



State of Rhode Island and Providence Plantations  
Department of State - Business Services Division

## Application for Certificate of Authority

FOREIGN Business Corporation

→ Filing Fee: \$310.00 minimum

Pursuant to the provisions of RIGL 7-1.2-1405, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

RECEIVED  
SECRETARY OF STATE  
CORPORATIONS DIV  
2019 JUL 22 PM 12:07

1. The name of the corporation is: <b>MACQUARIE CORPORATE AND ASSET FUNDING INC.</b>		
2. It is incorporated under the laws of: <b>Delaware</b>		
3. The name, if different, which it elects to use in Rhode Island is: (a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation", "company", "incorporated", or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:  (b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:		
4. The date of its incorporation is: <b>11/18/2008</b>		
And the period of its duration is: CHECK ONE BOX ONLY <input checked="" type="checkbox"/> Perpetual (on-going) <input type="checkbox"/> Date certain for dissolution _____		
5. The address of its principal office is: <b>125 West 55th Street Level 22 New York NY 10019</b>		
6. The name and address of the initial registered agent/office in Rhode Island: Agent Name <b>Corporation Service Company</b> Street Address (NOT a P.O. Box) <b>222 Jefferson Boulevard, Suite 200</b> City/Town <b>Warwick</b> State <b>RHODE ISLAND</b> Zip Code <b>02888</b>		

MAIL TO:  
Division of Business Services  
148 W. River Street, Providence, Rhode Island 02904-2615  
Phone: (401) 222-3040  
Website: www.sos.ri.gov

12:07

FILED

JUL 22 2019

BY *[Signature]* YHAX

FORM 150 - Revised: 12/2017

<p>7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:</p> <p><b>Funding vehicle for leasing and financing transactions in the Lending, Mining, Distribution, Finance, and Electronics businesses.</b></p>																							
<p>8. (a) The names and respective addresses of its directors (optional, unless directors are required under the laws of the state or country of which it is incorporated):</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">NAME</th> <th>ADDRESS</th> </tr> </thead> <tbody> <tr> <td>Donald Carrillo</td> <td>3100 West End Avenue, Nashville, TN 37203</td> </tr> <tr> <td>Troy Richardson</td> <td>125 W.55th Street New York, NY 100019</td> </tr> <tr> <td>Donald Trent</td> <td>4747 Executive Drive, Suite 1350 San Diego, CA 92121</td> </tr> <tr> <td> </td> <td> </td> </tr> </tbody> </table> <p style="text-align: right;">Check the box to indicate an attachment <input type="checkbox"/></p>				NAME	ADDRESS	Donald Carrillo	3100 West End Avenue, Nashville, TN 37203	Troy Richardson	125 W.55th Street New York, NY 100019	Donald Trent	4747 Executive Drive, Suite 1350 San Diego, CA 92121												
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<p>8. (b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated):</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 15%;">OFFICE</th> <th style="width: 35%;">NAME</th> <th>ADDRESS</th> </tr> </thead> <tbody> <tr> <td>PRESIDENT</td> <td>Troy Richardson</td> <td>125 W.55th Street New York, NY 100019</td> </tr> <tr> <td>VICE PRESIDENT</td> <td>PLEASE SEE ATTACHED</td> <td> </td> </tr> <tr> <td>TREASURER</td> <td>Brett Beldner</td> <td>125 W.55th Street New York, NY 10019</td> </tr> <tr> <td>SECRETARY</td> <td>Diana Delgado</td> <td>125 W.55th Street New York, NY 10019</td> </tr> </tbody> </table> <p style="text-align: right;">Check the box to indicate an attachment <input checked="" type="checkbox"/></p>				OFFICE	NAME	ADDRESS	PRESIDENT	Troy Richardson	125 W.55th Street New York, NY 100019	VICE PRESIDENT	PLEASE SEE ATTACHED		TREASURER	Brett Beldner	125 W.55th Street New York, NY 10019	SECRETARY	Diana Delgado	125 W.55th Street New York, NY 10019					
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<p>9. The aggregate number of shares which it has authority to issue; itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">NUMBER OF SHARES</th> <th style="width: 20%;">CLASS</th> <th style="width: 20%;">SERIES</th> <th style="width: 40%;">PAR VALUE OR STATE NO PAR VALUE</th> </tr> </thead> <tbody> <tr> <td>1000</td> <td>common</td> <td> </td> <td>par value \$ 1.00</td> </tr> <tr> <td> </td> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> <td> </td> </tr> <tr> <td> </td> <td> </td> <td> </td> <td> </td> </tr> </tbody> </table>				NUMBER OF SHARES	CLASS	SERIES	PAR VALUE OR STATE NO PAR VALUE	1000	common		par value \$ 1.00												
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<p>10. An estimate, as a percentage, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located. (Note: Percentage obtained from worksheet.)</p> <p>0.79% _____ %</p>																							
<p>11. An estimate, as a percentage, of the proportion of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year compared to the gross amount thereof which will be transacted by the corporation during the following year. (Note: Percentage obtained from worksheet.)</p> <p>0.00 _____ %</p>																							

12. This application must be accompanied by a <u>Certificate of Good Standing/Letter of Status</u> from the state or country of formation dated within 60 days of the date of this filing.	
13. Date when the Certificate of Authority will be effective: <b>CHECK ONE BOX ONLY</b>	
<input checked="checked" type="checkbox"/> Date received (Upon filing);	
<input type="checkbox"/> Later effective date (Date must be no more than 90 days from the date of filing) _____	
Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.	
Type or Print Name of Authorized Officer	Date
<i>Laura Delgado</i>	
Signature of Authorized Officer of the Corporation	
<i>Laura M. Delgado</i>	

If you have any questions, please call us at (401) 222-3040, Monday through Friday, between 8:30 a.m. and 4:30 p.m., or email [corporations@sos.ri.gov](mailto:corporations@sos.ri.gov).

## Current Appointments

### MACQUARIE CORPORATE AND ASSET FUNDING INC.

#### Officer Positions

Name	Position
Richardson, Troy Geoffrey	President
Delgado, Diana	Secretary
Beldner, Brett	Treasurer
Duffy, James	Vice President
Ettinger, Joel	Vice President
Gray, James	Vice President
Kalani, Gaurav	Vice President
Marley, Jamie	Vice President
Moore, Ed	Vice President
Rabkin, Ron	Vice President

#### Board Positions

Name	Position
Carnillo, Donald	Director
Richardson, Troy Geoffrey	Director
Trent, Donald	Director

Address for all of the above: 125 West 55th Street, New York, NY 10019

# Delaware

The First State

Page 1

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "MACQUARIE CORPORATE AND ASSET FUNDING INC." IS DULY INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE EIGHTEENTH DAY OF JULY, A.D. 2019.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL REPORTS HAVE BEEN FILED TO DATE.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "MACQUARIE CORPORATE AND ASSET FUNDING INC." WAS INCORPORATED ON THE EIGHTEENTH DAY OF NOVEMBER, A.D. 2008.

AND I DO HEREBY FURTHER CERTIFY THAT THE FRANCHISE TAXES HAVE BEEN PAID TO DATE.



4624264 8300

SR# 20196045400

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JBullock", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock, Secretary of State" is printed in a small font.

Authentication: 203243632

Date: 07-18-19



State of Rhode Island and Providence Plantations  
**Department of State | Office of the Secretary of State**  
**Nellie M. Gorbea**, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island  
and Providence Plantations, hereby certify that this document, duly executed in  
accordance with the provisions of Title 7 of the General Laws of Rhode Island, as  
amended, has been filed in this office on this day:

July 22, 2019 12:07 PM

The signature is written in a cursive, flowing style in blue ink. It appears to read "Nellie M. Gorbea".

Nellie M. Gorbea  
*Secretary of State*

