



State of Rhode Island and Providence Plantations  
Department of State - Business Services Division

Annual Report for the year: **2019** AMENDED  
Non-Profit Corporation

- Filing period: June 1 - June 30  
→ Filing Fee: \$20.00  
→ Penalty: Additional \$25.00 fee if form is not filed by July 30.

RECEIVED  
SECRETARY OF STATE  
CORPORATION DIVISION

2019 AUG 19 AM 9:40

1. Entity ID Number <b>67364</b>		2. Exact name of the Corporation <b>Local Initiatives Support Corporation</b>			
3. State of Incorporation <b>New York</b>		5. Brief description of the character of business conducted in Rhode Island <b>To assist community development corporations to transform distressed neighborhoods into healthy communities.</b>			
4. NAICS Code <b>813319 - Other Social Advoc</b>					
6. Principal Office Address <b>28 Liberty Street, 34th Floor</b>			City <b>New York</b>	State <b>NY</b>	Zip <b>10005</b>
7. List ALL officers (names and addresses) <span style="float: right;">Check the box to indicate an attachment <input checked="" type="checkbox"/></span>					
President Name <b>Maurice Jones</b>			Vice-President Name <b>Michael Hearne</b>		
Street Address <b>28 Liberty Street, 34th Floor</b>			Street Address <b>28 Liberty Street, 34th Floor</b>		
City <b>New York</b>	State <b>NY</b>	Zip <b>10005</b>	City <b>New York</b>	State <b>NY</b>	Zip <b>10005</b>
Secretary Name <b>Patrick Maher</b>			Treasurer Name <b>Courtney Branker</b>		
Street Address <b>28 Liberty Street, 34th Floor</b>			Street Address <b>28 Liberty Street, 34th Floor</b>		
City <b>New York</b>	State <b>NY</b>	Zip <b>10005</b>	City <b>New York</b>	State <b>NY</b>	Zip <b>10005</b>
8. List ALL directors (names and addresses). RI Corporations <b>MUST</b> list at least <b>THREE</b> directors. <span style="float: right;">Check the box to indicate an attachment <input checked="" type="checkbox"/></span>					
Director Name <b>Gregory Belinfanti</b>			Director Name <b>Thomas Espinoza</b>		
Street Address <b>510 Madison Avenue, 19th Floor</b>			Street Address <b>1 East Washington Street, Suite 2250</b>		
City <b>New York</b>	State <b>NY</b>	Zip <b>10022</b>	City <b>Phoenix</b>	State <b>AZ</b>	Zip <b>85004</b>
Director Name <b>Audrey Choi</b>			Director Name <b>Michelle de la Uz</b>		
Street Address <b>1585 Broadway</b>			Street Address <b>621 DeGraw Street</b>		
City <b>New York</b>	State <b>NY</b>	Zip <b>10036</b>	City <b>Brooklyn</b>	State <b>NY</b>	Zip <b>11217</b>
9. Registered Agent in Rhode Island. This information is currently of record in the Department of State. Changes require filing Form 641.					
<b>Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.</b>					
<i>This report must be signed by either the President, Vice-President, Secretary, Assistant Secretary, Treasurer, duly Authorized Representative, Receiver or Trustee.</i>					
Name of Officer/Authorized Representative <b>Patrick Maher, Vice President and Secretary</b>				Date <b>August 16, 2019</b>	
Signature of Officer/Authorized Representative <span style="float: right;">SIGN DOCUMENT HERE</span>					

MAIL TO:  
Division of Business Services  
148 W. River Street, Providence, Rhode Island 02904-2615  
Phone: (401) 222-3040  
Website: www.sos.ri.gov

9:40 **FILED**  
AUG 19 2019  
BY

## **LOCAL INITIATIVES SUPPORT CORPORATION OFFICERS**

<b>Officers</b>	<b>Address</b>
Robert E. Rubin Chairperson / Director	58 East 68th Street New York, NY 10065
Lisa Cashin Vice Chairperson / Director	10 Gracie Square, Apt. #8-G New York, NY 10028
Maurice Jones President and CEO / Director	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Michael Hearn Executive Vice President and CFO	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Denise Scott Executive Vice President	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Annie Donovan Executive Vice President and COO	1825 K Street, NW, Suite 1100 Washington, D.C. 20006
George Ashton Managing Director	1825 K Street, NW, Suite 1100 Washington, D.C. 20006
Kevin Boes Senior Vice President	10 South Riverside Plaza, Suite 1700 Chicago, IL 60606
Bob Cooney Senior Vice President and General Counsel	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Kevin Jordan Senior Vice President	1825 K Street, NW, Suite 1100 Washington, D.C. 20006
Matthew Josephs Senior Vice President	1825 K Street, NW, Suite 1100 Washington, D.C. 20006
Lily Lim Senior Vice President and Controller	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Beth Marcus Senior Vice President	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Constance Max Senior Vice President	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
William Taft Senior Vice President	202 East Market Street Indianapolis, IN 46204
Anna Alekseyeva Vice President	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Suzanne Anarde Vice President	402 Highway 50 W Fowler, CO 81039

**LISC Officers**  
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Courtney Branker Vice President and Treasurer	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Tope Folarin Vice President	1825 K Street, NW, Suite 1100 Washington, D.C. 20006
Donna Giannone Vice President	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Jessica Guilfooy Vice President	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Celayne G. Hill Vice President and Assistant Secretary	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Joseph Horiye Vice President	500 S. Grand Avenue, Suite 2300 Los Angeles, CA 90071
Mike Humberstone Vice President	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Patrick Maher Vice President and Secretary	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Richard Manson Vice President	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Malini Nayar Vice President and Chief Credit Officer	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Christopher Plummer Vice President	3031 West Grand Boulevard, Suite 560 Detroit, MI 48202
Julia Ryan Vice President	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Sara Sorbello Vice President	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Michael Tang Vice President	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Charles Vliek Vice President	201 W. Kalamazoo Avenue, Room 301 Kalamazoo, MI 49007
Richard Pinner Assistant Secretary	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005

**LOCAL INITIATIVES SUPPORT CORPORATION  
BOARD OF DIRECTORS**

<b>Director</b>	<b>Address</b>
Gregory A. Belinfanti Senior Managing Director One Equity Partners	510 Madison Avenue, 19 <sup>th</sup> Floor New York, NY 10022
Lisa Cashin, Vice Chair Former Chief Credit Officer Local Initiatives Support Corporation	10 Gracie Square, Apt. #8-G New York, NY 10028
Audrey Choi Chief Marketing Officer Chief Sustainability Officer Morgan Stanley	1585 Broadway New York, NY 10036
Michelle de la Uz Executive Director Fifth Avenue Committee	621 DeGraw Street Brooklyn, NY 11217
Sally Durdan EVP and Head of Strategy, Consumer and Community Banking JPMorgan Chase Bank	270 Park Avenue, Floor 33 New York, NY 10017
Thomas Espinoza President and Chief Executive Officer Raza Development Fund	1 East Washington Street, Suite 2250 Phoenix, AZ 85004
Dean Esserman Senior Counselor Police Foundation	1201 Connecticut Avenue, NW, Suite 200 Washington, D.C. 20036
Greg Fairchild Director of Northern Virginia Operations Isadore Horween Research Professor of Business Administration University of Virginia	100 Darden Boulevard Charlottesville, VA 22903
Ellen Gilligan President and Chief Executive Officer Greater Milwaukee Foundation	101 West Pleasant Street Milwaukee, WI 53212
Lisa Glover Executive Vice President, Continuous Improvement Executive U.S. Bank	2141 Lakeshore Drive Kansasville, WI 53139
Colvin W. Grannum President and Chief Executive Officer Bedford Stuyvesant Restoration Corporation	1368 Fulton Street Brooklyn, NY 11216
Lisa Hasegawa Principal and Co-Founder Fireflower Partners	3900 Wellington Road Los Angeles, CA 90008

**LISC Board of Directors**  
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David J. Hess Partner Centerview Partners	31 West 52 <sup>nd</sup> Street, 22 <sup>nd</sup> Floor New York, NY 10019
Maurice Jones President and Chief Executive Officer Local Initiatives Support Corporation	28 Liberty Street, 34 <sup>th</sup> Floor New York, NY 10005
Kathryn E. Merchant Principal Kathy Merchant LLC	224 East 8 <sup>th</sup> Street, Apt. 206 Cincinnati, OH 45202
Randy Oostra, DM, FACHE President and Chief Executive Officer ProMedica	100 Madison Avenue Toledo, OH 43604
Andrew Plepler Environmental, Social and Governance Executive Bank of America	100 North Tryon Street NC1-007-18-01 Charlotte, NC 28255
Rey Ramsey Managing Partner Centri Capital	3087 Ordway Street, NW Washington, DC 20008
Richard Rapson President and Chief Executive Officer The Kresge Foundation	3215 West Big Beaver Road Troy, MI 48084
Jerry Rickett President and Chief Executive Officer Kentucky Highlands Investment Corporation	362 Old Whitley Road London, KY 40744
Robert E. Rubin, Chair Chairman Emeritus Council on Foreign Affairs Former Secretary of the U.S. Treasury	58 East 68 <sup>th</sup> Street New York, NY 10065
Nilda Ruiz President and Chief Executive Officer Asociación Puertorriqueños en Marcha	4301 Rising Sun Avenue Philadelphia, PA 19140
Ommeed Sathe Vice President, Impact & Responsible Investing Office of Corporate Social Responsibility The Prudential Foundation Prudential Financial, Inc.	751 Broad Street, 15 <sup>th</sup> Floor Newark, NJ 07102
George H. Walker Chairman and Chief Executive Officer Neuberger Berman	1290 Avenue of the Americas, 42 <sup>nd</sup> Floor New York, NY 10104
Dennis White President and CEO MetLife Foundation	200 Park Avenue, 6 <sup>th</sup> Floor New York, NY 10166



State of Rhode Island and Providence Plantations  
**Department of State | Office of the Secretary of State**  
**Nellie M. Gorbea**, *Secretary of State*

I, NELLIE M. GORBEA, Secretary of State of the State of Rhode Island  
and Providence Plantations, hereby certify that this document, duly executed in  
accordance with the provisions of Title 7 of the General Laws of Rhode Island, as  
amended, has been filed in this office on this day:

August 19, 2019 09:40 AM

The signature is written in a cursive, flowing style in blue ink. It appears to read "Nellie M. Gorbea".

Nellie M. Gorbea  
*Secretary of State*

