



Matthew A. Brown, Secretary of State

LIMITED LIABILITY COMPANY ANNUAL REPORT FOR THE YEAR

Filing Period: September 1 - November 1 • Filing Fee: \$50.00

1. ID No. 137541		2. Exact name of the limited liability company LEGENT CLEARING LLC	
3. State of Formation DELAWARE		4. Brief description of the character of the business which is actually conducted in Rhode Island SECURITIES CLEARING BROKER DEALER	
5. Principal office address 9300 UNDERWOOD AVE STE 400		City OMAHA	State NE
		Zip 68114	
6. MAILING ADDRESS OF LIMITED LIABILITY COMPANY AND NAME OR TITLE OF CONTACT PERSON:			
Contact Name DAVID BRANT		Contact Title CEO	
Street Address 9300 UNDERWOOD AVE STE 400		City OMAHA	State NE
		Zip 68114	
7. NAME AND ADDRESS OF EACH MANAGER OF THE LIMITED LIABILITY COMPANY, IF APPLICABLE - DO NOT LIST MEMBERS FILL IN SPACES BEFORE USING ATTACHMENTS ("X" BOX FOR ATTACHMENT) ☐ ANY MODIFICATIONS TO MANAGERS REQUIRES FILING OF AMENDMENT, R.I.G.L. 7-16-12 (a) (2) / 7-16-52			
Manager Name JEFFREY SIME		Manager Name	
Street Address 9300 UNDERWOOD AVE STE 400		Street Address	
City OMAHA	State NE	City	State
Zip 68114		Zip	
Manager Name		Manager Name	
Street Address		Street Address	
City	State	City	State
Zip		Zip	
8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER - Changes require filing of Form 642 - R.I.G.L. 7-16-11			
Agent Name CT CORPORATION SYSTEM		Address	
Address 10 WEYBOSSET ST		City PROVIDENCE	Zip 02903

This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).

Under penalty of perjury, I declare and affirm that I have examined this report including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Authorized Person: David Brant, Date: 1-27-06

Print or Type Name of Authorized Person: DAVID BRANT

File Date	3/3/06
Check No.	FABO 0007502
By:	JB
FOR SECRETARY OF STATE USE ONLY	