



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2019

1. Corporate ID No. 000023393

2. Name of Corporation Raymond James Financial Services, Inc.

3. Street Address Principal Business Office:

No. and Street: 880 CARILLON PARKWAY
City or Town: ST. PETERSBURG

State: FL Zip: 33716 Country: USA

4. Business Phone No.

5. State of Incorporation

State: FL

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

425120

6. Brief Description of the Character of Business Conducted in Rhode Island

AN INDEPENDENT CONTRACTOR BROKER-DEALER SUBSIDIARY OF RJF. RJFS ALSO HAS FOUR INSTITUTIONAL SALES OFFICES IN EUROPE. RJFS CLEARS ALL OF ITS BUSINESS ON A FULLY-DISCLOSED BASIS THROUGH RJA.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country

PRESIDENT	SCOTT A. CURTIS	880 CARILLON PARKWAY ST. PETERSBURG , FL 33716 USA
TREASURER	RICHARD B. FRANZ II	880 CARILLON PARKWAY ST. PETERSBURG , FL 33716 USA
SECRETARY	MARY E. HAAS	880 CARILLON PARKWAY ST. PETERSBURG , FL 33716 USA
CHAIRMAN	SCOTT A. CURTIS	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
DIRECTOR	VINCENT J. CAMPAGNOLI	880 CARILLON PARKWAY ST. PETERSBURG , FL 33716 USA
DIRECTOR	WILLIAM C. GEIS	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
DIRECTOR	TIMOTHY R. KILLGOAR	880 CARILLON PARKWAY ST. PETERSBURG, FL 33716 USA
DIRECTOR	THOMAS R. TREMAINE	880 CARILLON PARKWAY ST. PETERSBURG , FL 33716 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	5,000.00	5000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 21 Day of January, 2019 at 11:06:08 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By KELLY LETTMANN
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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