



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

**Fee: \$50.00**

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Limited Liability Company  
Annual Report**

*Filing Period: September 1 - November 1*

*In accordance with R.I.G.L. 7-16-66(d), each limited liability company failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-16-66(b&c)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2019

**1. ID No.** 000920885

**2. Exact Name of the Limited Liability Company** TIAA-CREF INDIVIDUAL & INSTITUTIONAL SERVICES, LLC

**3. State of Formation**

State: DE

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523120

**4. Brief Description of the Character of the Business Which is Actually Conducted in Rhode Island**

TO ACT AS A REGISTERED BROKER-DEALER AND INVESTMENT ADVISOR AND TO PROVIDE DISTRIBUTION AND RELATED SERVICES

**5. Principal Office Address**

No. and Street: 730 THIRD AVENUE  
MS 730/12/02

City or Town: NEW YORK State: NY Zip: 10017 Country: USA

**6. Mailing Address of Limited Liability Company and Name or Title of Contact Person:**

Contact Name: Contact Title:

No. and Street: 730 THIRD AVENUE  
MS 730/12/02

City or Town: NEW YORK State: NY Zip: 10017 Country: USA

**7. Name and Address of Each Manager of the Limited Liability Company, if Applicable.  
DO NOT LIST MEMBERS**

Title	Individual Name	Address
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	First, Middle, Last, Suffix	Address, City or Town, State, Zip Code, Country
MANAGER	WILLIAM G. GRIESSER	730 THIRD AVENUE NEW YORK, NY 10017 USA
MANAGER	ANGELA KAHRMANN	730 THIRD AVENUE NEW YORK, NY 10017 USA
MANAGER	CATHERINE MCCABE	730 THIRD AVE NEW YORK, NY 10017 USA
MANAGER	ERIC T. JONES	730 THIRD AVENUE NEW YORK, NY 10017 USA
MANAGER	RASHMI BADWE	730 THIRD AVENUE NEW YORK, NY 10017 USA
MANAGER	COLBERT NARCISSE	730 THIRD AVE NEW YORK, NY 10017 USA

**8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER**  
**Changes Require Filing of Form 642 - R.I.G.L. 7-16-11**

CORPORATION SERVICE COMPANY 222 JEFFERSON BOULEVARD, SUITE 200 WARWICK , RI  
02888

**9. This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).**

**Signed this 9 Day of October, 2019 at 1:46:52 PM by the authorized person.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the company, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-16.*

By MARY CATHERINE BENEDETTO  
Signature of Authorized Person

Form No. 632  
Revised 09/07