



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$20.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Non-Profit Corporation
Annual Report**

Filing Period: June 1 - June 30

In accordance with R.I.G.L. 7-6-94, each corporation failing or refusing to file its annual report within the time prescribed by law (R.I.G.L. 7-6-91) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2019

1. Corporate ID No. 000029614

2. Name of Corporation Rhode Island Society of Financial Service Professionals

3. State of Incorporation

State: RI

ARTICLE III

Using the dropdown labeled NAICS Code below, select the classification title that describes the primary type of activity in which your entity engages. The box to the right of the dropdown will populate a NAICS Code based on the chosen selection. If the NAICS Code is known, enter it into the box on the right. For further assistance with selecting a classification [click here](#).

NAICS Code
813920

4. Corporate Address in Rhode Island

No. and Street: 2400 POST ROAD
City or Town: WARWICK State: RI Zip: 02886 Country: USA

5. Foreign Corporation. Enter Principal Office Address

No. and Street:
City or Town: State: Zip: Country:

6. Brief Description of the Character of the Affairs Which are Actually Conducted in Rhode Island

ASSOCIATION OF FINANCIAL SERVICE PROFESSIONALS.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed. If officers and/or directors have been elected, the title Incorporator is no longer applicable; please delete

| Title | Individual Name First, Middle, Last, Suffix | Address Address, City or Town, State, Zip Code, Country |
|---------------------|--|---|
| PRESIDENT | ANTHONY BARTLETT | ONE WEST BOYLSTON ST, STE 101 WORCESTER, MA 01605 USA |
| SECRETARY | DANIEL DALTON | 401 WAMPANOAG TRAIL, STE 100 EAST PROVIDENCE, RI 02915 USA |
| ASSISTANT SECRETARY | MARK A MALE | 2400 POST RD WARWICK, RI 02886 USA |
| VICE PRESIDENT | BRIAN FALCONER | 401 WAMPANOAG TRAIL STE 100 EAST PROVIDENCE, RI 02915 USA |
| DIRECTOR | BRIAN FALCONER | 401 WAMPANOAG TRAIL, STE 100 EAST PROVIDENCE, RI 02915 USA |
| DIRECTOR | DANIEL DALTON | 401 WAMPANOAG TRAIL, STE 100 EAST PROVIDENCE, RI 02915 USA |
| DIRECTOR | ANTHONY BARTLETT | ONE WEST BOYLSTON ST, STE 101 WORCESTER, MA 01605 USA |

8. REGISTERED AGENT IN RHODE ISLAND - DO NOT ALTER
Changes Require Filing of Form 641 - R.I.G.L. 7-6-13 / 7-6-78

MARK A. MALE 2400 POST ROAD WARWICK , RI 02886

9. This report must be signed by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, duly Authorized Representative, Receiver, or Trustee.

Signed this 6 Day of June, 2019 at 8:56:41 AM by the authorized person. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-6.*

By MARK MALE
 Signature of Authorized Person

Form No. 631
 Revised 09/07