



State of Rhode Island and Providence Plantations

Department of State - Business Services Division

## Application for Certificate of Authority

FOREIGN Business Corporation

→ Filing Fee: \$310.00 minimum

Pursuant to the provisions of RIGL 7-1.2-1405, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is: <b>PSE Partners Inc.</b>		
2. It is incorporated under the laws of: <b>Delaware</b>		
3. The name, if different, which it elects to use in Rhode Island is: (a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation", "company", "incorporated", or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island  (b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:		
4. The date of its incorporation is: <b>08/29/2019</b> And the period of its duration is: <b>CHECK ONE BOX ONLY</b> <input checked="" type="checkbox"/> Perpetual (on-going) <input type="checkbox"/> Date certain for dissolution _____		
5. The address of its principal office is: <b>222 WEST ADAMS STREET, SUITE 1900, CHICAGO, IL 60606</b>		
6. The name and address of the initial registered agent/office in Rhode Island: Agent Name <b>Corporation Service Company</b> Street Address (NOT a P.O. Box) <b>222 Jefferson Boulevard, Suite 200</b>		
City/Town <b>Warwick</b>	State <b>RHODE ISLAND</b>	Zip Code <b>02888</b>

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SECRETARY OF STATE  
CORPORATIONS DIV  
2019 OCT 24 AM 10:39

### MAIL TO:

Division of Business Services

148 W. River Street, Providence, Rhode Island 02904-2615

Phone: (401) 222-3040

Website: [www.sos.ri.gov](http://www.sos.ri.gov)

**FILED**

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FORM 150 - Revised 12/2017

7. The purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:

Aviation and aerospace insurance broker.

8. (a) The names and respective addresses of its directors (optional, unless directors are required under the laws of the state or country of which it is incorporated):

NAME	ADDRESS
Sean Anthony Windeatt	222 West Adams Street, Suite 1900, Chicago, IL 60606
Stephen Patrick Hearn	222 West Adams Street, Suite 1900, Chicago, IL 60606
John Joseph Jones	222 West Adams Street, Suite 1900, Chicago, IL 60606
Neil Philip Perry	222 West Adams Street, Suite 1900, Chicago, IL 60606

Check the box to indicate an attachment ☐

8. (b) The names and respective addresses of its principal officers (mandatory if directors are not required under the laws of the state or country of which it is incorporated):

OFFICE	NAME	ADDRESS
PRESIDENT	Bruce Steven Fine	222 West Adams Street, Suite 1900, Chicago, IL 60606
VICE PRESIDENT	Stacie Pillans Krajcir	222 West Adams Street, Suite 1900, Chicago, IL 60606
TREASURER		
SECRETARY	Caroline Aiken Koster	222 West Adams Street, Suite 1900, Chicago, IL 60606

Check the box to indicate an attachment ☒

9. The aggregate number of shares which it has authority to issue; itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

NUMBER OF SHARES	CLASS	SERIES	PAR VALUE OR STATE NO PAR VALUE
1000	Common	N/A	\$.01

10. An estimate, **as a percentage**, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located. (Note: Percentage obtained from worksheet.)

0 \_\_\_\_\_ %

11. An estimate, **as a percentage**, of the proportion of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year compared to the gross amount thereof which will be transacted by the corporation during the following year. (Note: Percentage obtained from worksheet.)

0 \_\_\_\_\_ %

12. This application must be accompanied by a <u>Certificate of Good Standing</u> / <u>Letter of Status</u> from the state or country of formation dated within 60 days of the date of this filing.	
13. Date when the Certificate of Authority will be effective: <b>CHECK ONE BOX ONLY</b>	
<input checked="" type="checkbox"/> Date received (Upon filing)	
<input type="checkbox"/> Later effective date (Date must be no more than 90 days from the date of filing) _____	
<i>Under penalty of perjury, I declare and affirm that I have examined this Application for Certificate of Authority, including any accompanying attachments, and that all statements contained herein are true and correct.</i>	
Type or Print Name of Authorized Officer	Date
Stacie Krajcir	10-17-19
Signature of Authorized Officer of the Corporation	
<i>Stacie Krajcir</i>	

If you have any questions, please call us at (401) 222-3040, Monday through Friday, between 8:30 a.m. and 4:30 p.m., or email [corporations@sos.ri.gov](mailto:corporations@sos.ri.gov).

**PSE Partners Inc.  
Officers and Directors**

<b>Name</b>	<b>Position</b>	<b>Address</b>
Sean Anthony Wincett	Director	222 West Adams Street, Suite 1900 Chicago, IL 60606
Stephen Patrick Hearn	Director	222 West Adams Street, Suite 1900 Chicago, IL 60606
John Joseph Jones	Director	222 West Adams Street, Suite 1900 Chicago, IL 60606
Neil Philip Perry	Director and Treasurer	222 West Adams Street, Suite 1900 Chicago, IL 60606
Bruce Steven Fine	President	222 West Adams Street, Suite 1900 Chicago, IL 60606
Charles Marcel Chad	Senior Vice President	222 West Adams Street, Suite 1900 Chicago, IL 60606
Stacie Pillans Krajcir	Senior Vice President	222 West Adams Street, Suite 1900 Chicago, IL 60606
Caroline Aiken Koster	Corporate Secretary	222 West Adams Street, Suite 1900 Chicago, IL 60606

# Delaware

The First State

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I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF  
DELAWARE, DO HEREBY CERTIFY "PSE PARTNERS INC." IS DULY  
INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD  
STANDING AND HAS A LEGAL CORPORATE EXISTENCE SO FAR AS THE RECORDS  
OF THIS OFFICE SHOW, AS OF THE THIRTEENTH DAY OF SEPTEMBER, A.D.  
2019.

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SECRETARY OF STATE  
CORPORATIONS DIV  
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SR# 20197028247

You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

A handwritten signature in black ink, appearing to read "JBULLOCK", is written over a horizontal line. Below the line, the text "Jeffrey W. Bullock Secretary of State" is printed.

Jeffrey W. Bullock Secretary of State

Authentication: 203592773

Date: 09-13-19