



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2019

**1. Corporate ID No.** 001681833

**2. Name of Corporation** Thornburg Investment Management, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 2300 NORTH RIDGETOP ROAD

City or Town: SANTA FE

State: NM Zip: 87506 Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: DE

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523900

**6. Brief Description of the Character of Business Conducted in Rhode Island**

TO PROVIDE INVESTMENT ADVISORY AND MANAGEMENT SERVICES; TO PROVIDE GENERAL INVESTMENT ADVICE.

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name	Address
	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
PRESIDENT	JASON BRADY	2300 NORTH RIDGETOP ROAD SANTA FE, NM 87506 USA

CHAIRMAN	GARRETT THORNBURG	2300 NORTH RIDGETOP ROAD SANTA FE, NM 87506 USA
VICE CHAIRMAN	BRIAN J. MCMAHON	2300 NORTH RIDGETOP ROAD SANTA FE, NM 87506 USA
SECRETARY	NIMISH BHATT	2300 NORTH RIDGETOP ROAD SANTA FE, NM 87506 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
STK	A	\$1.0000	5,000.00	1000
STK	B	\$1.0000	195,000.00	136500

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 15 Day of February, 2019 at 12:39:43 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By NIMISH BHATT  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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