

Filing Fee: \$150.00
License Fee: \$15.00 minimum (§7-1.1-124)

ID Number: 104525



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Corporations Division
100 North Main Street
Providence, Rhode Island 02903-1335

BUSINESS CORPORATION

APPLICATION FOR CERTIFICATE OF AUTHORITY

Pursuant to the provisions of Section 7-1 1-103 of the General Laws, 1956, as amended, the undersigned corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

- 1 The name of the corporation is SBLM Architects, PC
- 2 It is incorporated under the laws of New York State
- 3 The name, if different, which it elects to use in Rhode Island is:

(a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation," "company," "incorporated," or "limited" (or an abbreviation thereof), then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:

SBLM Architects, PC (Professional Corporation)

(b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this Application:

- 4 The date of its incorporation is 6-17-93 and the period of its duration is perpetual

- 5 The address of its principal office in the state or country under the laws of which it is incorporated is 636 Broadway 9th Floor New York, NY 10012

- 6 The address of its proposed registered office in Rhode Island is National Registered Agents, Inc.
(Street)

Warwick RI 02888 and the name of its proposed registered agent in Rhode Island at
(City/Town) (Zip Code)
that address is 222 Jefferson Boulevard, Suite 200

- 7 The specific purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are Architecture

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- 8 The names and respective addresses of the directors and officers are:

MAY 18 1999

By CLT/B3
57013

Address

| Name | Address |
|---------------------------------------|--|
| Director <u>Edward T. Shiffer</u> | <u>636 Broadway 9th Floor New York, NY 10012</u> |
| Director _____ | _____ |
| President <u>Joshua Burdick</u> | <u>636 Broadway 9th Floor New York, NY 10012</u> |
| Vice President <u>Rolando Kenny</u> | <u>636 Broadway 9th Floor New York, NY 10012</u> |
| Secretary <u>Philip Magnuson</u> | <u>636 Broadway 9th Floor New York, NY 10012</u> |
| Treasurer <u>Edward T. Shiffer</u> | <u>636 Broadway 9th Floor New York, NY 10012</u> |
| Vice President <u>Michael LeFandè</u> | <u>636 Broadway 9th Floor New York, NY 10012</u> |

9 The aggregate number of shares which it has authority to issue, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

| <u>Number of Shares</u> | <u>Class</u> | <u>Series</u> | <u>Par Value or Statement that Shares are without Par Value</u> |
|-------------------------|--------------|---------------|---|
| 200 | Common Class | NA | NPV |

10 The aggregate number of its issued shares, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

| <u>Number of Shares</u> | <u>Class</u> | <u>Series</u> | <u>Par Value or Statement that Shares are without Par Value</u> |
|-------------------------|--------------|---------------|---|
| 93.5 | Common Class | NA | NPV |

11 (a) An estimate of the value of all property to be owned by the corporation for the following year, wherever located, is \$ 450,000

(b) An estimate of the value of the corporation's property to be located within Rhode Island during the following year is \$ -0-

(c) An estimate, expressed as a percentage, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located 0 % [divide (b) by (a) and multiply by 100 to obtain the percentage].

12 (a) An estimate of the gross amount of business to be transacted by the corporation during the following year is \$ 8,000,000

(b) An estimate of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year is \$ 100,000

(c) An estimate, expressed as a percentage, of the proportion that the gross amount of business to be transacted by the corporation at or from places of business in this state during the following year bears to the gross amount thereof which will be transacted by the corporation during the following year 1.25 % [divide (b) by (a) and multiply by 100 to obtain the percentage].

13 This application is accompanied by certified copies of its articles of incorporation and all amendments thereto, duly authenticated by the secretary of state or other authorized officer of the jurisdiction of its incorporation.

Dated 17 May, 19 99

SBLM Architects, PC

(Exact Corporate Name of Corporation-Making Application)

Edward T. Shiffer

By

Chairman President or Vice President (check one)

Philip Magnuson

By

AND
 Secretary or Assistant Secretary (check one)

STATE OF
COUNTY OF

in New York State, on this 17 day of May, 19 99, personally appeared before me Edward T. Shiffer/Philip Magnuson, an officer of the corporation, who, under oath, verified that the information contained in this Application is true and accurate.

Merlene Williams

Notary Public

My Commission Expires _____

MERLENE D. WILLIAMS
Notary Public, State of New York
No. 01WI5041014
Qualified in Kings County
Commission Expires March 27, 2001



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

BOARDS FOR DESIGN PROFESSIONALS
BOARD OF EXAMINATION AND REGISTRATION OF ARCHITECTS
BOARD OF EXAMINERS OF LANDSCAPE ARCHITECTS
BOARD OF REGISTRATION FOR PROFESSIONAL ENGINEERS
BOARD OF REGISTRATION FOR PROFESSIONAL LAND SURVEYORS

1 CAPITOL HILL, 3rd FLOOR, PROVIDENCE, R.I. 02908-5860
(401) 222-2565 Fax: (401) 331-8691

19 April 1999

SBLM ARCHITECTS, PC
636 BROADWAY
NEW YORK, NY 10012

Dear Sir/Madam:

This letter shall constitute your final notice by the Rhode Island Board of Examination and Registration of Architects that your application for a certificate of authorization (COA) which was previously filed within the registration period 1 January 1999 - 31 December 1999 has **NOT** been granted by this Board. The reason for the failure to issue this certificate of authorization is that you have failed to complete the application process by not submitting to this office your **CERTIFICATE OF GOOD STANDING**. You should receive this document from the RI Secretary of State's Office.

Because you do not hold a certificate of authorization to practice architecture within the State of Rhode Island, please be advised that in the event that this Board should determine that you have practiced or offered to practice architecture within this State, to include providing proposals for architectural work, the Board will view this as a willful and intentional violation of Rhode Island law and will take action accordingly. Such actions by this Board may include the referral to the Attorney General's Office for criminal prosecution in accordance with Title 5, Chapter 1, of the Rhode Island General Laws.

This will be the last written communication you will receive on this subject matter from this Board. The expense of continuously sending out documents can no longer be borne by the Board. In the event that you wish to pursue your application for a certificate of authorization, you must complete the application process as **directed within thirty (30) days of the date of this letter**. **If Certificate of Good Standing is not received within this final 30-day period, the Board will not take any further action on your application, and on that deadline date, you must cease and desist from practicing or offering to practice architecture in the State of Rhode Island.** Failure to do so will result in the denial and termination of the application process by this Board.

If you have any questions regarding obtaining your Letter of Good Standing please feel free to contact the Secretary of State's office at 401-222-3040 and ask for Corporations. **Please keep in mind that unless we receive the Certificate of Good Standing to complete your application for COA from you within thirty (30) days of the date of this letter, your application will be terminated without further notice to you.**

Very truly yours,

BOARD OF EXAMINATION AND
REGISTRATION OF ARCHITECTS

Dana M. Newbrook, NCARB, AIA
Secretary

DMN/im

EG: [unclear] [unclear]
[unclear] [unclear]
[unclear] [unclear]
[unclear] [unclear]

State of New York }
Department of State }^{ss:}

I hereby certify that the annexed copy has been compared with the original document in the custody of the Secretary of State and that the same is a true copy of said original.

Witness my hand and seal of the Department of State on

MAY 03 1999



Special Deputy Secretary of State

DOS-1266 (5/96)

profit, as authorized by Article 15 of the Business Corporation Law.

In addition to the purpose set forth above, the corporation may invest its funds in real estate, mortgages, stocks, bonds or any other type of investment.

3. The office of the corporation is to be located in the County and State of New York.
4. The aggregate number of shares which the corporation shall have authority to issue is two hundred (200) shares of one class only, which shares are without par value.
5. The Secretary of State of the State of New York is hereby designated as the agent of the corporation upon whom process against the corporation may be served.