



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

Foreign Business Corporation
Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2019

1. Corporate ID No. 000056759

2. Name of Corporation PGIM, Inc.

3. Street Address Principal Business Office:

No. and Street: 655 BROAD STREET

City or Town: NEWARK

State: NJ

Zip: 07102

Country: USA

4. Business Phone No.

5. State of Incorporation

State: NJ

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523110

6. Brief Description of the Character of Business Conducted in Rhode Island

HAS RESPONSIBILITY FOR THE INVESTMENT BUSINESS OF PRUDENTIAL.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	DAVID A HUNT	655 BROAD STREET NEWARK, NJ 07102 USA
TREASURER	BRIAN A BARNARD	751 BROAD ST. 21ST FLOOR

		NEWARK, NJ 07102 USA
SECRETARY	WILEY S ADAMS	180 N. STETSON AVENUE CHICAGO, IL 60601 USA
VICE PRESIDENT	DANIEL J MALOOLY	655 BROAD STREET NEWARK, NJ 07102 USA
DIRECTOR	DAVID A HUNT	655 BROAD STREET NEWARK, NJ 07102 USA
DIRECTOR	ALLEN A WEAVER	180 N. STETSON AVENUE CHICAGO, IL 60601 USA
DIRECTOR	JURGEN MUHLHAUSER	655 BROAD STREET NEWARK, NJ 07102 USA
DIRECTOR	MICHAEL K LILLARD	655 BROAD STREET NEWARK, NJ 07102 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	100.00	98

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 13 Day of February, 2019 at 10:29:13 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By DARETH JEFFERS
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07

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