

• Filing Fee: \$150.00
License Fee: \$15.00 minimum (§7-1.1-124)

ID Number: 121331



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Corporations Division
100 North Main Street
Providence, Rhode Island 02903-1335

BUSINESS CORPORATION

APPLICATION FOR CERTIFICATE OF AUTHORITY
(To Be Filed In Duplicate Original)

Pursuant to the provisions of Section 7-1.1-103 of the General Laws, 1956, as amended, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the state of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is General American Distributors, Inc. © 197707

2. It is incorporated under the laws of Missouri

3. The name, if different, which it elects to use in Rhode Island is:

(a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation," "company," "incorporated," or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:

(b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:

G.A.D. Insurance Agency of Rhode Island, Inc. OK

4. The date of its incorporation is October 3, 2000 and the period of its duration is perpetual

5. The address of its principal office in the state or country under the laws of which it is incorporated is

700 Market St., St. Louis, MO 63101

6. The address of its proposed registered office in Rhode Island is 10 Weybosset Street

(Street Address, not P.O. Box)

Providence, RI 02903

(City/Town)

(Zip Code)

and the name of its proposed registered agent in Rhode Island at

that address is C T CORPORATION SYSTEM

(Name of Agent)

7. The specific purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:

Wholesale broker dealer

8. The names and respective addresses of the directors and officers are:

	<u>Name</u>	<u>Address</u>
Director	<u>See attached</u>	
Director		
President		
Vice President		
Treasurer		
Secretary		

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GENERAL AMERICAN DISTRIBUTORS, INC.

Officers and Directors*

Kevin C. Eichner – Chairman of the Board
SSN: 481-64-3998

William S. Slater – President and Director
SSN: 333-38-7201

Steven D. Anderson – Vice President and Chief Financial Officer
SSN: 330-48-2180

Richard D. Evans – Vice President
SSN: 307-50-1925

John E. Petersen – Vice President
SSN: 494-48-5760

Matthew P. McCauley – Secretary and Director
SSN: Does not wish to disclose

Eric Verseman – Vice President – Compliance
SSN: 492-58-2131

James W. Koeger – Assistant Treasurer
SSN: 499-50-1166

Joyce W. Hillebrand – Assistant Secretary
SSN: 330-36-1815

***Business address: 700 Market Street, St. Louis, MO 63101**

9. The aggregate number of shares which it has authority to issue, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

Number of Shares	Class	Series	Par Value or Statement that Shares are without Par Value
<u>30,000</u>	<u>Common</u>	<u>N/A</u>	<u>No par</u>

10. The aggregate number of its issued shares, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

Number of Shares	Class	Series	Par Value or Statement that Shares are without Par Value
<u>25,000</u>	<u>Common</u>	<u>N/A</u>	<u>No par</u>

11. (a) An estimate of the value of all property to be owned by the corporation for the following year, wherever located, is \$ 100,000.
- (b) An estimate of the value of the corporation's property to be located within Rhode Island during the following year is \$ -0-.
- (c) An estimate, expressed as a percentage, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located, is -0- %. [divide (b) by (a) and multiply by 100 to obtain the percentage].
12. (a) An estimate of the gross amount of business to be transacted by the corporation during the following year is \$ 25,000,000.
- (b) An estimate of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year is \$ 250,000.
- (c) An estimate, expressed as a percentage, of the proportion that the gross amount of business to be transacted by the corporation at or from places of business in this state during the following year bears to the gross amount thereof which will be transacted by the corporation during the following year is 1 % [divide (b) by (a) and multiply by 100 to obtain the percentage].
13. This application is accompanied by certified copies of its articles of incorporation and all amendments thereto, duly authenticated by the secretary of state or other authorized officer of the jurisdiction of its incorporation.

Date: 11/9/01

General American Distributors, Inc.

Print Exact Name of Corporation Making Application

By

☐ President or ☒ Vice President (check one)

By

☐ Secretary or ☒ Assistant Secretary (check one)

STATE OF Missouri
~~UNKNOWN~~ City of St. Louis

In St. Louis, MO, on this 9th day of November, 2001, personally appeared before me Richard D. Evans who, being by me first duly sworn, declared that he/she is the Vice President of the corporation and that he/she signed the foregoing document as such officer of the corporation, and that the statements herein contained are true.

Notary Public

My Commission Expires

MARY JOAN HESH

Notary Public - Notary Seal
State of Missouri

My Commission Expires 07/05/2005

No. 00488553

STATE OF MISSOURI



Matt Blunt
Secretary of State


CORPORATION DIVISION

CERTIFICATE OF CORPORATE RECORDS

GENERAL AMERICAN DISTRIBUTORS, INC.

I, MATT BLUNT, Secretary of State of the State of Missouri and Keeper of the Great Seal thereof, do hereby certify that the annexed pages contain a full, true and complete copy of those certain original documents on file and of record in this office for which certification has been requested.

IN TESTIMONY WHEREOF, I have set my hand and imprinted the GREAT SEAL of the State of Missouri, on this, the 13th day of NOVEMBER, 2001.


Secretary of State

