



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2019

**1. Corporate ID No.** 000145379

**2. Name of Corporation** W&S Financial Group Distributors, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 303 BROADWAY, SUITE 1100

City or Town: CINCINNATI

State: OH Zip: 45202 Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: OH

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

524210

**6. Brief Description of the Character of Business Conducted in Rhode Island**

ACTING AS A BROKER OR AGENT FOR INSURANCE COMPANIES

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

| Title     | Individual Name | Address   |
|-----------|-----------------|---|
| PRESIDENT | MARK E. CANER   | 303 BROADWAY SUITE 1100<br>CINCINNATI, OH 45202 USA |
| TREASURER | JAY V JOHNSON   | 400 BROADWAY  |

|                       |                      |   |
|-----------------------|----------------------|---|
|                       |                      | CINCINNATI, OH 45202 USA                            |
| SECRETARY             | SARAH SPARKS HERRON  | 400 BROADWAY<br>CINCINNATI, OH 45202 USA            |
| CFO                   | TERRIE A WIEDENHEFT  | 303 BROADWAY SUITE 1100<br>CINCINNATI, OH 45202 USA |
| ASSISTANT TREASURER   | TIMOTHY D SPEED      | 400 BROADWAY<br>CINCINNATI, OH 45202 USA            |
| ASSISTANT TREASURER   | KATHLEEN A CORNELIUS | 400 BROADWAY<br>CINCINNATI, OH 45202 USA            |
| VICE PRESIDENT        | CATHERINE A BEZOLD   | 303 BROADWAY SUITE 1100<br>CINCINNATI, OH 45202 USA |
| VICE PRESIDENT        | DANIEL R LARSEN      | 400 BROADWAY<br>CINCINNATI, OH 45202 USA            |
| SENIOR VICE PRESIDENT | DANIEL J DOWNING     | 303 BROADWAY SUITE 1100<br>CINCINNATI, OH 45202 USA |
| SENIOR VICE PRESIDENT | BRADLEY J HUNKLER    | 400 BROADWAY<br>CINCINNATI, OH 45202 USA            |
| DIRECTOR              | JONATHAN D NIEMEYER  | 400 BROADWAY<br>CINCINNATI, OH 45202 USA            |
| DIRECTOR              | JILL T MCGRUDER      | 303 BROADWAY STE 1100<br>CINCINNATI, OH 45202 USA   |
| DIRECTOR              | DONALD J WUEBBLING   | 400 BROADWAY<br>CINCINNATI, OH 45202 USA            |
| DIRECTOR              | JAMES N CLARK        | 400 BROADWAY<br>CINCINNATI, OH 45202 USA            |

### 8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized<br>Shares<br><i>Number of Shares</i> | Total Issued<br>and<br>Outstanding<br><i>Num of<br/>Shares</i> |
|----------------|-----------------|---------------------|---|--|
| CWP            |                 | \$1.0000            | 1,000.00  | 1000   |

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 17 Day of January, 2019 at 10:34:37 AM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By TIMOTHY D. SPEED  
Signature of Authorized Representative of the Corporation

