



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2019

1. Corporate ID No. 000145379

2. Name of Corporation W&S Financial Group Distributors, Inc.

3. Street Address Principal Business Office:

No. and Street: 303 BROADWAY, SUITE 1100
City or Town: CINCINNATI

State: OH Zip: 45202 Country: USA

4. Business Phone No.

5. State of Incorporation

State: OH

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

524210

6. Brief Description of the Character of Business Conducted in Rhode Island

ACTING AS A BROKER OR AGENT FOR INSURANCE COMPANIES

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	MARK E. CANER	303 BROADWAY SUITE 1100 CINCINNATI, OH 45202 USA
TREASURER	JAY V JOHNSON	400 BROADWAY

		CINCINNATI, OH 45202 USA
SECRETARY	SARAH SPARKS HERRON	400 BROADWAY CINCINNATI, OH 45202 USA
CFO	TERRIE A WIEDENHEFT	303 BROADWAY SUITE 1100 CINCINNATI, OH 45202 USA
ASSISTANT TREASURER	TIMOTHY D SPEED	400 BROADWAY CINCINNATI, OH 45202 USA
ASSISTANT TREASURER	KATHLEEN A CORNELIUS	400 BROADWAY CINCINNATI, OH 45202 USA
VICE PRESIDENT	CATHERINE A BEZOLD	303 BROADWAY SUITE 1100 CINCINNATI, OH 45202 USA
VICE PRESIDENT	DANIEL R LARSEN	400 BROADWAY CINCINNATI, OH 45202 USA
SENIOR VICE PRESIDENT	DANIEL J DOWNING	303 BROADWAY SUITE 1100 CINCINNATI, OH 45202 USA
SENIOR VICE PRESIDENT	BRADLEY J HUNKLER	400 BROADWAY CINCINNATI, OH 45202 USA
DIRECTOR	JONATHAN D NIEMEYER	400 BROADWAY CINCINNATI, OH 45202 USA
DIRECTOR	JILL T MCGRUDER	303 BROADWAY STE 1100 CINCINNATI, OH 45202 USA
DIRECTOR	DONALD J WUEBBLING	400 BROADWAY CINCINNATI, OH 45202 USA
DIRECTOR	JAMES N CLARK	400 BROADWAY CINCINNATI, OH 45202 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	1,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 17 Day of January, 2019 at 10:34:37 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By TIMOTHY D. SPEED
Signature of Authorized Representative of the Corporation

