL SERVICES, INC.**  
3. Street Address Principal Office **260 LONG RIDGE ROAD** City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_  
**STAMFORD, CT 06927-9622**  
4. Business Phone No. **203-357-4544** 5. State of Incorporation **DELAWARE** 6. SIC Code **8888**

7. Brief Description of the Character of Business Conducted in Rhode Island

Financial Services

8. NAMES AND ADDRESSES OF THE OFFICERS (\*X\* BOX FOR ATTACHMENT)  FILL IN SPACES BEFORE USING ATTACHMENTS

President Name <u>Paul J. Bossi</u> Street Address <u>44 Old Ridgebury Rd</u> City <u>Danbury</u> State <u>CT</u> Zip <u>06810</u>	Vice President Name <u>Kendra Buzens</u> Street Address <u>44 Old Ridgebury Rd</u> City <u>Danbury</u> State <u>CT</u> Zip <u>06810</u>
Secretary Name <u>Peggy J Mers</u> Street Address <u>44 Old Ridgebury Rd</u> City <u>Danbury</u> State <u>CT</u> Zip <u>06810</u>	Treasurer Name <u>John Amato</u> Street Address <u>777 Long Ridge Rd</u> City <u>Stamford</u> State <u>CT</u> Zip <u>06927</u>

9. NAMES AND ADDRESSES OF THE DIRECTORS (\*X\* BOX FOR ATTACHMENT)  FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name <u>Colin Wilson</u> Street Address <u>15 Junction Road</u> City <u>Flemington</u> State <u>NJ</u> Zip <u>08822</u>	Director Name _____ Street Address _____ City _____ State _____ Zip _____
Director Name <u>Edward Simoneau</u> Street Address <u>44 Old Ridgebury Rd</u> City <u>Danbury</u> State <u>CT</u> Zip <u>06810</u>	Director Name _____ Street Address _____ City _____ State _____ Zip _____

10. SHARES AUTHORIZED (\*X\* BOX FOR ATTACHMENT)

Number of Shares	Class/Series	Par Value
<u>1,000</u>	<u>COMM</u>	<u>\$1.00</u>

11. SHARES ISSUED (\*X\* BOX FOR ATTACHMENT)

Number of Shares	Class/Series	Par Value
<u>1,000</u>	<u>Common</u>	<u>1.00</u>

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



File Date: 3/15/02  
Check No.: 0017227851  
By: LB

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.  
Signature of Officer: [Signature] Date: 3-13-2002  
**DONNA M. FIAMMETTA**

Print or Type Name of Officer  
**VICE PRESIDENT - TAX**  
Title of Officer

000278-NMHG Financial Services, Inc.

Tax Year : 2002 Rpt Mth : 3

Federal ID : 06-1156013



Name	Title	Business Address
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Amanda N. Skolan-Logue	Secretary	44 Old Ridgebury Road Danbury CT 06810
<i>Paul Bessidy</i> Christopher H. Richmond	President	44 Old Ridgebury Road Danbury CT 06810
<i>Paul Bessidy</i> Christopher H. Richmond	Director	44 Old Ridgebury Road Danbury CT 06810
Christopher Jacobs	Vice President - Finance	44 Old Ridgebury Rd Danbury CT 06811 US
Colin Wilson	Director	15 Junction Road Flemington NJ 08822
Colin Wilson	Vice President	15 Junction Road Flemington NJ 08822
Dave W. Morey	Vice President - Taxes	44 Old Ridgebury Road Danbury CT 06810
Edward J. Simoneau	Executive Vice President	44 Old Ridgebury Rd. Danbury CT 06810
Edward J. Simoneau	Director	44 Old Ridgebury Rd. Danbury CT 06810
Jeffrey L. Hyde	Vice President - Taxes	777 Long Ridge Road Stamford CT 06927
Jeffrey S. Werner	Vice President	201 High Ridge Road Stamford CT 06927
Jeffrey S. Werner	Treasurer	201 High Ridge Road Stamford CT 06927
Joe A. Baldassarre	Vice President	15 Junction Road Flemington NJ 08822
John Amato	Assistant Treasurer - State Taxes	777 Long Ridge Road Stamford CT 06927
Joseph T. Cassidy	Vice President - Taxes	777 Long Ridge Road Stamford CT 06927
Kendra L. Burgess	Vice President	44 Old Ridgebury Road Danbury CT 06810 US
Kenneth E. Kempson	Assistant Treasurer - Taxes	777 Long Ridge Rd. Stamford CT 06927
Michael A. Meehan	Assistant Secretary	44 Old Ridgebury Road Danbury CT 06810-510
Peggy J. Mevs	Assistant Secretary	44 Old Ridgebury Road Danbury CT 06804 US
Warren T. Eck	Vice President	15 Junction Road Flemington NJ 08822

*Donna Zammetha VP. TAXES*

*MM Long Ridge Rd Stamford CT 06927*



**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2001**

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. **54013** 2. Name of Corporation **YALE FINANCIAL SERVICES, INC.**

3. Street Address Principal Business Office **260 LONG RIDGE ROAD** City **STAMFORD** State **CT** Zip **06927**

4. Business Phone No. **203-357-4544** State of Incorporation **DELAWARE** 6. **888888**

7. Brief Description of the Character of Business Conducted in Rhode Island

**Financial Services**

8. NAMES AND ADDRESSES OF THE OFFICERS (\*X\* BOX FOR ATTACHMENT) FILL IN SPACES BEFORE USING ATTACHMENTS

President Name **Christopher H Richmond**  
Street Address **44 Old Ridgebury Rd**  
City **Danbury** State **CT** Zip **06810**

Vice President Name **Jeffrey L Hyde**  
Street Address **260 Long Ridge Rd**  
City **Stamford** State **CT** Zip **06927**

Secretary Name **Michael Meehan**  
Street Address **44 Old Ridgebury Rd**  
City **Danbury** State **CT** Zip **06810**

Treasurer Name **John Amato**  
Street Address **260 Long Ridge**  
City **Stamford** State **CT** Zip **06927**

9. NAMES AND ADDRESSES OF THE DIRECTORS (\*X\* BOX FOR ATTACHMENT) FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name **Edward S. Simoneau**  
Street Address **44 Old Ridgebury Rd**  
City **Danbury** State **CT** Zip **06810**

Director Name  
Street Address  
City State Zip

Director Name  
Street Address  
City State Zip

10. SHARES AUTHORIZED (\*X\* BOX FOR ATTACHMENT)

AUTHORIZED SHARES	Class/Series	Par Value
1,000	Common	1.00

11. SHARES ISSUED (\*X\* BOX FOR ATTACHMENT)

ISSUED SHARES	Class/Series	Par Value
1,000	Common	1.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



\* 5 4 0 1 3 \*

File Date: **3-01-01**  
Check No.: **17804726**  
By: **JD**

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer **John Amato** Date **2-28-2001**

Title of Officer **ASST. TREASURER - TAX**



**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2000**

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. **54013** 2. Name of Corporation **YALE FINANCIAL SERVICES, INC.**  
3. Street Address, Principal Business Office **260 LONG RIDGE ROAD** City **STAMFORD** State **CT** Zip **06927-9622**  
4. Business Phone No. **203-357-4544** 5. State of Incorporation **DELAWARE** 6. SIC Code

7. Brief Description of the Character of Business Conducted in Rhode Island

*Financial Services*

8. NAMES AND ADDRESSES OF THE OFFICERS (\*X\* BOX FOR ATTACHMENT)

FILL IN SPACES BEFORE USING ATTACHMENTS

President Name **Christopher H. Richmond**  
Street Address **44 Old Ridgebury Rd.**  
City **DANBURY** State **CT** Zip **06810**

Vice President Name **Richard P. Noonan**  
Street Address **15 Junction Rd.**  
City **FLEMINGTON** State **NJ** Zip **08827**

Secretary Name **Michael Meehan**  
Street Address **44 Old Ridgebury Rd.**  
City **DANBURY** State **CT** Zip **06810**

Treasurer Name **John Amato**  
Street Address **260 Long Ridge Rd**  
City **Stamford** State **CT** Zip **06927**

9. NAMES AND ADDRESSES OF THE DIRECTORS (\*X\* BOX FOR ATTACHMENT)

FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name **Edward Simoneau**  
Street Address **44 Old Ridgebury Rd.**  
City **DANBURY** State **CT** Zip **06810**

Director Name  
Street Address  
City State Zip

Director Name **Colin Wilson**  
Street Address **15 Junction Rd.**  
City **FLEMINGTON** State **NJ** Zip **08827**

Director Name  
Street Address  
City State Zip

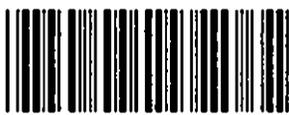
10. SHARES AUTHORIZED (\*X\* BOX FOR ATTACHMENT)

11. SHARES ISSUED (\*X\* BOX FOR ATTACHMENT)

AUTHORIZED SHARES	Number of Shares	Class/Series	Par Value
	1,000	Common	\$1.00

ISSUED SHARES	Number of Shares	Class/Series	Par Value
	1,000	common	\$1.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



\* 5 4 0 1 3 \*

File Date: 3/9/00  
Check No.: 17184082  
By: [Signature]

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

[Signature] 3-8-2000  
Signature of Officer Date

**JOHN AMATO**  
Print or Type Name of Officer  
**ASST. TREASURER - TAX**  
Title of Officer



**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 1999**

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. <b>54013</b>		2. Name of Corporation <b>YALE FINANCIAL SERVICES, INC.</b>		
3. Street Address Principal Business Office <b>260 Long Ridge Road</b>		City <b>Stamford</b>	State <b>CT</b>	Zip <b>06902</b>
4. Business Phone No. <b>(203) 357-4544</b>		5. State of Incorporation <b>DELAWARE</b>		6. SIC Code <b>0000</b>
7. Brief Description of the Character of Business Conducted in Rhode Island <b>Financial Services</b>				

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT) <input checked="" type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS				
President Name <b>Christopher H. Richmond</b>		Vice President Name <b>Richard P. Noonan</b>		
Street Address <b>44 Old Ridgebury Rd</b>		Street Address <b>15 Junction Rd</b>		
City <b>Danbury</b>	State <b>CT</b>	Zip <b>06810</b>	City <b>Flemington</b>	State <b>NJ</b>
Zip <b>06810</b>			Zip <b>08822</b>	
Secretary Name <b>Michael Meehan</b>		Treasurer Name <b>Gary J. Schulman</b>		
Street Address <b>44 Old Ridgebury Rd</b>		Street Address <b>777 Long Ridge Rd</b>		
City <b>Danbury</b>	State <b>CT</b>	Zip <b>06810</b>	City <b>Stamford</b>	State <b>CT</b>
Zip <b>06810</b>			Zip <b>06927</b>	

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) <input checked="" type="checkbox"/> FILL IN SPACES BEFORE USING ATTACHMENTS				
Director Name <b>Edward J. Simoneau</b>		Director Name <b>Colin Wilson</b>		
Street Address <b>44 Old Ridgebury Rd</b>		Street Address <b>15 Junction Rd</b>		
City <b>Danbury</b>	State <b>CT</b>	Zip <b>06810</b>	City <b>Flemington</b>	State <b>NJ</b>
Zip <b>06810</b>			Zip <b>08822</b>	
Director Name <b>Christopher Richmond</b>		Director Name		
Street Address <b>44 Old Ridge Rd</b>		Street Address		
City <b>Danbury</b>	State <b>CT</b>	Zip <b>06810</b>	City	State
Zip <b>06810</b>			Zip	

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT) <input checked="" type="checkbox"/>			11. SHARES ISSUED (*X* BOX FOR ATTACHMENT) <input checked="" type="checkbox"/>		
AUTHORIZED SHARES			ISSUED SHARES		
Number of Shares	Class/Series	Par Value	Number of Shares	Class/Series	Par Value
1,000	Common	1.00	1,000	Common	1.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



\* 5 4 0 1 3 \*

File Date: May 18, 99  
Check No.: 17169962  
By: [Signature]

actual signature  
not a stamp

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer: [Signature] Date: 2/26/99  
Print or Type Name of Officer: Gary J. Schulman  
Title of Officer: Assist. Treasurer - State Taxes

**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 1998**  
Filing Period: January 1-March 1 • Filing Fee: \$50.00



(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. **54013** 2. Name of Entity **YALE FINANCIAL SERVICES, INC.**

3. Street Address Principal Business Office **260 Long Ridge Road** City **Stamford** State **CT** Zip **06902**  
4. Business Phone No. **203-357-4544** 5. State of Incorporation **DELAWARE** 6. SIC Code

7. Brief Description of the Character of Business Conducted in Rhode Island  
**Financial Services**

8. NAMES AND ADDRESSES OF THE OFFICERS (\*X\* BOX FOR ATTACHMENT)

President Name  
**Christopher H Richmond**  
Street Address  
**44 Old Ridgebury Rd**  
City **Danbury** State **CT** Zip **06810**

Vice President Name  
**Richard P Noonan**  
Street Address  
**15 Junction Rd**  
City **Flemington** State **NJ** Zip **08822**

Secretary Name  
**MICHAEL Meehan**  
Street Address  
**44 Old Ridgebury Rd**  
City **Danbury** State **CT** Zip **06810**

Treasurer Name  
**Jeffrey Wozniak**  
Street Address  
**201 High Ridge Rd**  
City **Stamford** State **CT** Zip **06927**

9. NAMES AND ADDRESSES OF THE DIRECTORS (\*X\* BOX FOR ATTACHMENT)

Director Name  
**Edward J. Simoneau**  
Street Address  
**44 Old Ridgebury Rd**  
City **Danbury** State **CT** Zip **06810**

Director Name  
**Colin Wilson**  
Street Address  
**15 Junction Rd**  
City **Flemington** State **NJ** Zip **08822**

Director Name  
**Christopher Richmond**  
Street Address  
**44 Old Ridgebury Rd**  
City **Danbury** State **CT** Zip **06810**

10. SHARES AUTHORIZED (\*X\* BOX FOR ATTACHMENT)

AUTHORIZED SHARES	Number of Shares	Class/Series	Par Value
	1,000	Common	1.00

11. SHARES ISSUED (\*X\* BOX FOR ATTACHMENT)

ISSUED SHARES	Number of Shares	Class/Series	Par Value
	1,000	Common	1.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



File Date: 3/3/98  
Check No.: 1719866  
By: [Signature]

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer: [Signature] Date: 2-25-98

Print or Type Name of Officer: GARY J. SCHELMAN

ACCT. TREASURER TAXES  
Title of Officer

For Year: 1998  
2/27/98

000278  
Yale Financial Services, Inc.  
061156013

Name	Title	Business Address
Christopher H. Richmond	Director	44 Old Ridgebury Road Danbury CT 06810
Edward J. Simoneau	Director	44 Old Ridgebury Rd. Danbury CT 06810
Collin Wilson	Director	15 Junction Road Flemington NJ 08822
John Arnato	Assistant Treasurer - State Taxes	777 Long Ridge Road Stamford CT 06927
Joe A. Baldassare	Vice President	15 Junction Road Flemington NJ 08822
Joseph T. Cassidy	Vice President - Taxes	777 Long Ridge Road Stamford CT 06927
Warren T. Eck	Vice President	15 Junction Road Flemington NJ 08822
Jeffrey L. Hyde	Vice President - Taxes	777 Long Ridge Road Stamford CT 06927
Kenneth E. Kempson	Assistant Treasurer - Taxes	777 Long Ridge Rd. Stamford CT 06927
Patricia M. Lecouras	Assistant Treasurer - State Taxes	777 Long Ridge Road Stamford CT 06927
Carolyn S. Littles	Vice President - Finance	6601 Six Forks Road Raleigh NC 27615
Michael A. Meehan	Assistant Secretary	44 Old Ridgebury Road Danbury CT 06810-510
Dave W. Morey	Vice President - Taxes	44 Old Ridgebury Road Danbury CT 06810
Richard P. Noonan	Vice President	15 Junction Road Flemington NJ 08822
Christopher H. Richmond	President	44 Old Ridgebury Road Danbury CT 06810
Gary J. Schulman	Assistant Treasurer - State Taxes	777 Long Ridge Rd. Stamford CT 06927
Edward J. Simoneau	Executive Vice President	44 Old Ridgebury Rd. Danbury CT 06810
Amanda N. Skolan-Logue	Secretary	44 Old Ridgebury Road Danbury CT 06810
Kelly S. Thomas	Assistant Secretary	44 Old Ridgebury Road Danbury CT 06810
Judith M. Van Cleave	Assistant Treasurer - State Taxes	4315 Metro Parkway Ft. Myers FL 33916
Jeffrey S. Werner	Treasurer	201 High Ridge Road Stamford CT 06927
Jeffrey S. Werner	Vice President	201 High Ridge Road Stamford CT 06927
Collin Wilson	Vice President	15 Junction Road Flemington NJ 08822



**PROFIT CORPORATION ANNUAL REPORT 1997**

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. **54013**      2. Name of Corporation **YALE FINANCIAL SERVICES, INC.**

3. Street Address Principal Business Office  
**260 Long Ridge Rd**      City **Stamford**      State **CT**      Zip **06927**

4. Business Phone No. **203-357-4544**      5. State of Incorporation **DELAWARE**      6. SIC Code

7. Brief Description of the Character of Business Conducted in Rhode Island  
**Financial Services**

8. NAMES AND ADDRESSES OF THE OFFICERS (\*X\* BOX FOR ATTACHMENT)

President Name **Michael A. Neal**  
Street Address **260 Long Ridge Rd.**  
City **Stamford**      State **CT**      Zip **06927**

Vice President Name **Edward J. Simoneau**  
Street Address **44 Old Ridgebury Rd.**  
City **Danbury**      State **CT**      Zip **06810**

Secretary Name **Amanda N. Skolan-Logue**  
Street Address **44 Old Ridgebury Rd.**  
City **Danbury**      State **CT**      Zip **06810**

Treasurer Name **J. Gordon Smith**  
Street Address **44 Old Ridgebury Rd.**  
City **Danbury**      State **CT**      Zip **06810**

9. NAMES AND ADDRESSES OF THE DIRECTORS (\*X\* BOX FOR ATTACHMENT)

Director Name **Michael A. Neal**  
Street Address **260 Long Ridge Rd.**  
City **Stamford**      State **CT**      Zip **06927**

Director Name **E.W. Ryan**  
Street Address **Rts. 523 + 31**  
City **Flemington**      State **NJ**      Zip **08822**

Director Name **Edward J. Simoneau**  
Street Address **44 Old Ridgebury Rd.**  
City **Danbury**      State **CT**      Zip **06810**

Director Name **J. Gordon Smith**  
Street Address **44 Old Ridgebury Rd.**  
City **Danbury**      State **CT**      Zip **06810**

10. SHARES AUTHORIZED AND ISSUED (\*X\* BOX FOR ATTACHMENT)

AUTHORIZED SHARES			ISSUED SHARES		
Number of Shares	Class/Series	Par Value	Number of Shares	Class/Series	Par Value
1,000	Common	1.00	1,000	Comm	1.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



File Date: **4/27/97**

Check No.: **17/3028**

By: **[Signature]**

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer: **[Signature]**      Date: **2-27-97**

Print or Type Name of Officer: **Patricia M. Lecouras**

Title of Officer: **ASST. TREAS.-STATE TAXES**

For Year: 1995  
1/29/97

000278

Yale Financial Services, Inc.  
06-1156013

Name	Title	Business Address
Michael A. Neal	Director	260 Long Ridge Road Stamford CT 06927
E. W. Ryan	Director	Routes 523 & 31 Flemington NJ 08822
Edward J. Simoneau	Director	44 Old Ridgebury Rd Danbury CT 06810
J. Gordon Smith	Director	44 Old Ridgebury Road Danbury CT 06810
Michael A. Neal	President	260 Long Ridge Road Stamford CT 06927
Edward J. Simoneau	Executive Vice President	44 Old Ridgebury Rd. Danbury CT 06810
William Brennan	Vice President	777 Long Ridge Rd. Stamford CT 06927
L. M. LoMonico	Vice President	Routes 523 & 31 Flemington NJ 08822
R. P. Noonan	Vice President	Routes 523 & 31 Flemington NJ
R. S. Preschel	Vice President	Routes 523 & 31 Flemington NJ
E. W. Ryan	Vice President	Routes 523 & 31 Flemington NJ 08822
K. Vish	Vice President	Routes 523 & 31 Flemington NJ 08822
Robert J. Buckley	Vice President - Taxes	777 Long Ridge Rd. Stamford CT 06927
Jeffrey L. Hyde	Vice President - Taxes	777 Long Ridge Road Stamford CT 06927
Amanda N. Skolan-Logue	Secretary	44 Old Ridgebury Road Danbury CT 06810
Michael A. Meehan	Assistant Secretary	44 Old Ridgebury Rd. Danbury CT 06810-510
Kelly S. Thomas	Assistant Secretary	44 Old Ridgebury Road Danbury CT 06810
J. Gordon Smith	Treasurer	44 Old Ridgebury Road Danbury CT 06810
Gary J. Schulman	Assistant Treasurer - Taxes	777 Long Ridge Rd. Stamford CT 06927
Peter J. Nicosia	Assistant Treasurer - State Taxes	777 Long Ridge Road Stamford CT 06927

**PROFIT CORPORATON  
ANNUAL REPORT**

**1996**



State of Rhode Island and Providence Plantations  
James R. Langevin, Secretary of State  
Corporations Division  
100 North Main Street  
Providence, Rhode Island 02903-1335 • (401) 277-3040

Filing Period: January 1-March 1  
Filing Fee: \$50.00

PLEASE TYPE OR PRINT IN BLACK INK.

1. CORPORATE ID NO. 0054013 2. NAME OF CORPORATION Yale Financial Services Inc.

3. STREET ADDRESS PRINCIPAL BUSINESS OFFICE 260 Long Ridge Road CITY Stanford STATE CT ZIP CODE 06927

4. BUSINESS PHONE NO. 203-357-4544 5. STATE OF INCORPORATION DE 6. SIC CODE 8888

7. BRIEF DESCRIPTION OF THE CHARACTER OF BUSINESS CONDUCTED IN RHODE ISLAND  
Financial Services

8. NAMES AND ADDRESSES OF THE OFFICERS

PRESIDENT NAME Michael A. Neal VICE PRESIDENT NAME William Brennan

STREET ADDRESS 44 Old Ridgebury Road STREET ADDRESS 777 Long Ridge Road

CITY Danbury STATE CT ZIP CODE 06810 CITY Stanford STATE CT ZIP CODE 06927

SECRETARY NAME \_\_\_\_\_ TREASURER NAME \_\_\_\_\_

STREET ADDRESS \_\_\_\_\_ STREET ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_ CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_

9. NAMES AND ADDRESSES OF THE DIRECTORS

DIRECTOR NAME Robert J. Buckley DIRECTOR NAME Gary J. Schulman

STREET ADDRESS 777 Long Ridge Road STREET ADDRESS 777 Long Ridge Road

CITY Stanford STATE CT ZIP CODE 06927 CITY Stanford STATE CT ZIP CODE 06927

DIRECTOR NAME \_\_\_\_\_ DIRECTOR NAME \_\_\_\_\_

STREET ADDRESS \_\_\_\_\_ STREET ADDRESS \_\_\_\_\_

CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_ CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_

10. SHARES AUTHORIZED AND ISSUED

AUTHORIZED SHARES			ISSUED SHARES		
NUMBER OF SHARES	CLASS / SERIES	PAR VALUE	NUMBER OF SHARES	CLASS / SERIES	PAR VALUE
<u>1,000</u>	<u>Common</u>	<u>\$1</u>	<u>1,000</u>	<u>Common</u>	<u>\$1</u>

This report must be **SIGNED IN INK** by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

File Date: 3/8/96  
Check No: 17109491  
By: ICID

For Secretary of State Use Only

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

William Brennan  
Signature of Officer

William Brennan  
Print or Type Name of Officer

Vice President - Taxes  
Title of Officer

3-5-96  
Date



**ALL ENTRIES MUST BE COMPLETED IN FULL OR THE FORM WILL BE RETURNED.**

Corporate ID: 0054013 Annual Report for the year: 1995

Name of Corporation: Yale Financial Services Inc.

Business entity organized under the laws of the State of: \_\_\_\_\_

Business Entity is (check one):  
 Business Corporation (See RIGL Chapter 7-1.1)  
 Professional Service Corporation (See RIGL Chapter 7-5.1)

For foreign entity, address and telephone number of principal office:

P.O. Box 8109  
266 Long Ridge Road  
Stamford CT 06927  
 Phone: (203) 357-4544

Brief statement of the character of business conducted in Rhode Island:

Financial Services

Address and telephone of the principal office of business entity in Rhode Island (Provide street address - Not P.O. Box):

CT Corporation System  
123 Dyer Street  
Providence, RI 02903  
 Phone: (401) 861-7400

**THE NAMES OF THE OFFICERS ARE:**

OFFICER	STREET ADDRESS	CITY/STATE	ZIP CODE
PRESIDENT			
VICE PRESIDENT			
SECRETARY			
TREASURER			

SEE ATTACHED

ATTACHED

**THE NAMES OF THE DIRECTORS ARE:**

NAME	STREET ADDRESS	CITY/STATE	ZIP CODE

SEE ATTACHED

SEE ATTACHED

NUMBER OF SHARES AUTHORIZED (Rider may be attached)			NUMBER OF SHARES ISSUED AND OUTSTANDING (Rider may be attached)		
Number of Shares	Class / Series	PAR VALUE	Number of Shares	Class / Series	PAR VALUE
1,000	Common	1.00	1,000	Common	1.00

Date: December 28, 1995

By: [Signature]  
 PRINT OR TYPE NAME OF OFFICER SIGNING: William Brennan  
 TITLE OF OFFICER SIGNING: Vice President

Form 31 1/95

**DESIGNATED REGISTERED AGENT FOR SERVICE OF PROCESS:**

PLEASE NOTE: If the registered office and/or registered agent indicated below is incorrect, Form 9 must be filed.

CT Corporation System  
123 Dyer Street  
Providence, RI 02903

**FILED**  
 JAN 4 1996  
 BY AMF #29  
152703

YALE FINANCIAL SERVICES, INC.

Federal ID# 08-1156013

Schedule

Report

or

State of

Return

<u>Name</u>	<u>Title</u>	<u>Business Address</u>
Michael A. Neal	Director & President	44 Old Ridgebury Rd, Danbury CT 08810
E. J. Simoneau	Director & EVP	44 Old Ridgebury Rd, Danbury CT 06810
E. W. Ryan	Director & Vice President	Routes 523 & 31, Flemington NJ 08822
J. Gordon Smith	Director & Treasurer	44 Old Ridgebury Rd, Danbury CT 08810
R. P. Noonan	Vice President	Routes 523 & 31, Flemington NJ 08822
L. M. LoMonico	Vice President	Routes 523 & 31, Flemington NJ 08822
R. S. Preschel	Vice President	Routes 523 & 31, Flemington NJ 08822
K. Vish	Vice President	Routes 523 & 31, Flemington NJ 08822
Amanda N. Skolan-Logue	Secretary	44 Old Ridgebury Rd, Danbury CT 08810
Michael A. Meehan	Assistant Secretary	44 Old Ridgebury Rd, Danbury CT 06810
Kelly S. Thomas	Assistant Secretary	44 Old Ridgebury Rd, Danbury CT 06810
William H. Brennan	Vice President	777 Long Ridge Road, Stamford CT 06927
Robert J. Buckley	Vice President	777 Long Ridge Road, Stamford CT 06927
Gary J. Schulman	Assistant Treasurer	777 Long Ridge Road, Stamford CT 06927
Peter J. Nicosia	Assistant Treasurer - State Taxes	777 Long Ridge Road, Stamford CT 06927

Filing Fee \$50.00  
Payable to  
Secretary of State

PLEASE TYPE or PRINT  
State of Rhode Island and Providence Plantations  
Office of The Secretary of State  
100 North Main Street  
Providence, Rhode Island 02903-1335  
401-277-3040

File Annually  
LLC: Sept 1 - Nov 1  
CORP: Jan 1 - March 1

Corporate ID: 0054013 Annual Report for the year: 1994

Name of Business Entity: YALE FINANCIAL SERVICES, INC.

Business entity organized under the laws of the State of: DELAWARE

Federal Taxpayer Identification Number: [REDACTED]

For foreign entity, address and telephone number of principal office

Dept 8109  
280 Long Ridge Road  
Stamford, CT 06827-6821

Phone: (203) 961-5005

Address and telephone of the principal office of business entity in Rhode Island (Provide street address - Not P.O. Box):

CT Corporation Systems  
123 Dyer Street  
Providence, RI 02903

Phone: (401) 861-7400

Business Entity is (check one):

- Business Corporation (See RIGL Chapter 7-11)
- Professional Service Corporation (See RIGL Chapter 7-5.1)
- Limited Liability Company (See RIGL 7-16)

Name, title and mailing address of contact person to whom communications may be directed:

Dominic A. Flore  
V.P.  
Dept 8109  
280 Long Ridge Road  
Stamford, CT 06827-6821

Brief statement of the character of business conducted in Rhode Island:

Financial Services

Date of Organization: 10/18/85

Date of Qualification to do business in Rhode Island (if foreign entity):  
2/10/89

THE NAMES OF THE OFFICERS ARE:

<input type="checkbox"/> CHIEF EXECUTIVE OFFICER OR <input type="checkbox"/> PRESIDENT (Check One)	STREET ADDRESS	CITY/STATE	ZIP CODE
<input type="checkbox"/> CHIEF OPERATING OFFICER OR <input type="checkbox"/> VICE PRESIDENT (Check One)	STREET ADDRESS	CITY/STATE	ZIP CODE
<input type="checkbox"/> CUSTODIAN OF RECORDS OR <input type="checkbox"/> SECRETARY (Check One)	STREET ADDRESS	CITY/STATE	ZIP CODE
<input type="checkbox"/> CHIEF FINANCIAL OFFICER OR <input type="checkbox"/> TREASURER (Check One)	STREET ADDRESS	CITY/STATE	ZIP CODE

PLEASE SEE ATTACHED SCHEDULE(S)

THE NAMES OF THE DIRECTORS ARE:

NAME	STREET ADDRESS	CITY/STATE	ZIP CODE
NAME	STREET ADDRESS	CITY/STATE	ZIP CODE
NAME	STREET ADDRESS	CITY/STATE	ZIP CODE

PLEASE SEE ATTACHED SCHEDULE(S)

NUMBER OF SHARES AUTHORIZED (If Applicable)		NUMBER OF SHARES ISSUED AND OUTSTANDING (If Applicable)	
NUMBER	<u>1,000</u>	NUMBER	<u>1,000</u>
CLASS	<u>Common</u>	CLASS	<u>Common</u>
SERIES	<u>-</u>	SERIES	<u>-</u>
PAR VALUE OR WITHOUT PAR	<u>1.00</u>	PAR VALUE OR WITHOUT PAR	<u>1.00</u>

Date: 2/10 19 94

By: [Signature]  
Barbara J. Nicosia  
PRINT OR TYPE NAME OF OFFICER SIGNING  
ASSISTANT TREASURER - STATE TAXES  
TITLE OF OFFICER SIGNING

Form 31 - 1994  
DESIGNATED REGISTERED OR RESIDENT AGENT FOR SERVICE OF PROCESS:

PLEASE NOTE: If the Corporation has changed its registered office and/or registered or resident agent, Form 9 or Form LLC 3 must be filed

CT CORPORATION SYSTEM  
123 DYER STREET  
PROVIDENCE RI 02903

FILED  
FEB 23 1994  
BY: 11259 70105308

YALE FINANCIAL SERVICES, INC.  
Federal ID# 06-1156013

Schedule

State of

Report  
or  
Return

<u>Name</u>	<u>Title</u>	<u>Business Address</u>
Michael A. Neal	Director & President	44 Old Ridgebury Rd. Danbury CT 06810
E. J. Simoneau	Director & EVP	44 Old Ridgebury Rd. Danbury CT 06810
E. W. Ryan	Director & Vice President	Routes 523 & 31, Flemington NJ 08822
J. Gordon Smith	Director & Treasurer	44 Old Ridgebury Rd. Danbury CT 06810
R. P. Noonan	Vice President	Routes 523 & 31, Flemington NJ 08822
L. M. LoMonico	Vice President	Routes 523 & 31, Flemington NJ 08822
R. S. Preschel	Vice President	Routes 523 & 31, Flemington NJ 08822
K. Vish	Vice President	Routes 523 & 31, Flemington NJ 08822
Amanda N. Skolan-Logue	Secretary	44 Old Ridgebury Rd, Danbury CT 06810
Michael A. Meehan	Assistant Secretary	44 Old Ridgebury Rd. Danbury CT 06810
Kelly S. Thomas	Assistant Secretary	44 Old Ridgebury Rd. Danbury CT 06810
William H. Brennan	Vice President	777 Long Ridge Road, Stamford CT
Dominic A. Fiore	Vice President	777 Long Ridge Road, Stamford CT
Peter J. Nicosia	Assistant Treasurer - State Taxes	777 Long Ridge Road, Stamford CT

Filing Fee \$50.00

To be filed annually between  
January 1st and March 1st

# State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION  
100 NORTH MAIN STREET  
PROVIDENCE, RHODE ISLAND 02903

46072

Corporate ID 0054013 Annual Report for the year 1993

FIRST: The name of the corporation is YALE FINANCIAL SERVICES, INC.

SECOND: It is incorporated under the laws of Delaware

THIRD: Character of business, briefly stated, is Financial Services

FOURTH: If foreign corporation, address of its principal office: Dept 8109  
260 Long Ridge Rd  
Stamford, Ct 06927-8621

FIFTH: Business address in Rhode Island 123 Dyer Street  
Providence, RI 02903

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name	Office	Address (including number, street, zip code)
	Director	
	Director	
	Director	<u>Please See Attached Schedule (B)</u>
	President	
	Vice President	
	Secretary	
	Treasurer	

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
<u>1,000</u>	<u>COMMON</u>	<u>-</u>	<u>\$1-</u>

PAID

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
<u>1,000</u>	<u>COMMON</u>	<u>-</u>	<u>\$1-</u>

FEB 22 1993  
SECY OF STATE

Dated Feb 15 1993

Yale Financial Services, Inc  
(Name of Corporation)

By Dominic A. Flore Dominic A. Flore

Title Assistant Treasurer - Taxes

(Report must be signed by an officer)

YALE FINANCIAL SERVICES, INC.  
Federal ID# 06-1156013

Schedule \_\_\_\_\_  
State of \_\_\_\_\_

Report  
or  
Return \_\_\_\_\_

<u>Name</u>	<u>Title</u>	<u>Business Address</u>
Michael A. Neal	Director & President	44 Old Ridgebury Rd, Danbury CT 06810
Dan S. Henson	Director & Executive Vice President	44 Old Ridgebury Rd, Danbury CT 06810
E. W. Ryan	Director & Vice President	Routes 523 & 31, Flemington NJ 08822
J. Gordon Smith	Director & Treasurer	44 Old Ridgebury Rd, Danbury CT 06810
R. P. Noonan	Vice President	Routes 523 & 31, Flemington NJ 08822
L. M. LoMonico	Vice President	Routes 523 & 31, Flemington NJ 08822
R. S. Preschel	Vice President	Routes 523 & 31, Flemington NJ 08822
Amanda N. Skolan-Logue	Secretary	44 Old Ridgebury Rd, Danbury CT 06810
Michael A. Meehan	Assistant Secretary	44 Old Ridgebury Rd, Danbury CT 06810
Kelly S. Thomas	Assistant Secretary	44 Old Ridgebury Rd, Danbury CT 06810
Barbara R. Lincoln	Vice President - Taxes	777 Long Ridge Road, Stamford CT
Carolyn B. Bailey	Assistant Treasurer - Taxes	777 Long Ridge Road, Stamford CT
Dominic A. Fiore	Assistant Treasurer - State Taxes	777 Long Ridge Road, Stamford CT

Filing Fee \$50.00

To be filed annually between  
January 1st and March 1st

# State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION  
100 NORTH MAIN STREET  
PROVIDENCE, RHODE ISLAND 02903

SM # 7011801

Corporate ID 0054013 Annual Report for the year 1992

FIRST: The name of the corporation is YALE FINANCIAL SERVICES, INC.

SECOND: It is incorporated under the laws of DELAWARE

THIRD: Character of business, briefly stated, is FINANCIAL SERVICES

FOURTH: If foreign corporation, address of its principal office 260 Long Ridge Road  
STAMFORD, CT 06927

FIFTH: Business address in Rhode Island 123 DYER STREET, Providence RI 02903

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name Office Address (including number, street, zip code)

Director

Director

SEE ATTACHED

Director

President

Vice President

Secretary

Treasurer

SEVENTH: Number of Shares authorized:

No. of Shares

Class

Series

1,000

COMMON

PAID

FEB 26 1992

Par Value  
or statement that  
shares are without  
par value  
\$ 1.00

EIGHTH: Number of Shares issued:

No. of Shares

Class

Series

1,000

COMMON

SECY OF STATE

Par Value  
or statement that  
shares are without  
par value  
\$ 1.00

Dated 2/20 19 92

YALE FINANCIAL SERVICES, INC.  
(Name of Corporation)

By [Signature]

Title ASSISTANT TREASURER

(Report must be signed by an officer)

YALE FINANCIAL SERVICES, INC.  
Federal ID# 06-1156013

Schedule \_\_\_\_\_

State of \_\_\_\_\_

Report  
or  
Return \_\_\_\_\_

<u>Name</u>	<u>Title</u>	<u>Business Address</u>
Michael A. Neal	Director & President	44 Old Ridgebury Rd, Danbury CT 06810
J. Gordon Smith	Director & Treasurer	44 Old Ridgebury Rd, Danbury CT 06810
J. Perry Gillies	Director & Executive Vice President	44 Old Ridgebury Rd, Danbury CT 06810
E. W. Ryan	Director & Vice President	Routes 523 & 31, Flemington NJ 08822
R. Noonan	Vice President	Routes 523 & 31, Flemington NJ 08822
L. M. LoMonico	Vice President	Routes 523 & 31, Flemington NJ 08822
R. S. Preschel	Vice President	Routes 523 & 31, Flemington NJ 08822
Michael A. Meehan	Secretary	44 Old Ridgebury Rd, Danbury CT 06810
Amanda N. Skolan-Logue	Assistant Secretary	44 Old Ridgebury Rd, Danbury CT 06810
Barbara Lincoln	Assistant Treasurer	777 Long Ridge Road, Stamford, CT 06927

# State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION  
100 NORTH MAIN STREET  
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 0054013 Annual Report for the year 1991

FIRST: The name of the corporation is YALE FINANCIAL SERVICES, INC.

SECOND: It is incorporated under the laws of DELAWARE

THIRD: Character of business, briefly stated, is FINANCIAL SERVICES

FOURTH: If foreign corporation, address of its principal office 260 LONG RIDGE ROAD  
STAMFORD, CT 06927

FIFTH: Business address in Rhode Island 111 WESTMINSTER STREET, PROVIDENCE,  
RI 02903

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name	Office	Address (including number, street, zip code)
	Director	
<u>SEE ATTACHED</u>	Director	<u>SEE ATTACHED</u>
<u>SCHEDULE #1</u>	Director	<u>SCHEDULE #1</u>
	President	
	Vice President	
	Secretary	
	Treasurer	

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
<u>1,000</u>	<u>COMMON</u>	<u>-</u>	<u>\$ 1.00</u>

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
<u>1,000</u>	<u>COMMON</u>		<u>\$ 1.00</u>

PAID  
FEB 28 1991  
REG'Y OF STATE

Dated Jan 30 19 91

YALE FINANCIAL SERVICES, INC.  
(Name of Corporation)

By [Signature]

Title ASSISTANT TREASURER - TAXES

(Report must be signed by an officer)

YALE FINANCIAL SERVICES, INC.  
Federal ID# 06-1156013

---

Schedule #1  
State of RHODE ISLAND

Report  
or  
Return ANNUAL REPORT

Name and Address of Directors and Officers

---

Charles W. Nicholson	Director & President	260 Long Ridge Road, Stamford, Connecticut
Ronald E. Chamides	Director & Vice President	260 Long Ridge Road, Stamford, Connecticut
Douglas A. Herron	Director	260 Long Ridge Road, Stamford, Connecticut
James A. Colica	Vice President & Comptroller	260 Long Ridge Road, Stamford, Connecticut
James A. Parke	Vice President - Finance	260 Long Ridge Road, Stamford, Connecticut
Raymond W. Martin	Vice President - Taxes	777 Long Ridge Road, Stamford, Connecticut
<del>Other Officers</del>	<del>Vice President - Finance</del>	<del>260 Long Ridge Road, Stamford, Connecticut</del>
Jeffrey S. Werner	Vice President & Treasurer	292 Long Ridge Road, Stamford, Connecticut
Michael A. Neehan	Secretary	260 Long Ridge Road, Stamford, Connecticut
Barbara R. Lincoln	Assistant Treasurer - Taxes	777 Long Ridge Road, Stamford, Connecticut

# State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION  
100 NORTH MAIN STREET  
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 54013 Annual Report for the year 1990

FIRST: The name of the corporation is YALE FINANCIAL SERVICES, INC.

SECOND: It is incorporated under the laws of DELAWARE

THIRD: Character of business, briefly stated, is FINANCIAL SERVICES

FOURTH: If foreign corporation, address of its principal office. 260 LONG RIDGE ROAD,  
STAMFORD, CT 06927

FIFTH: Business address in Rhode Island 111 WESTMINSTER STREET,  
PROVIDENCE, RI 02903

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name Office Address (including number, street, zip code)

Director

Director

Director

SEE ATTACHED

President

SEE ATTACHED

Vice President

Secretary

Treasurer

SEVENTH: Number of Shares authorized:

No. of Shares

Class

Series

Par Value  
or statement that  
shares are without  
par value

1,000

COMMON

-

1.00

**PAID**

EIGHTH: Number of Shares issued:

No. of Shares

Class

Series

Par Value  
or statement that  
shares are without  
par value

1,000

COMMON

-

1.00

**NOV 01 1990**

**REC'D OF STATE**

Dated 19

YALE FINANCIAL SERVICES, INC.

(Name of Corporation)

By B.R. Lincoln

Title

(Report must be signed by an officer)

YALE FINANCIAL SERVICES, INC.  
Federal ID# 06-1156013

---

Schedule 1  
State of RHODE ISLAND

Report  
or  
Return ANNUAL REPORT

Name and Address of Officers

---

Charles W. Nicholson	President	260 Long Ridge Road, Stamford, Connecticut
Ronald H. Chamides	Vice President	260 Long Ridge Road, Stamford, Connecticut
James A. Colica	Vice President & Comptroller	260 Long Ridge Road, Stamford, Connecticut
James A. Parke	Vice President - Finance	260 Long Ridge Road, Stamford, Connecticut
Raymond W. Martin	Vice President - Taxes	777 Long Ridge Road, Stamford, Connecticut
Ellen Lin	Vice President - Taxes	777 Long Ridge Road, Stamford, Connecticut
Jeffrey S. Werner	Vice President & Treasurer	292 Long Ridge Road, Stamford, Connecticut
Michael A. Mehan	Secretary	260 Long Ridge Road, Stamford, Connecticut
Barbara B. Lincoln	Assistant Treasurer - Taxes	777 Long Ridge Road, Stamford, Connecticut