RI SOS Filing Number: 201877947210 Date: 9/21/2018 2:22:00 PM



# State of Rhode Island and Providence Plantations Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

## Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2018

1. Corporate ID No. 000726109

**2.** Name of Corporation Tivorsan Pharmaceuticals, Inc.

3. Street Address Principal Business Office:

No. and Street: <u>3 DAVOL SQUARE</u>

SUITE A301

City or Town: PROVIDENCE State: RI Zip: 02903 Country: USA

4. Business Phone No.

855-640-6669

5. State of Incorporation

State: DE

### ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes here. More information on NAICS can be found online.

541690

6. Brief Description of the Character of Business Conducted in Rhode Island

#### RESEARCH AND DEVELOPMENT OF PROTEIN THERAPEUTICS

#### 7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

| Title     | Individual Name             | Address   |  |
|-----------|-----------------------------|---|--|
|           | First, Middle, Last, Suffix | Address, City or Town, State, Zip Code, Country |  |
| PRESIDENT | JAMES CONNELLY              | 437 ITHORN ROAD<br>ST.DAVIDS, PA 19007 USA      |  |

| DIRECTOR | JOHN NICHOLSON  | 118 CLAPBOARD RIDGE ROAD<br>GREENWICH, CT 06234 USA |
|----------|-----------------|---|
| DIRECTOR | JUSTIN FALLON   | 262 FREEMAN PARKWAY<br>PROVIDENCE, RI 02906 USA     |
| DIRECTOR | JOEL BRAUNSTEIN | 27 ABERDEEN CT<br>BANNOCKBURN, IL 60015 USA         |

#### 8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized<br>Shares<br>Number of Shares | Total Issued<br>and<br>Outstanding<br>Num of<br>Shares |
|----------------|-----------------|---------------------|--|--|
| PWP            | A               | \$0.0100            | 3,472,223.00                                   | 4665928  |
| PWP            |                 | \$0.0100            | 16,527,777.00                                  | 9009050  |
| CWP            |                 | \$0.0100            | 10,000,000.00                                  | 9975283  |

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

**Signed this 21 Day of September, 2018 at 2:24:56 PM.** This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

## By ANGELA CROWELL

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

© 2007 - 2018 State of Rhode Island and Providence Plantations All Rights Reserved