



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2019

**1. Corporate ID No.** 000042986

**2. Name of Corporation** Thrivent Investment Management Inc.

**3. Street Address Principal Business Office:**

No. and Street: MS - REG FINANCIAL

625 FOURTH AVENUE SOUTH

City or Town: MINNEAPOLIS

State: MN Zip: 55415-1665 Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: DE

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

524210

**6. Brief Description of the Character of Business Conducted in Rhode Island**

TO ACT AS A BROKER-DEALER FOR THE SALE OF MUTUAL FUND SHARES.

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

| Title     | Individual Name | Address                                       |
|-----------|-----------------|---|
| PRESIDENT | KAREN LARSON    | 625 FOURTH AVE S<br>MINNEAPOLIS, MN 55415 USA |

|                                    |                     |   |
|------------------------------------|---------------------|---|
| TREASURER                          | KURT S TURESON      | 625 FOURTH AVE S<br>MINNEAPOLIS, MN 55415 USA |
| CHIEF COMPLIANCE OFFICER           | ANDREA GOLIS        | 625 FOURTH AVE S<br>MINNEAPOLIS, MN 55415 USA |
| VP SERV OPS                        | BRUCE KORNAUS       | 625 FOURTH AVE S<br>MINNEAPOLIS, MN 55415 USA |
| ASST SECRETARY                     | TRACY SALWEI        | 625 FOURTH AVE S<br>MINNEAPOLIS, MN 55415 USA |
| VP CORPORATE ADMIN                 | SUE PLAMANN         | 625 FOURTH AVE S<br>MINNEAPOLIS, MN 55415 USA |
| VP, SUPERVISION                    | CHRISTOPHER OSBORNE | 625 FOURTH AVE S<br>MINNEAPOLIS, MN 55415 USA |
| VICE PRESIDENT                     | DAVE KLOSTER        | 625 FOURTH AVE S<br>MINNEAPOLIS, MN 55415 USA |
| DIRECTOR                           | DEBRA C NEWMAN      | 625 FOURTH AVE S<br>MINNEAPOLIS, MN 55415 USA |
| DIRECTOR                           | JAMES A THOMSEN     | 625 FOURTH AVE S<br>MINNEAPOLIS, MN 55415 USA |
| VICE PRESIDENT                     | TOM BIRR            | 625 FOURTH AVE S<br>MINNEAPOLIS, MN 55415 USA |
| CHIEF LEGAL OFFICER &<br>SECRETARY | TIM MEEHAM          | 625 FOURTH AVE S<br>MINNEAPOLIS, MN 55415 USA |
| DIRECTOR                           | KAREN LARSON        | 625 FOURTH AVE S<br>MINNEAPOLIS, MN 55415 USA |
| DIRECTOR                           | RANDALL L BOUSHEK   | 625 FOURTH AVE S<br>MINNEAPOLIS, MN 55415 USA |
| DIRECTOR                           | THOMAS L YOUNG      | 625 FOURTH AVE S<br>MINNEAPOLIS, MN 55415 USA |

#### 8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized<br>Shares<br><i>Number of Shares</i> | Total Issued<br>and<br>Outstanding<br><i>Num of<br/>Shares</i> |
|----------------|-----------------|---------------------|---|--|
| CWP            |                 | \$0.0100            | 1,000.00  | 98   |

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 25 Day of February, 2019 at 2:41:58 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By KURT S TURESON  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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