



State of Rhode Island and Providence Plantations
Department of State - Business Services Division

Certificate of Authority

FOREIGN Non-Profit Corporation

→ Filing Fee: \$50.00

Pursuant to the provisions of RIGL 7-6-74, the undersigned foreign non-profit corporation hereby applies for a Certificate of Authority to conduct affairs in the State of Rhode Island, and for that purpose submits the following statement:

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1. The name of the corporation is:		
NECEC Institute, Inc.		
If this name is unavailable in Rhode Island, the corporation's elected name is:		
2. It is incorporated under the laws of: Massachusetts		
3. The date of its incorporation is:	November 15, 2006	
And the period of its duration is: CHECK ONLY ONE BOX		
<input checked="" type="checkbox"/> Perpetual (on-going)		
<input type="checkbox"/> Date certain for dissolution _____		
4. The address of its principal place of business is:		
250 Summer Street, 5th Floor, Boston MA 02210		
5. The name and address of the initial registered agent/office in Rhode Island is:		
Agent Name <i>Registered Agents Inc</i>		
Street Address (NOT a P.O. Box) <i>One Richmond Square</i>		
City/Town <i>PROVIDENCE</i>	State RHODE ISLAND	Zip Code <i>02906</i>
6. List the specific purposes for transacting business in Rhode Island:		
We are being funded to help accelerate clean tech innovation		
Check the box to indicate an attachment. <input type="checkbox"/>		

MAIL TO:
 Division of Business Services
 148 W. River Street, Providence, Rhode Island 02904-2615
 Phone: (401) 222-3040
 Website: www.sos.ri.gov

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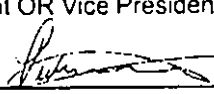

BY *[Signature]*

7. The names and respective addresses of its directors and officers are:		
OFFICE	NAME	ADDRESS
Director	Mitch Tyson	20 Burroughs Road, Lexington, MA 02420
Director	Dan Goldman	101 Main Street, 14th fl., Cambridge, MA 02142
Director	Steve Cowell	E4TheFuture, 10 Speen St., Framingham, MA 01701
President	Peter Rothstein	NECEC, 250 Summer Street, 5th fl., Boston, MA 02210
Vice President	Alistair Pim	NECEC, 250 Summer Street, 5th fl., Boston, MA 02210
Treasurer	Jeff Haydock	ecoCFO, 145 Mirona Rd, Ste. 210, Portsmouth, NH 03801
Secretary	Tom Burton	Mintz, One Financial Center, Boston, MA 02111

Check the box to indicate an attachment. ☒

8. This application must be accompanied by Certified Copies of its Articles of Incorporation and **ALL** Amendments issued by the proper officer of the state or country under the laws of which it is incorporated that is dated within 60 days of the filing of this document.

Under penalty of perjury, we declare and affirm that we have examined this Application for Certificate of Authority, including and accompanying attachments, and that all statements contained herein are true and correct.

Type or Print Name of <input checked="" type="checkbox"/> President OR <input type="checkbox"/> Vice President Peter Rothstein	Date Oct. 31, 2018
Signature of President OR Vice President  SIGN DOCUMENT HERE	
Type of Print Name of <input checked="" type="checkbox"/> Secretary OR <input type="checkbox"/> Assistant Secretary Tom Burton	Date Oct. 31, 2018
Signature of Secretary OR Assistant Secretary  SIGN DOCUMENT HERE	



William Francis Galvin
Secretary of the
Commonwealth

The Commonwealth of Massachusetts
Secretary of the Commonwealth
State House, Boston, Massachusetts 02133

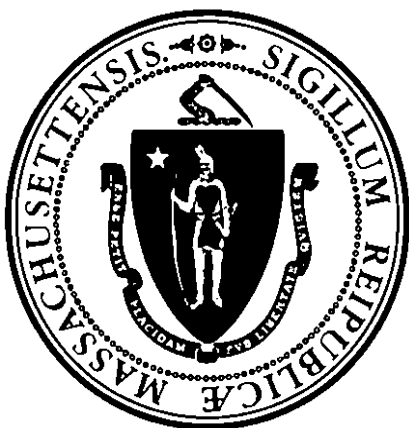
Date: October 10, 2018

To Whom It May Concern :

I hereby certify that according to the records of this office,
NECEC INSTITUTE, INC.

is a domestic corporation organized on **November 15, 2006**

I further certify that there are no proceedings presently pending under the Massachusetts General Laws Chapter 180 section 26 A, for revocation of the charter of said corporation; that the State Secretary has not received notice of dissolution of the corporation pursuant to Massachusetts General Laws, Chapter 180, Section 11, 11A, or 11B; that said corporation has filed all annual reports, and paid all fees with respect to such reports, and so far as appears of record said corporation has legal existence and is in good standing with this office.



In testimony of which,
I have hereunto affixed the
Great Seal of the Commonwealth
on the date first above written.

William Francis Galvin

Secretary of the Commonwealth

Certificate Number: 18100212090

Verify this Certificate at: <http://corp.sec.state.ma.us/CorpWeb/Certificates/Verify.aspx>

Processed by:



State of Rhode Island and Providence Plantations
Department of State | Office of the Secretary of State
Nellie M. Gorbea, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island
and Providence Plantations, hereby certify that this document, duly executed in
accordance with the provisions of Title 7 of the General Laws of Rhode Island, as
amended, has been filed in this office on this day:

December 03, 2018 01:33 PM

The signature is written in a cursive, flowing style in blue ink. It appears to read "Nellie M. Gorbea".

Nellie M. Gorbea
Secretary of State

