



State of Rhode Island and Providence Plantations
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2019

1. Corporate ID No. 000098622

2. Name of Corporation Fidelity Investments Institutional Services Company, Inc.

3. Street Address Principal Business Office:

No. and Street: 245 SUMMER STREET, MZ ZW9A

City or Town: BOSTON

State: MA Zip: 02210 Country: USA

4. Business Phone No.

5. State of Incorporation

State: MA

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523120

6. Brief Description of the Character of Business Conducted in Rhode Island

INSTITUTIONAL MARKETING BROKER/DEALER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name	Address
PRESIDENT	JUDY A MARLINSKI	245 SUMMER STREET BOSTON, MA 02210 USA
TREASURER	MICHAEL KEARNEY	245 SUMMER STREET

		BOSTON, MA 02210 USA
SECRETARY	NATALIE KAVANAUGH	245 SUMMER STREET BOSTON, MA 02210 USA
CFO	MICHAEL LYONS	245 SUMMER STREET BOSTON, MA 02210 USA
ASSISTANT SECRETARY	PETER D STAHL	245 SUMMER STREET BOSTON, MA 02210 USA
CHIEF COMPLIANCE OFFICER	JASON J LINDE	245 SUMMER STREET BOSTON, MA 02210 USA
ASSISTANT TREASURER	ERIC C GREEN	245 SUMMER STREET BOSTON, MA 02210 USA
CHIEF LEGAL OFFICER	NATALIE KAVANAUGH	245 SUMMER STREET BOSTON, MA 02210 USA
DIRECTOR	JUDY A MARLINSKI	245 SUMMER STREET BOSTON, MA 02210 USA
DIRECTOR	TIMOTHY MULCAHY	245 SUMMER STREET BOSTON, MA 02210 USA
DIRECTOR	MATTHEW DEPIERO	245 SUMMER STREET BOSTON, MA 02210 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$1.0000	250,000.00	1000

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 25 Day of February, 2019 at 2:46:58 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By PETER D. STAHL
Signature of Authorized Representative of the Corporation

Form No. 630
Revised 09/07