

File Fee: \$150.00
License Fee: \$15.00 minimum (§7-1.1-124)

ID Number: _____



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Corporations Division
100 North Main Street
Providence, Rhode Island 02903-1335

126839

BUSINESS CORPORATION

APPLICATION FOR CERTIFICATE OF AUTHORITY
(To Be Filed In Duplicate Original)

Pursuant to the provisions of Section 7-1.1-103 of the General Laws, 1956, as amended, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the state of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is ESIS, Inc.
2. It is incorporated under the laws of Pennsylvania
3. The name, if different, which it elects to use in Rhode Island is:
(a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation," "company," "incorporated," or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:
ESIS, Inc.
(b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:

4. The date of its incorporation is 10/31/2001 and the period of its duration is Perpetual
5. The address of its principal office in the state or country under the laws of which it is incorporated is 1601 Chestnut Street, Philadelphia, Pennsylvania 19103
6. The address of its proposed registered office in Rhode Island is 10 Weybosset Street
(Street Address, not P.O. Box)
Providence, RI 02903 and the name of its proposed registered agent in Rhode Island at
(City/Town) (Zip Code)
that address is C T Corporation System
(Name of Agent)
7. The specific purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:
Marketing Risk Management Programs

8. The names and respective addresses of the directors and officers are:

	<u>Name</u>	<u>Address</u>
Director	<u>SEE ATTACHMENT</u>	_____
Director	_____	_____
President	_____	_____
Vice President	_____	_____
Treasurer	_____	_____
Secretary	_____	_____

FILED
SEP 05 2002
By [Signature] #9290613

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10. The aggregate number of its issued shares, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

Number of Shares	Class	Series	Par Value or Statement that Shares are without Par Value
20000	common	N/A	\$10.00 per share

10. The aggregate number of its issued shares, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

Number of Shares	Class	Series	Par Value or Statement that Shares are without Par Value
10000	common	N/A	\$10.00 per share

11. (a) An estimate of the value of all property to be owned by the corporation for the following year, wherever located, is \$ NONE.

(b) An estimate of the value of the corporation's property to be located within Rhode Island during the following year is \$ NONE.

(c) An estimate, expressed as a percentage, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located, is 0 %. [divide (b) by (a) and multiply by 100 to obtain the percentage].

12. (a) An estimate of the gross amount of business to be transacted by the corporation during the following year is \$ \$236 MILLION.

(b) An estimate of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year is \$ 0 MILLION TO \$1 MILLION.

(c) An estimate, expressed as a percentage, of the proportion that the gross amount of business to be transacted by the corporation at or from places of business in this state during the following year bears to the gross amount thereof which will be transacted by the corporation during the following year is 0 % [divide (b) by (a) and multiply by 100 to obtain the percentage].

13. This application is accompanied by certified copies of its articles of incorporation and all amendments thereto, duly authenticated by the secretary of state or other authorized officer of the jurisdiction of its incorporation.

Date: 4/27/02

ESIS, Inc.

Print Exact Name of Corporation Making Application

By

Edward G. Troy ☒ President or ☐ Vice President (check one)

By

Linda M. Howell ☐ Secretary or ☒ Assistant Secretary (check one)

STATE OF Pennsylvania
COUNTY OF Philadelphia

In Philadelphia, on this 27th day of April, 2002, personally appeared before me Edward G. Troy who, being by me first duly sworn, declared that he/she is the President of the corporation and that he/she signed the foregoing document as such officer of the corporation, and that the statements herein contained are true.

Notary Public Bruna Anne Johnston
My Commission Expires: 03/21/2005

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STATE OF RHODE ISLAND AND PROVIDENCE

NOTARIAL SEAL
BRUNA ANNE JOHNSTON, Notary Public
My Commission Expires March 21, 2005

Corporate Profile System
Officer Business Address List
As of - 04/30/2002

ESIS, INC.

Name, SSN, & Title	Business Address		
EDWARD GEORGE TROY 992-ZZ-5887 PRESIDENT	TWO LIBERTY PLACE 1601 CHESTNUT STREET PHILADELPHIA	PA	19103
ALAN N MANSFIELD 992-ZZ-5897 FINANCIAL OFFICER VICE PRESIDENT	TWO LIBERTY PLACE 1601 CHESTNUT STREET PHILADELPHIA	PA	19103
JOHN C CUMMINGS 545-84-4588 SENIOR VICE PRESIDENT	TWO LIBERTY PLACE 1601 CHESTNUT STREET PHILADELPHIA	PA	19103
ROBERT WALTER PERSICO 153-40-0526 SENIOR VICE PRESIDENT	TWO LIBERTY PLACE 1601 CHESTNUT STREET PHILADELPHIA	PA	19103
DORIEN E SMITHSON 992-ZZ-5898 SENIOR VICE PRESIDENT SECRETARY	TWO LIBERTY PLACE 1601 CHESTNUT STREET PHILADELPHIA	PA	19103
KAREN PATRICIA FORD 189-60-2438 VICE PRESIDENT	TWO LIBERTY PLACE 1601 CHESTNUT STREET PHILADELPHIA	PA	19103

Corporate Profile System
Officer Business Address List
As of - 04/30/2002

ESIS, INC.

Name, SSN, & Title	Business Address		
KENNETH RAY GARRETT 181-44-2837 VICE PRESIDENT TREASURER	TWO LIBERTY PLACE 1601 CHESTNUT STREET PHILADELPHIA	PA	19103
ANTHONY JOSEPH FRANCOLINO 155-42-3425 ASSISTANT VICE PRESIDENT	TWO LIBERTY PLACE 1601 CHESTNUT STREET PHILADELPHIA	PA	19103
HOWARD DREW DENBIN 084-50-2762 ASSISTANT SECRETARY	Two Liberty Place 1601 Chestnut St Philadelphia	PA	19103
LINDA MAY HOWELL 992-ZZ-0003 ASSISTANT SECRETARY	TWO LIBERTY PLACE 1601 CHESTNUT STREET PHILADELPHIA	PA	19103
BETI A. PERROTTI 041-46-2240 ASSISTANT SECRETARY	2 WATERSIDE CROSSING WINDSOR	CT	06095
BECKY ANN PORTER 316-74-0034 ASSISTANT SECRETARY	120 N 9TH STREET RICHMOND	IN	47374

Corporate Profile System
Officer Business Address List
As of - 04/30/2002

ESIS, INC.

Name, SSN, & Title	Business Address		
TIMOTHY S. ROBINSON 115-46-8881 ASSISTANT SECRETARY	PO BOX F WALKILL	NY	12589-0270
JACK REX SERVIN 185-58-8157 ASSISTANT SECRETARY	TWO LIBERTY PLACE 1601 CHESTNUT STREET PHILADELPHIA	PA	19103
DAWN RENAE MANN 301-68-7610 ASSISTANT TREASURER	TWO LIBERTY PLACE 1601 CHESTNUT STREET PHILADELPHIA	PA	19103
PETER JOHN STEINMETZ 137-46-6119 ASSISTANT TREASURER	TWO LIBERTY PLACE 1601 CHESTNUT ST. PHILADELPHIA	PA	19103

Corporate Profile System
Director Business Address List
As of -04/30/2002

ESIS, INC.

Name, SSN, & Title	Business Address		
JOHN C CUMMINGS 545-84-4588 MEMBER OF BOARD OF DIRECTORS	TWO LIBERTY PLACE 1601 CHESTNUT STREET PHILADELPHIA	PA	19103
ALAN N MANSFIELD 99Z-ZZ-5897 MEMBER OF BOARD OF DIRECTORS	TWO LIBERTY PLACE 1601 CHESTNUT STREET PHILADELPHIA	PA	19103
EDWARD GEORGE TROY 99Z-ZZ-5887 MEMBER OF BOARD OF DIRECTORS	TWO LIBERTY PLACE 1601 CHESTNUT STREET PHILADELPHIA	PA	19103

COMMONWEALTH OF PENNSYLVANIA

DEPARTMENT OF STATE

AUGUST 27, 2002

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

ESIS, INC

I, C Michael Weaver, Secretary of the Commonwealth of Pennsylvania do hereby certify that the foregoing and annexed is a true and correct photocopy of Articles of Incorporation and all Amendments which appear of record in this department.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written

C. Michael Weaver
Secretary of the Commonwealth

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