| State of | of Rhode Island and Pro Office of the Secreta | | Fee: \$50.00 |
|--|--|--|-------------------|
| HOPE | Division Of Business 148 W. River S Providence RI 0290 (401) 222-30 | treet 04-2615 | |
| Foreign Business Corpora Annual Report Filing Period: January 1 - March 1 | ation | | |
| In accordance with R.I.G.L. 7-1.2- annual report within thirty (30) day (c&d)) is subject to a penalty fee o | s after the time prescribed by I | | |
| ANNUAL REPORT YEAR: 2019 | | | |
| 1. Corporate ID No. 00013 | 8817 | | |
| 2. Name of Corporation <u>PFM</u> | AM Inc. | | |
| 3. Street Address Principal Bus | siness Office: | | |
| No. and Street: <u>1735 MARKET</u> <u>ATTN: COMP</u> City or Town: <u>PHILADELPH</u> | LIANCE MANAGER | State: <u>PA</u> Zip: <u>19103-2770</u> Co | untry: <u>USA</u> |
| 4. Business Phone No. | | | |
| 5. State of Incorporation | | | |
| State: <u>PA</u> | | | |
| | ARTICLE III | | |
| Enter the six digit NAICS Code the list of codes here. More inform | | business conducted by the entity. online. | Download |
| <u>525990</u> | | | |
| 6. Brief Description of the Cha | racter of Business Conducte | d in Rhode Island | |
| PUBLIC INVESTMENT ADV | /ISORS | | |
| 7. Names and Addresses of the | Officers and Directors: | | |
| All officers and directors mu | ist be listed. | | |
| Title | Individual Name | Address | |
| PRESIDENT | First, Middle, Last, Suffix MARTIN MARGOLIS | Address, City or Town, State, Zip Coc ONE KEYSTONE PLAZA, | |
| | | HARRISBURG, PA 17101- US | |

| CWP | | | | Shares Number of Shares | Num of Shares |
|-----|---|----------|--|----------------------------|------------------|
| 0 | | | \$10.0000 | 100.00 | 100 |
| • | • | <i>,</i> | 3:34 AM. This electro constitutes the affirm | 0 0 | |

By <u>MARTY MARGOLIS</u> Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

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