



State of Rhode Island and Providence Plantations  
Office of the Secretary of State

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

Filing Period: January 1 - March 1

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2019

**1. Corporate ID No.** 000132513

**2. Name of Corporation** PRINCIPAL FUNDS DISTRIBUTOR, INC.

**3. Street Address Principal Business Office:**

No. and Street: ATTN; SHIRLEY HOLLISTER, G-007-S45  
711 HIGH STREET

City or Town: DES MOINES State: IA Zip: 50392-0306 Country: USA

**4. Business Phone No.**

**5. State of Incorporation**

State: WA

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523110

**6. Brief Description of the Character of Business Conducted in Rhode Island**

DISTRIBUTION SERVICES FOR MUTUAL FUND.

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
PRESIDENT	JILL R BROWN	620 COOLIDGE DR, STE 300 FOLSOM, CA 95630 USA

TREASURER	GINA L GRAHAM	711 HIGH STREET DES MOINES, IA 50392 USA
CEO	MICHAEL J BEER	620 COOLIDGE DR, STE 300 FOLSOM, CA 95630 USA
CFO	MICHAEL SCHOLTEN	711 HIGH STREET DES MOINES, IA 50392 USA
SVP	TIMOTHY A HILL	620 COOLIDGE DR, STE 300 FOLSOM, CA 95630 USA
ASST. GENERAL COUNSEL/ASST. SEC	STEPHEN G GALLAHER	620 COOLIDGE DR, STE 300 FOLSOM, CA 95630 USA
SVP/CMO	ELLEN W SHUMWAY	620 COOLIDGE DR, STE 300 FOLSOM, CA 95630 USA
SVP	TRACY W BOLLIN	711 HIGH STREET DES MOINES, IA 50392 USA
AVP/TREASURY	DAN L WESTHOLM	620 COOLIDGE DR, STE 300 FOLSOM, CA 95630 USA
EVP/GENERAL COUNSEL/SECRETARY	KAREN E SHAFF	620 COOLIDGE DR, STE 300 FOLSOM, CA 95630 USA
CCO/AML OFFICER	MICHELLE STOCKMAN	620 COOLIDGE DR, STE 300 FOLSOM, CA 95630 USA
SVP/CIO	BRIAN S NESS	711 HIGH STREET DES MOINES, IA 50392 USA
DIRECTOR	MICHAEL J BEER	620 COOLIDGE DR, STE 300 FOLSOM, CA 95630 USA
DIRECTOR	JILL R BROWN	620 COOLIDGE DR, STE 300 FOLSOM, CA 95630 USA
DIRECTOR	TIMOTHY A HILL	620 COOLIDGE DR, STE 300 FOLSOM, CA 95630 USA
DIRECTOR	JULIA M LAWLER	711 HIGH STREET DES MOINES, IA 50392 USA

#### 8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$10.0000	10,000.00	10000

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 1 Day of March, 2019 at 1:46:16 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By STEPHEN G GALLAHER  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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