



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2019

**1. Corporate ID No.** 000111888

**2. Name of Corporation** Next Financial Group, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 2500 WILCREST DRIVE, SUITE 620  
City or Town: HOUSTON

State: TX Zip: 77042 Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: VA

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523120

**6. Brief Description of the Character of Business Conducted in Rhode Island**

SECURITIES BROKER/DEALER

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	BARRY G. KNIGHT	2500 WILCREST DRIVE, SUITE 620 HOUSTON, TX 77042 USA
SECRETARY	PHIL SMITH	2500 WILCREST DRIVE, SUITE 620

		HOUSTON, TX 77042 USA
CFO	PHIL SMITH	2500 WILCREST DRIVE, SUITE 620 HOUSTON, TX 77042 USA
CHAIRMAN	NORMAN GRANT	2500 WILCREST DRIVE, SUITE 620 HOUSTON, TX 77042 USA
DIRECTOR	CARY COWAN	2500 WILCREST DRIVE, SUITE 620 HOUSTON, TX 77042 USA
DIRECTOR	JEFFREY SALINE	2500 WILCREST DRIVE, SUITE 620 HOUSTON, TX 77042 USA
DIRECTOR	NORMAN GRANT	2500 WILCREST DRIVE, SUITE 620 HOUSTON, TX 77042 USA
DIRECTOR	DAVID HOLTZ	2500 WILCREST DRIVE, SUITE 620 HOUSTON, TX 77042 USA
DIRECTOR	BARRY G. KNIGHT	2500 WILCREST DRIVE, SUITE 620 HOUSTON, TX 77042 USA
DIRECTOR	ARTHUR FARR	2500 WILCREST DRIVE, SUITE 620 HOUSTON, TX 77042 USA

## 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.0100	1,000,000.00	5000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 7 Day of February, 2019 at 3:23:12 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By PHIL SMITH  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07