



Department of State - Business Services Division

RECEIVED
SECRETARY OF STATE
CORPORATIONS
2019 FEB 12 PM 12:27

Annual Report for the year: 2019
Corporation

- Filing period: January 1 - March 1
→ Filing Fee: \$50.00
→ Penalty: Additional \$25.00 fee if form is not filed by April 1.

1. Entity ID Number <u>000291999</u>		2. Exact name of the Corporation <u>Prothera Biosciences, Inc.</u>			
3. Principal Office Address <u>349 Eddy Street</u>		City <u>Providence</u>	State <u>RI</u>	Zip <u>02903</u>	
4. NAICS Code <u>541714</u>	6. Brief description of the character of business conducted in Rhode Island <u>Biotherapeutics R+D Company</u>				
5. State of Incorporation <u>RI</u>					
7. List ALL officers (names and addresses) Check the box to indicate an attachment <input type="checkbox"/>					
President Name <u>Denice Spero</u>			Vice-President Name		
Street Address <u>349 Eddy St</u>			Street Address		
City <u>Providence</u>	State <u>RI</u>	Zip <u>02903</u>	City	State	Zip
Secretary Name <u>same as above</u>			Treasurer Name		
Street Address			Street Address		
City	State	Zip	City	State	Zip
8. List ALL directors (names and addresses) Check the box to indicate an attachment <input checked="" type="checkbox"/>					
Director Name			Director Name		
Street Address			Street Address		
City	State	Zip	City	State	Zip
Director Name			Director Name		
Street Address			Street Address		
City	State	Zip	City	State	Zip
9. Shares Authorized		10. Shares Issued Check the box to indicate an attachment <input type="checkbox"/>			
This information is currently of record in the Department of State. Changes require an additional filing.		NUMBER OF SHARES		CLASS/SERIES	PAR VALUE
		<u>3,500,000</u>	<u>CWP</u>	<u>\$0.0010</u>	
		<u>37,000</u>	<u>PWP</u>	<u>\$0.0010</u>	
11. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee. <u>Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.</u>					
Name of Authorized Representative <u>Denice M. Spero</u>				Date <u>2/8/2019</u>	
Signature of Authorized Representative <u>Denice M. Spero</u> FILED					

ProThera biologics, Inc.
349 Eddy Street
Providence, RI 02903

List of Directors 2/8/2019

Richard G. Horan	349 Eddy Street Providence, RI 02903
Yow-Pin Lim	349 Eddy Street Providence, RI 02903
Pierre Laurin	Prometic Life Sciences 440 Boul. Armand- Frappier Suite 300 Laval, Quebec H7V 4B4 Canada
Douglas Hixson	349 Eddy Street Providence, RI 02903
Richard Andrews	349 Eddy Street Providence, RI 02903