

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS Office of the Secretary of State

Matthew A. Brown, Secretary of State .

Corporations Division 100 North Main Street Providence, RI 02903-1335, 401.222.3040

Form 630 Rev: 12/03

PROFIT CORPOR			FOR THE YEAR	2005	
Filing Period: January 1 - Me (FORM MUST BE TYPED OR PRIM		Fec: \$50.00			4114
1. Corporate ID No	2. Name of Corporation			, , , , , , , , , , , , , , , , , , , 	
68041 3 Street Address Principal Business O	CUNA BROKERA	GE SERVICES, INC.	City	State	Zip
5910 Mine 80.	eral It.	Ka.	Madison_	Signic VI	53705
600/238-	5851	5. State of Incorporation WISCONSIN			6. SIC Code 6064
7 Bruf Description of the Character of TO ENGAGE IN THE RET	f Business Conducted in Rh	xode Island		-	ŧ
8. NAMES AND ADDRESSES President Name	OF THE OFFICERS:	("X" BOX FOR ATTAC		CES BEFORE USING AT	TACHMENTS
John W. H.	enry		Kevins. T	nompsor)
540 S. Thir	d Stree	' †	5910 Minera	il Pt. Ro	x(
Waterville	Singo H	43566	<i>Madison</i>	Signs I	53593
Christine	M. Ander	rson	Christine 1	Λ . Ander	Son
5910 Miner	al Pt. R	d.	5910 Miner	al Pt. R	Z ~ c
9. NAMES AND ADDRESSES	STATE DIRECTORS	53705 : ("X" BOX FOR ATTA	MACHMENT) FILL IN SP.	Signs I	53105 TIACHNIENTS
Grael B. E	Barker		Dennis J	Godfre	N DIV
4700 Sout	hern Pa	arkway	820 Follin	Lane S	ŜE "
Lousville	State	40214	Wienna	State	22100
John W. T	enry		herin T. Le	intz	
5/14/2 5. Thi	rd Stre	et	5910 Miner	IL Pt. Ro	t.
•	State OH	29 43660 Симент) 🗆	Madison 11. Shares Issued ("x"	State I	53705
AUTHORIZED SHARES Number of Shares	Class Series i	Par Value	ISSUED SHARES Number of Shares	Class/Series	Par Value
2,000 COMM NO PAR VALU	E		765	Common	2
- · · · · - · · · · · · · · · · · · · ·	 	. - '		(0.7, 0,7)	
This report must be si	gned in ink by either	the President, Vice Pre	sident, Secretary Assistant Se	cretary. Treasurer. Rece	l] civer or Trustee
<u> </u>	8 2 18 18 18 18 18 18	ti 188i		•	J. 1. 1
			N/ 17	son, Vice Presid	- · · ·
1100110		ii 1881	including any accompany	I declare and affirm that I ling schedules and statemen	have examined this report, hts, and that all statements
File Date	<u>55</u>		contained herein are true	Der .	,
Check No	2096		Signature of Officer	1100	Date
File Date	a		Print or Type Name of Office	MUN.	
FOR SECRETARY OF STA	ſ		Tile of Officer	nt Dear	etary.



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS Office of the Secretary of State Matthew A. Brown, Secretary of State

Corporations Division 100 North Main Street Providence, RI 02903-1335 401.222.3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR _______2004 Filing Period: January 1 - March 1 • Filing Fee: \$50.00

3 Street Address Principal Business Office 5910 Mineral Point F 4. Business Phone No (608) 238-5851 7. Brief Description of the Character of Business TO ENGAGE IN THE RETAIL 8. NAMES AND ADDRESSES OF The President Name Marcia L. Martin Street Address 2000 Heritage Way Gity State Wayerly State Mayerly State Michael G. Joneson Street Address 5910 Mineral Point F Gity Madison 9. NAMES AND ADDRESSES OF T	Road Incs Conducted in SALE OF SECT	5. State of Incorporation WISCONSIN Rhode Island URITIES S: ("X" BOX FOR AT	Madison	State WI SPACES BEFORE USING	53705 6 SIC Code 6064 G ATTACHMENTS		
3 Street Address Principal Business Office 5910 Mineral Point F 4. Business Phone No (608) 238-5851 7. Brief Description of the Character of Business TO ENGAGE IN THE RETAIL 8. NAMES AND ADDRESSES OF The President Name Marcia L. Martin Street Address 2000 Heritage Way Cuy Wayerly State Mayerly Secretary Name Michael G. Joneson Street Address 5910 Mineral Point F Guy Madison 9. NAMES AND ADDRESSES OF 1	ness Conducted in SALE OF SECTIFIE OFFICER:	5. State of Incorporation WISCONSIN Rhode Island URITIES S: ("X" BOX FOR AT.	City Madison TACHMENT) FILL IN Vice President Name Kevin Lentz Street Address	WI	53705 6 SIC Code 6064		
5910 Mineral Point f 4. Business Phone No (608) 238-5851 7. Brief Description of the Character of Business TO ENGAGE IN THE RETAIL 8. NAMES AND ADDRESSES OF The President Name Marcia L. Martin Street Address 2000 Heritage Way Cuy Wayerly State Mayerly Secretary Name Michael G. Joneson Street Address 5910 Mineral Point f Guy Madison 9. NAMES AND ADDRESSES OF 1	iness Conducted in SALE OF SECT THE OFFICER:	WISCONSIN Rhode Island URITIES S: ("X" BOX FOR AT	Madison TACHMENT) FILL IN Vice President Name Kevin Lentz Street Address	WI	53705 6 SIC Code 6064		
4. Business Phone No (608) 238-5851 7. Brief Description of the Character of Busine TO ENGAGE IN THE RETAIL 8. NAMES AND ADDRESSES OF Threstdent Name Marcia L. Martin Street Address 2000 Heritage Way City State Wayerly State Mayerly Secretary Name Michael G. Joneson Street Address 5910 Mineral Point F City State Madison 9. NAMES AND ADDRESSES OF 1	iness Conducted in SALE OF SECT THE OFFICER:	WISCONSIN Rhode Island URITIES S: ("X" BOX FOR AT	TACHMENT) FILL IN Vice President Name Kevin Lentz Street Address	-	6 SIC Code		
(608) 238-5851 7. Brief Description of the Character of Business TO ENGAGE IN THE RETAIL 8. NAMES AND ADDRESSES OF The President Name Marcia L. Martin Street Address 2000 Heritage Way City State Wayerly. Secretary Name Michael G. Joneson Street Address 5910 Mineral Point For Martin Gity State Madison 9. NAMES AND ADDRESSES OF The Company Name Michael G. Joneson Street Address Street Address Street Address Street Address Street Address Solution Street Address St	SALE OF SECTION OF SEC	WISCONSIN Rhode Island URITIES S: ("X" BOX FOR AT	TACHMENT) FILL IN Tice President Name Kevin Lentz Street Address	SPACES BEFORE USING	6064		
7. Brief Description of the Character of Buss TO ENGAGE IN THE RETAIL 8. NAMES AND ADDRESSES OF The President Name Marcia L. Martin Street Address 2000 Heritage Way City State Wayerly State Michael G. Joneson Street Address 5910 Mineral Point F Gity State Madison 9. NAMES AND ADDRESSES OF T	SALE OF SECTION OF SEC	Rhode Island URITIES S: ("X" BOX FOR AT.	Vice President Name Kevin Lentz Street Address	SPACES BEFORE USING			
TO ENGAGE IN THE RETAIL 8. NAMES AND ADDRESSES OF The President Name Marcia L. Martin Street Address 2000 Heritage Way Cuy Wayerly Secretary Name Michael G. Joneson Street Address 5910 Mineral Point For Martin Guy Madison 9. NAMES AND ADDRESSES OF The President Name Manual Point For Martin State Madison 9. NAMES AND ADDRESSES OF The President Name Manual Point For Martin State Madison	SALE OF SECTION OF SEC	URITIES S: ("X" BOX FOR AT.	Vice President Name Kevin Lentz Street Address	SPACES BEFORE USING	G ATTACHMENTS		
President Name Marcia L. Martin Street Address 2000 Heritage Way City State Wayerly Secretary Name Michael G. Joneson Street Address 5910 Mineral Point F City State Madison 9. NAMES AND ADDRESSES OF 1	r	Zip	Vice President Name Kevin Lentz Street Address	SPACES BEFORE USING	G ATTACHMENTS		
Marcia L. Martin Street Address 2000 Heritage Way City State Wayerly Secretary Name Michael G. Joneson Street Address 5910 Mineral Point F City State Madison 9. NAMES AND ADDRESSES OF 1		1 '	Kevin Lentz Street Address				
Street Address 2000 Heritage Way Cuy State Wayerly. Secretary Name Michael G. Joneson Street Address 5910 Mineral Point F Guy State Madison 9. NAMES AND ADDRESSES OF 1		1 '	Street Address				
2000 Heritage Way Cuy Wayerly Secretary Name Michael G. Joneson Street Address 5910 Mineral Point F Guy Madison 9. NAMES AND ADDRESSES OF 1		1 '	•				
Wayerly Secretary Name Michael G. Joneson Street Address 5910 Mineral Point F Gay Madison 9. NAMES AND ADDRESSES OF 1		1 '		oint Road			
Michael G. Joneson Street Address 5910 Mineral Point F Gay State Madison 9. NAMES AND ADDRESSES OF 1	IA		Clty	State	Zip		
Michael G. Joneson Street Address 5910 Mineral Point F Gay State Madison 9. NAMES AND ADDRESSES OF 1		<u> </u> 50677	Madison	. WI	53705		
Street Address 5910 Mineral Point F Guy Madison 9. NAMES AND ADDRESSES OF 1			Treasurer Name				
5910 Mineral Point F Gay State Madison 9. NAMES AND ADDRESSES OF T				Michael G. Joneson			
Madison 9. NAMES AND ADDRESSES OF T	Poad		Street Address 5910 Mineral Point Road				
Madison 9. NAMES AND ADDRESSES OF T		7/0					
9. NAMES AND ADDRESSES OF	WI	<i>Ζίρ</i> 53705	<i>City</i> Madison	State WI	53705		
•		•	•	I IN SPACES BEFORE USI	J		
Director Name		•	Director Name				
Grael B. Barker			Dennis J. Godf	rey			
Street Address		-	Street Address	——————————————————————————————————————			
4700 Southern Parkwa	3. <u>Y</u> .		1007 Electric Avenue				
City State	r	Zip	City	State	Zip		
Louisville	KY	J 40214	Vienna	L VA	22180		
Director Name			Director Name				
John W. Henry			Kevin T. Lentz				
Street Address			Street Address				
6135 Trust Drive, St Cliv State		Zψ	<u>5910 Mineral P</u>		100		
		, ·	Madison	State	53705		
Holland 10. Shares authorized ("X"	OH BOX FOR AT	43528 TACHMENT) □	Madison	WI BOX FOR ATTACE			
AUTHORIZED SHARES		,	ISSUED SHARES	("X" BOX FOR ATTACE			
	: Series	Par Value	Number of Shares	Class Series	Par Value		
			7	Service St. City	710 11011		
2,000 COMM NO PAR VALUE			765	Common	NPV		
	-						
This report must be signed	d in ink by eit	her the President. Vice	President, Secretary, Assist	ant Secretary, Treasurer	Receiver or Trustee		
•							

	* 6.8-0.6.1 *
File Date Check No. By: FOR EFFORM	1386598 1386598

Under penalty of perjury. I declare and affirm that including any accompanying schedules and states contained herein are true and correct. HALLY HOLLS	•
Signature of Officer Tracy K. Lien	Date
Print or Type Name of Officer	
Assistant Secretary	
Title of Officer	

CUNA Brokerage Services, Inc.

Friday, December 19, 2003

DIRECTORS

Comment:

Barker, Grael B.

Director

Effective: Monday, January 28, 2002

Primary Address:

4700 Southern Parkway Louisville, KY 40214

Godfrey, Dennis J.

Director

Effective. Monday, January 28, 2002

Primary Address:

Navy Federal Financial Group

1007 Electric Avenue Vienna, VA 22180

Henry, John W.

Director

Effective: Monday, May 04, 1998

Primary Address:

6135 Trust Drive - Suite 204

Holland, OH 43528

Lentz, Kevin T.

Director

Effective: Monday, January 28, 2002

Primary Address:

CUNA Mutual Insurance Society

P. O. Box 391

5910 Mineral Point Road Madison, WI 53701 USA

Warshauer, Mark T.

Director

Effective: Monday, January 28, 2002

Primary Address:

MEMBERS Capital Advisors, Inc.

5910 Mineral Point Road Madison, WI 53705 USA

OFFICERS

Godfrey, Dennis J.

Chairman

Effective: Wednesday, May 08, 2002

Primary Address:

Navy Federal Financial Group

1007 Electric Avenue Vienna, VA 22180

Henry, John W.

Vice Chairman

Effective: Wednesday, May 08, 2002

Primary Address:

6135 Trust Drive - Suite 204

Holland, OH 43528

Martin, Marcia L.

President

Effective: Monday, April 23, 2001 Primary Address: None given

Joneson, Michael G.

Secretary

CUNA Brokerage Services, Inc.

Primary Address:

CUNA Mutual Group 1805 South Redwood Road Salt Lake City, Utah 84104

Edward S. Inman, III, Secretary of State Corporations Division 100 North Main Street, Providence. RI 02903-1335

401-222-3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR

iling	Period:	January	1-March .	j •	Filing	Fee: \$50.00	
		, ,					

(FORM MUST BE TYPED OR PRINTE	ED IN	BLACK)
1. Corporate ID No.	2	Name o

2. Name of Carparation

68041

3. Street Address Principal Business Office

CUNA BROKERAGE SERVICES, INC.

5910 Mineral Point Road

Madison

State

ZIp

4. Business Phone No

5. State of Incorporation

WI

53705 6. SIC Code

(608) 238-5851

WISCONSIN

6064

7. Brief Description of the Character of Rusiness Conducted in Rhode Island

To engage in the retail sale of securities and any activities related thereto. 8. NAMES AND ADDRESSES OF THE OFFICERS ("X" BOX FOR ATTACHMENT) FILL IN SPACES BEFORE USING ATTACHMENTS Vice President Name

President Name

Marcia L. Martin

Street Address

2000 Heritage Way

Michael G. Joneson

5910 Mineral Point Road

Waverly

Secretary Name

Street Address

State

IA

Zip

50677

Street Address

Faye A. Patzner

City

Madison

Zip 53705...

Treasurer Name

Michael G. Joneson

Dennis J. Godfrey

Street Address

5910 Mineral Point Road

5910 Mineral Point Road

City

City

State

Madison

53705

Zip

Madison

WI

WI

WI

53705

53705

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) FILL IN SPACES BEFORE USING ATTACHMENTS

53705

Director Name

Grael B. Barker

Street Address

5910 Mineral Point Road

Madison

WI

State

Zip

Director Name

James E. Gowan

Street Address 5910 Mineral Point Road

2,000 COMM NO PAR VALUE

City

State

Zip

Madison WI 10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares

Class/Series

Par Value

53705

John W. Henry

Madison

Street Address

Director Name

Street Address

5910 Mineral Point Road

5910 Mineral Point Road

Zip

53705

Madison

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT) ISSUED SHARES

Number of Shares

Class/Series

IJT

Par Value

765

Common

NPV

2/7/03

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

File Date: _

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this reput, including any accompanying schedules and statements, and statements contained Refein are true and correct.

Signature of Officer

<u>Laurie M. Carlson</u> Print or Type Name of Officer

Assistant Secretary Title of Officer

Form 630 12/02

€ 5

CUNA Brokerage Services, Inc.

DIRECTORS

Barker, Grael B. Director

Effective: Monday, January 28, 2002

Primary Address: 4700 Southern Parkway

Louisville, KY 40214

Godfrey, Dennis J. Director

Effective: Monday, January 28, 2002

Primary Address: Navy Federal Financial Group

1007 Electric Avenue Vienna, VA 22180

Gowan, James Edward Director

Effective: Friday, May 12, 2000

Primary Address: CUNA Mutual Group

5910 Mineral Point Road Madison, WI 53705 USA

Henry, John W. Director

Effective: Monday, May 04, 1998

Primary Address: 6135 Trust Drive - Suite 204

Holland, OH 43528

Lentz, Kevin T. Director

Effective: Monday, January 28, 2002

Primary Address: CUNA Mutual Insurance Society

5910 Mineral Point Road Madison, WI 53705 USA

Warshauer, Mark T. Director

Effective: Monday, January 28, 2002

Primary Address: MEMBERS Capital Advisors, Inc.

5910 Mineral Point Road Madison, WI 53705 USA

OFFICERS

Godfrey, Dennis J. Chairman

Effective: Wednesday, May 08, 2002

Primary Address: Navy Federal Financial Group

1007 Electric Avenue Vienna, VA 22180

Henry, John W. Vice Chairman

Effective: Wednesday, May 08, 2002

Primary Address: 6135 Trust Drive - Suite 204

Holland, OH 43528

Martin, Marcia L. President

Effective: Monday, April 23, 2001

Primary Address: 2000 Heritage Way

Waverly, IA 50677-9202

Price, Patricia A.

Assistant Vice President

Effective: Thursday, March 01, 2001

Primary Address:

CUNA Mutual Life Insurance Company

2000 Heritage Way Waverly, IA 50677 USA

Sabo, Lynn S.

Assistant Vice President

Effective: Thursday, March 01, 2001

Primary Address:

CUNA Brokerage Services

2000 Heritage Way

Waverly, IA 50677-9202 USA

Withey, Deborah A.

Assistant Vice President

Effective: Thursday, March 01, 2001

Primary Address:

CUNA Brokerage Services 5910 Mineral Point Road Madison, WI 53705 USA

Carlson, Laurie M.

Assistant Secretary

Effective: Monday, May 07, 2001

Primary Address:

CUNA Mutual Group

5910 Mineral Point Road Madison, WI 53705 USA

Lien, Tracy K.

Assistant Secretary

Effective: Wednesday, June 02, 1999

Primary Address:

CUNA Mutual Group 5910 Mineral Point Road Madison, WI 53705 USA

Willson, Mark K.

Assistant Secretary

Effective: Friday, January 25, 2002

Primary Address:

CUNA Mutual Group 5910 Mineral Point Road Madison, WI 53705 USA

Carlson, Timothy L.

Assistant Treasurer

Effective: Friday, October 12, 1990

Primary Address:

2000 Heritage Way Waverly, IA 50677-9202

McCloskey, Geoffrey M.

Assistant Treasurer

Effective: Monday, May 07, 2001

Primary Address:

CUNA Mutual Group 5910 Mineral Point Road Madison, WI 53705 USA

Halevan, Timothy S.

Compliance Officer

Effective: Friday, June 01, 2001

Primary Address:

CUNA Brokerage Services, Inc.

2000 Heritage Way Waverly, IA USA

Edward S. Inman, III, Secretary of State Corporations Division 100 North Main Street, Providence, RI 02903-1335

401-222-3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR Filing Period: January 1-March 1 Filing Fec: \$50.00

.,	•	•		0	
FORM MILET	OF THEFT !	** (21 4 (21)			

IFORM MUST BE TYPED IN BL	4CKI	
---------------------------	------	--

1. Corporate ID No.

4. Business Phone No.

2. Name of Corporation

68041

3. Street Address Principal Business Office

CUNA BROKERAGE SERVICES, INC.

5910 Mineral Point Road

5. State of Incorporation

City

State

7.10

Madison

WI

53705

6. SIC Code 6064

(608) 231-5851

WISCONSIN

To engage in the retail sale of securities and any activities related thereto.

7. Brief Description of the Character of Business Conducted in Rhode Island

8. NAMES AND ADDRESSES OF THE OFFICERS (*X* BOX FOR ATTACHMENT) FILL IN SPACES BEFORE USING ATTACHMENTS

Vice President Name

Faye A. Patzner Street Address

Marcia L. Martin Street Address

2000 Heritage Way

City

Michael G. Joneson

5910 Mineral Point Road

Waverly

Secretary Name

Street Address

State IA Zip 50677-9202

5910 Mineral Point Road

State

State

WI

Zip

210

Zip

Madison Treasurer Name

Michael G. Joneson

Dennis J. Godfrey

Street Address

5910 Mineral Point Road

5910 Mineral Point Road

City

City Madison

WI

Zip 53705

Zip

Madison

Director Name

Street Address

City

WI

WI

53705

53705

53705

9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name

Grael B. Barker

Street Address

5910 Mineral Point Road

City

WI

Madison

Director Name

2,000 COMM NO PAR VALUE

James E. Gowan

City

2400 Pleasant Hill Road, Suite 200 Ziρ

Duluth

GA

30096

53705

10. SHARES AUTHORIZED (*X* BOX FOR ATTACHMENT)

AUTHORIZED SHARES

Number of Shares

Class/Series

Par Value

John W. Henry

Street Address

Madison

Director Name

6135 Trust Drive, Suite 204 City State

Holland

43528

11. SHARES ISSUED (*X* BOX FOR ATTACHMENT)

ISSUED SHARES

Number of Shares

Class/Series

Par Value

765

Common

NPV

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



2-27-02

Check No.:

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and all statements confulned herein are true and correct.

Signature of Officer

Laurie M. Carlson Print or Type Name of Officer

Assistant Secretary

Corporations Division 100 North Main Street, Providence, RI 02903-1335 401-222-3040

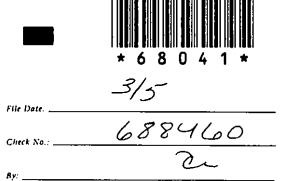
PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2001 Filing Period: January 1-March 1 • Filing Fee: \$50.00

O1 STOP

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. 68041	2. Name of Corpora	ILION KERAGE SERVICE	s, inc.	 	· · · · · ·
3. Street Address Principal Busine:	ss Office		City	State	Zip
5910 Mineral Po 4. Business Phone No.	int Road	S. State of Incorporal WISCONSIN	Madison ^{Jon}	WI	53705 ^{6. s} 606 %
608-231-5851 7. Brief Description of the Charact	ter of Business Conducted		•		
			and any activities TACHMENT) XFILLINSPACE		
President Name	SSLS OF THE OFF	ICERS (A BOATON A)	Vice President Name	S DEFORE USING ATTA	CHMENIS
Wayne A. Benson	1		Faye A. Patzn	er	
Street Address 5910 Mineral Po	int Road		Street Address 5910 Mineral	Point Road	
City	State	Zip	City	State	ZIp
Madison	WI	53705	Madison	WI	53705
Secretary Name			Treasurer Name		
Michael G. Jone	son		Michael G. Jo	neson	
Street Address			Street Address		
5910 Mineral Po	int Road		5910 Mineral	Point Road	
City	State	Zip	City	State	ZIp
Madison	WI	53705	Madison	WI	53705
	SSES OF THE DIR	ECTORS ("X" BOX FOR	ATTACHMENT) XFILL IN SPACE	CES BEFORE USING AT	FACHMENTS
Director Name			Director Name	-1	
Wayne A. Benson			Lawrence R. H	alverson	
5910 Mineral Po	int Poad		Street Address 5910 Mineral	Point Pood	
City	State	ZIp	City	State	71-
Madison	WI	53705	Madison	WI	zip 53705
Director Name		33.03	Director Name		2,3703
John W. Henry			Daniel E. Mey	link. Sr.	
Street Address			Street Address		
5910 Mineral Po	int Road		5910 Mineral	Point Road	
City [.]	State	Zip	City	State	Zip
Madison	WI	53705	Madison	WI	53705
10. SHARES AUTHORIZE AUTHORIZED SHARES	ED (*X* BOX FOR ATT	ACHMENT)	11. SHARES ISSUED	("X" BOX FOR ATTACHMEN	(T)
Number of Shares	Class/Series	Par Value	Number of Shares	Class/Series	Par Value
2,000	Common	NPV	765	Common	NPV

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Llebu Dr.			 01-31-01	
Signature of Officer Helen M.	Was	Jabaz	Date	
Print or Type Name of Officer	_		 	_

Assistant Secretary

CUNA Brokerage Services, Inc.

DIRECTORS

Comment:

Wayne A. Benson

Director

Effective: Monday, May 04, 1998

Primary Address:

5910 Mineral Point Road Madison, WI 53705

James E. Gowan

Director

Effective: Friday, May 12, 2000

Primary Address:

CUNA Mutual Group

2400 Pleasant Hill Road - Suite 200

Duluth, GA 30096

Lawrence R. Halverson

Director

Effective: Wednesday, November 06, 1996

Primary Address:

MEMBERS Capital Advisors, Inc.

5910 Mineral Point Road Madison, WI 53705 USA

John W. Henry

Director

Effective: Monday, May 04, 1998

Primary Address:

6135 Trust Drive - Suite 204

Holland, OH 43528

Marcia L. Martin

Director

Effective: Friday, May 12, 2000

Primary Address:

2000 Heritage Way

Waverly, IA 50677-9202

Daniel E. Meylink, Sr.

Director

Effective: Thursday, November 19, 1998

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

OFFICERS

Michael G. Joneson

Secretary

Effective: Tuesday, June 17, 1997

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Treasurer

Effective: Saturday, September 01, 1990

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Wayne A. Benson

President

Effective: Monday, May 04, 1998

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

David S. Emery

Vice President

Effective: Friday, April 14, 2000

Primary Address:

33320 9th Avenue South

Suite 250

Federal Way, WA 98003

John W. Henry

Vice President

Effective: Monday, May 04, 1998

Primary Address:

6135 Trust Drive - Suite 204

Holland, OH 43528

Daniel J. LaRocque

Vice President

Effective: Friday, June 30, 2000

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Faye A. Patzner

Vice President

Effective: Wednesday, June 02, 1999

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Gregory A. Zanowski

Vice President

Effective: Tuesday, February 01, 2000

Primary Address:

CUNA Mutual Group - MWMID 15800 N Haggerty Rd #101

Plymouth, MI 48170

John C. Fritsche

Assistant Vice President

Effective: Friday, December 06, 1996

Primary Address:

4455 LBJ Freeway

Farmers Branch, TX 75234

Marcia L. Martin

Assistant Vice President

Effective: Friday, June 30, 2000

Primary Address:

2000 Heritage Way

Waverly, IA 50677-9202

Donna C. Blankenheim

Assistant Secretary

Assistant Secretary

Effective: Friday, October 12, 1990

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Janice C. Doyle

Effective: Tuesday, May 07, 1991

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Tracy K. Gunderson

Assistant Secretary

Effective: Wednesday, June 02, 1999

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Barbara L. Secor

Assistant Secretary

Effective: Tuesday, May 05, 1992

Primary Address:

2000 Heritage Way

Waverly, IA 50677-9202

CUNA Brokerage Services, Inc.

Helen M. Wagabaza

Assistant Secretary

Effective: Wednesday, June 02, 1999

Primary Address:

5910 Mineral Point Road Madison, WI 53705

Joseph L. Bauer

Assistant Treasurer

Effective: Wednesday, June 02, 1999

Primary Address:

5910 Mineral Point Road Madison, WI 53705

Timothy L. Carlson

Assistant Treasurer

Effective: Friday, October 12, 1990

Primary Address:

2000 Heritage Way Waverly, IA 50677-9202

Mark C. Eisenmann

Associate Compliance Officer

Effective: Friday, June 30, 2000

Primary Address:

5910 Mineral Point Road Madison, WI 53705

Campbell D. McHugh

Compliance Officer

Effective: Saturday, September 01, 1990

Primary Address:

5910 Mineral Point Road Madison, WI 53705

Andrew C. Osen

Associate Compliance Officer

Effective: Wednesday, June 02, 1999

Primary Address:

5910 Mineral Point Road Madison, WI 53705

Brian L. Schroeder

Associate Compliance Officer

Effective: Wednesday, June 02, 1999

Primary Address:

5910 Mineral Point Road Madison, WI 53705

John W. Wiley

Associate Compliance Officer

Effective: Tuesday, June 17, 1997

Primary Address:

5910 Mineral Point Road Madison, WI 53705

2. Name of Corporation

James R. Langevin, Secretary of State Corporations Division 100 North Main Street, Providence, RI 02903-1335 401-222-3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2000 Filing Period: January 1-March 1 • Filing Fee: \$50.00

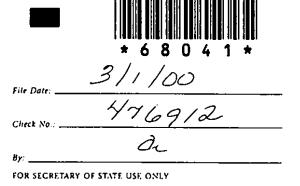
STOP PLAMERIAL PAMERIAL

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No.

00041		ERAGE SEKAICES	, INC.		
. Street Address Principal Bus			City	State	Zip
5910 Mineral Po Business Phone No.	oint Road	5. State of Incorporat	Madison ^{Jon}	WI	53705 6. sic co
608/238-5851 . Brief Description of the Chai	racter of Business Conducted :	WISCONSIN in Rhode Island			6064
To engage in th B. NAMES AND ADDI resident Name			nd any activities re TACHMENT) FILLIN SPACES E Vice President Name	lated thereto. BEFORE USING ATTAC	HMENTS
Wayne A. Benson	l		Scott Vignovich Street Address		
5910 Mineral Po	int Road State	Zip	2000 Heritage Waj	y State	Zip
Madison ecretary Name	WI	53705	Waverly Treasurer Name	IA	50677
Michael G. Jone	son		Michael G. Joneso Street Address	on	
5910 Mineral Po	int Road State	ZIp	5910 Mineral Poin	nt Road State	Zip
Madison . NAMES AND ADDI Prector Name	WI RESSES OF THE DIRE	53705 ECTORS (*X* BOX FOR .	Madison ATTACHMENT) FILL IN SPACES Director Name	WI S BEFORE USING ATTA	53705 CHMENTS
Nayne A. Benson			Lawrence R. Halve Street Address	erson	
5910 Mineral Po	int Road State	Zip	5910 Mineral Poi	nt Road State	Zip
Madison Grector Name	WI	53705	Madison Olrector Name	WI	53705
John W. Henry			Daniel E. Meylinl Street Address	k, Sr.	
5910 Mineral Po	int Road State	Zip	5910 Mineral Poi	nt Road State	Zip
viadison O. SHARES AUTHORI UTHORIZZD SHARES	WI IZED (*X* BOX FOR ATT	53705 ACHMENT)	Madison 11. SHARES ISSUED (*)	WI K* BOX FOR ATTACHMENT	53705
umber of Shares	Class/Series	Par Value	Number of Shares	Class/Series	Par Value
2 000	Common	NPV	765	Common	NDV

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

delen m	Wagabaja	2/29/2000
Signature of Officer	$-\mathcal{I}\mathcal{I}\mathcal{I}\mathcal{I}\mathcal{I}\mathcal{I}\mathcal{I}\mathcal{I}\mathcal{I}\mathcal{I}$	Date
11-1		

Hel	en	Μ.	W	ag	aba	حال
Print or Ty	pe Nam	e of Of	ficer	J		

Assistant Secretary
Title of Officer

CUNA Brokerage Services, Inc.

DIRECTORS

Wayne A. Benson

Director

Effective: May 04, 1998

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Lawrence R. Halverson

Director

Effective: November 06, 1996

Primary Address:

5910 Mineral Point Road

Madison, WI 53705 USA

John W. Henry

Director

Effective: May 04, 1998

Primary Address:

6135 Trust Drive - Suite 104

Holland, OH 43528

Daniel E. Meylink, Sr.

Director

Effective: November 19, 1998

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Scott Vignovich

Director

Effective: May 04, 1998

Primary Address:

2000 Heritage Way

Waverly, IA 50677-9202

OFFICERS

Michael G. Joneson

Secretary

Effective: June 17, 1997

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Treasurer

Effective: September 01, 1990

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Wayne A. Benson

President

Effective: May 04, 1998

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

John W. Henry

Vice President

Effective: May 04, 1998

Primary Address:

6135 Trust Drive - Suite 104

Holland, OH 43528



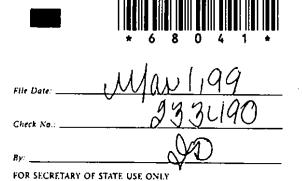
James R. Langevin, Secretary of State Corporations Division 100 North Main Street, Providence, RI 02903-1335 401-222-3040

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 1999 Filing Period: January 1-March 1 • Filing Fee: \$50.00

STOP PIT WERE WE INSTRUCTIONS

(FORM MUST BE TYPED IN BLACK) 1. Corporate II) No 2. Name of Corporation 68041 **CUNA BROKERAGE SERVICES, INC.** 3. Street Address Principal Business Office State Zip 5910 Mineral Point Road Madison WI 53705 4. Business Phone No. 5. State of Incorporation 6. SIC Code WISCONSIN 6064 608/238-5851 7. Brief Description of the Character of Business Conducted in Rhode Island To engage in the retail sale of securities and any activities related thereto. 8. NAMES AND ADDRESSES OF THE OFFICERS ("X" BOX FOR ATTACHMENT) X FILL IN SPACES BEFORE USING ATTACHMENTS President Name Vice President Name Wayne A. Benson John W. Henry Street Address Street Address 5910 Mineral Point Road 6135 Trust Drive, Ste 104 1 City Zip Zio Madison WI 53705 **Holland** OH. 43528 Secretary Name Treasurer Name Michael G. Joneson Michael G. Joneson Street Address : Street Address 5910 Mineral Point Road 5910 Mineral Point Road 9. NAMES AND ADDRESSES OF THE DIRECTORS (*X* BOX FOR ATTACHMENT) 大 FILL IN SPACES BEFORE USING ATTACHMENTS Director Name Director Name Wayne A. Benson Lawrence R. Halverson Street Address Street Address 5910 Mineral Point Road 5910 Mineral Point Road ZIp ZIp Madison 53705 Madison 53705 WI Director Name Director Name John W. Henry Daniel E. Meylink, Sr. Street Address Street Address 5910 Mineral Point Road 6135 Trust Drive, Ste 104 210 City State 43528 53705 Holland OH Madison WI 10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT) 11. SHARES ISSUED (*X* BOX FOR ATTACHMENT) AUTHORIZED SHARES ISSUED SHARES Number of Shares Class/Series Par Value Number of Shares Class/Series Par Value NPV 2,000 765 Common Common NPV

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



Under penalty of perjury, I declare a	and affirm that I have examined
this report, including any accompan	nying schedules and statements, and
that all statements contained heseir	n are true and correct.
	2/23/99
Signature of Officer	Date
Jason E. Smith	
Print or Type Name of Officer	

<u> Assistant Secretary</u>

CUNA Brokerage Services, Inc.

DIRECTORS

Wayne A. Benson

Director

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Lawrence R. Halverson

Director

Primary Address:

CIMCO Inc.

5910 Mineral Point Road Madison, WI 53705

John W. Henry

Director

Primary Address:

6135 Trust Drive - Suite 104

Holland, OH 43528

Daniel E. Meylink, Sr.

Director

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Scott Vignovich

Director

Primary Address:

2000 Heritage Way

Waverly, IA 50677-9202

OFFICERS

Michael G. Joneson

Secretary

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Treasurer

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Wayne A. Benson

President

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Brian C. Lasko

Managing Principal

Primary Address:

2000 Heritage Way

Waverly, Iowa 50677

John M. Waggoner

Chief Officer

Primary Address: 5910 Min

5910 Mineral Point Road Madison, WI 53705

John W. Henry

Vice President

Primary Address:

6135 Trust Drive - Suite 104

Holland, OH 43528

CUNA Brokerage Services, Inc.

Sandra K. Steffeney

Vice President

Primary Address:

CUNA Mutual Group - NWMD 33320 9th Ave S Ste 250

Federal Way, WA 98003

Scott Vignovich

Vice President

Primary Address:

2000 Heritage Way

Waverly, IA 50677-9202

John C. Fritsche

Assistant Vice President

Primary Address:

Members Investment Services, Inc.

4455 LBJ Freeway

Suite 1108

Dallas, TX 75244

Donna C. Blankenheim

Assistant Secretary

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Janice C. Doyle

Assistant Secretary

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Barbara L. Secor

Assistant Secretary

Primary Address:

2000 Heritage Way

Waverly, IA 50677-9202

Jason E. Smith

Assistant Secretary

Primary Address:

5910 Mineral Point Road

Madison, WI 53705

Timothy L. Carlson

Assistant Treasurer

Primary Address:

2000 Heritage Way

Waverly, IA 50677-9202

Michael A. Ullsperger

Assistant Treasurer

Primary Address:

5910 Mineral Point Road Madison, WI 53705



James R. Langevin, Secretary of State Corporations Division 100 North Main Street, Providence, RI 02903-1335 401-277-3040

STOP PLEASE READ INSERCETIONS

PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 1998

iling Period: January 1-March 1	•	Filing Fee: \$50.00	
---------------------------------	---	---------------------	--

/rony	MICT	DГ	TYPED	11.	DIACK	١
FORM	MUSI	BŁ.	DIPLU		BLACK	,

1. Corporate ID No. 2. Name of Corporation

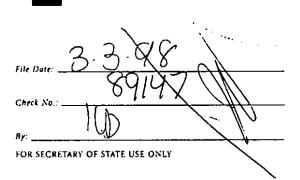
CINA Prokonage Services

68041	CUNA Bro	kerage Services,	, Inc.		
3. Street Address Principal Business	Office		City	State	7.ip
5910 Mineral Poin	t Road		Madison	WI	53705
4. Business Phone No.		5. State of Incorporation			6. SIC Code
(608) 238-5851 7. Brief Description of the Characte					6064
To engage in the 8. NAMES AND ADDRES				elated thereto.	
President Name			Vice President Name		
Lawrence R. Halve	rson		Marc A. Krasni Street Address	ick	
5910 Mineral Poin	t Road		5910 Mineral I	Point Road	
City	State	Zip	Cliy	State	Zip
Madison Secretary Name	WI	53705	Madison Treasurer Name	WI	53705
Michael G. Joneso	n		Michael G. Joi	neson	
5910 Mineral Poir	t Road		5910 Mineral 1	Point Road	
City	State	Zip	City	State	Zip
Madison	WI	53705	Madison	WI	53705
9. NAMES AND ADDRES	SES OF THE DIRE	CTORS (*X* BOX FOR ATT	FACHMENT) Director Name		
Lawrence R. Halve	rson		Marc A. Krasni Street Address		
5910 Mineral Poir	ıt Road		5910 Mineral	Point Road	
City	State	Zip	City	State	Zip
Madison	Wl	53705	Madison	WI	53705
Director Name			Director Name		
Michael G. Joneso	n				
Street Address	. 6		Street Address		
5910 Mineral Poir			G':	61-11	71-
City	State	zı, 53705	City	State	Zip
Madison	WI		in cirkbec icenter	(ava nov ron imployment	(m)
10. SHARES AUTHORIZE	.D ("X" BOX FOR ATTA	CHMENTI		(*X* BOX FOR ATTACHMEN	(1)
AUTHORIZED SHARES	Chan (Carlos	Par Value	ISSUED SHARES Number of Shares	Class/Series	Par Value
Number of Shares	Class/Series	far value	number of shares	CHASS/SCITES	, 6, 16,110

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

NPV

765



Common

2,000

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Common

NPV

2/26/98

that all statements contained herein are true and correct.

Signature of Officer

Michael G. Joneson
Print or Type Name of Officer

Secretary/Treasurer



James R. Langevin, Secretary of State Corporations Division 100 North Main Street, Providence, RI 02903-1335 401-277-3040

PROFIT CORPORATION ANNUAL REPORT 1997

Filing Period: January 1-March 1 • Filing Fee: \$50.00

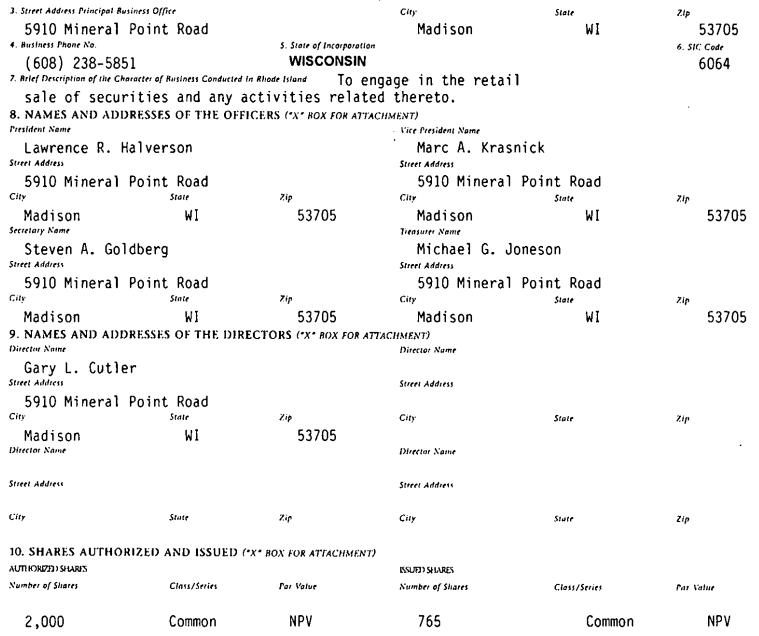
(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No.

2. Name of Corporation

68041

CUNA BROKERAGE SERVICES, INC.



This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

	* 6 8 0 4 1 *
File Date:	3.3.97
Check No.:	194350
Ву:	VP
FOR SECRETAL	RY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Steven A. Melley	2/26/97
Signature of Officer	Date

<u>Steven</u>	Α.	<u>Goldberg</u>	
Print or Type No			

Secretary



PROFIT CORPORATION ANNUAL REPORT

1996-



State of Rhode Island and Providence Plantations James R. Langevin, Secretary of State Corporations Division

100 North Main Street Providence, Rhode Island 02903-1335 + (401) 277-3040

Filing Period: January 1-March 1

Filing Fee: \$50.00

·		PLEASE TYPE OR	PRINT IN BLACK INK.	GON BEI	JAN 0 5 1996
ORPORATE ID NO.	2. NAME OF CORPORATION				
68041	_ l	OKERAGE SERVI	<u></u>		
TREET ADDRESS PROIDPAL BUSINESS OF			diy	STATE	ZIP COOE
5910 Mineral			Madison	WI	53705
USINESS PHONE NO	1	S STATE OF INCORPORATION	TM		6. SIC CODE
(608) 238-58		WISCONS		_	8888
TEF DESCRIPTION OF THE CHARACTER OF	BUSINESS CONTOUCTED IN PRICOR ISLA	To engage	in the retail sale	of securities	and
			ties related there		
GIDENT NAME	8. NAM	ES AND AODR	ESSES OF THE OF	FICERS	
	.inalia		William W. S	avles .	
Joseph_PTr	_1.pa.i_1.n		STREET ADDRESS	dy 163	
5910 Mineral			5910 Mineral		
Madison	STATE WI	53705	Madison	STATE	2º CODE 5.2.7.0.5
RETARY HAVE		3, 20, 700	Madison Treasurer name	<u>J WI</u>	53705
Steven A. Go	oldberg		Michael G. J	oneson	
EET ADORESS			STREET ADDRESS	. 11-	
5910 Mineral	Point Road STATE	ZIP CODE	2000 Heritag	e Way	ZP CODE
Madison	WI	53705	Waverly	IA	50677
CTOR NAME	9 . N A M	ES AND ADDR	ESSES OF THE DI	RECTORS	<u> </u>
Gary L. Cutl	er		M OIRÈCTOR NAME		
EET ADDRESS	. C I		STREET ADORESS		
5910 Mineral				· · · · · · · · · · · · · · · · · · ·	·
Madiaon	STATE	2P 0005	CITY	STATE	ZP 000E
Madison	Winds	53705	N DIRECTOR HAVE	مرياس الموجوعية اللغا	dan ampanana
			·· N		
ET ADOPESS			STREET ADDRESS	······································	
, ~ 	STATE	<u> </u>	II MOTY	STATE	[₽ 000 <u>1</u>
			j.		
and the second of the second of the second of	10. SH	RES AUTHOR	IZED AND ISSUED		
	AUTHORIZED SHARES			ISSUED SHARES	γ
NUMBER OF SHARES	CLASS / SER'ES	PAR VALUE	MUMBER OF SHARES	CLASS / SERTES	PAR VALUE
2,000	Common	NPV	765	Common	NPV
		· · · · · · · · · · · · · · · · · · ·			
				<u> </u>	<u> </u>
Pres	This r sident, Vice Presiden	eport must be SIC t. Secretary Assis	GNED IN INK by either th stant Secretary, Treasurer	ie : Receiver or Trustee	
. 103		., 50010taly, 710010	·		
			Under penalty o report, including	of perjury, I declare and at any accompanying scheme	firm that I have examine dules and statements, as

File Date: Check No: Ву:

For Secretary of State Use Only

all statements contained herein are true and correct.

Signature of Officer

Steven A. Goldberg Print or Type Name of Officer

Secretary

1/31/96

Title of Officer

Date

State of Rhode Island and Providence Plantations Office of The Secretary of State 100 North Main Street

100 North Main Street Providence, Rhode Island 02903-1335 401-277-3040

ANNUAL REPORT

Please Type or Print File Annually – Jan. 1 - March 1 Filing Fee \$50.00

Make Checks Payable to: Secretary of State

ALL ENTRIES MUST BE COMPLETED IN FULL OR THE FORM WILL BE RETURNED.

	0068041				1995	
Corporate ID:			Anni	ual Report for the year:		-
	CUNA	BROKERAGE	SERVICES,	ING.		

Name of Corporation:

Business entity organized under the laws of the State of: Wisconsin

For foreign entity, address and telephone number of principal office:

5910 Mineral Point Road Madison, WI 53705

Phone: (608) 238-5851

Phone: (

Address and telephone of the principal office of business entity in Rhode

Island (Provide street address - Not P.O. Box):

Business Entity is (check one):

[X] Business Corporation (See RIGL Chapter 7-1.1)

[] Professional Service Corporation (See RIGL Chapter 7-5.1)

Brief statement of the character of business conducted in Rhode Island. To engage in the retail sale of securities and any activities related thereto.

			THE	NAMES OF TH	E OFFICERS	ARE:		
PRESIDEN	Robert W. Bu	sh 5910	Mineral	STREET ADDR Point Road,	Madison,		citystate 5	zircoai
VICE PRES	IDEN;			SUREET ADDR	ESS		COLVISTATE	XIP CO(3)
	William W. S	ayles 5910	Mineral					
SECRETAR	Steven A. Go	ldberg 5910	Mineral	STREET ADDR Point Road,			chystau 5	ZP CODE
TREASURI	Michael G. J	oneson 2000	Heritage	street abor e Way, Waver			CITY/STATE	ZIP CODE
			THE N	AMES OF THE			ll of the al	bove plus:
NAME	Gary L. Cutl	er 2000	Heritage	STRUULADDR Way, Waver	ly, IA 50		CITY/STATE	ZIP CODE
NAME				STREET ADDR	ESS		CUTY STATE	ZiP CODE
NAME				STREET ADOR	ESS		CITY/STATE	X.P CODI
 NUMBE	R OF SHARES AUTHO	ORIZED (Rider may	be attached)		NUMBER OF SH	IARES ISSUEI	AND OUTSTAND	(ING (Rider may be attached)
Number	of Shares 2,000	Class / Series Common /	N/A		Number of Share 765	es	Class / Series Common /	N/A
Date Form 31	February 15		95	By:	E NAME OF OTHERS	<u> </u>	cretary	
		DESIGNA	ATED REG	ISTERED AGE		VICE OF PI	ROCESS:	·-

PLEASE NOTE: If the registered office and/or registered agent indicated below is incorrect, Form 9 must be filed.

MARGARITA WECAL
7 WAKE ROBIN RD, UNIT 904
LINCOLN RI 02865

FILED FEB 2 1 1995

By GC 129520

Fixing Fee \$50.00 Payable to Secretary of State

. PLEASE TYPE or PRINT

File Annually LLC, Sept. 1 - Nov. 1 CORP, Jan. 1 - March 1

State of Rhode Island and Providence Plantations Office of The Secretary of State

100 North Main Street Providence, Rhode Island 02903-1335 401-277-3040

Corporate ID:68041	Annual Report for the year:1994	
Nume of Business Entity: CUNA Brokerage Services	, Inc	
Business entity organized under the laws of the State of WISCONSIN	Business Entity is (check one) [X] Business Corporation (See RIGI, Cha [] Professional Service Corporation (See [] Limited Liability Company (See RIGI) Name, title and mailing address of contact person	: RIGL Chapter 7-5.1) L 7-16)
<u>Madison</u> , WI <u>53705</u>	CUNA Mutual Insurance Group	·
Phone: (608) 238-5851 Address and telephone of the principal office of business entity in Rhode	5910 Mineral Point Road Madison, WI 53705	
Island (Provide street address - Not P.O. Box)	Brief statement of the character of business conductors engage in the retail sale and any activities related the Date of Organization	<u>of s</u> ecur <u>ities</u> .
PSone 1 3	Date of Qualification to do business in Rhode Isla 10/04/91	nd (if foreign entity)
	THE OFFICERS ARE:	
Robert W. Bush 5910 Min	eral Point Road Madison, WI	53705
William W. Sayles 5910 Mine	eral Point Road Madison, WI	53 <u>705</u>
Steven A. Goldberg 5910 Min	eral Point Road Madison, WI	· 53705
Michael G. Joneson 2000 Her	itage Way Waverly, IA THE DIRECTORS ARE:	50677 75cos
Gary L. Cutler 2000 Her	i <u>tage Way</u> Wave <u>rly. IA</u>	50 <u>677</u>
NOTE:	ADDRESS CONTRACT	Varcean
NUMBER OF SHARES AUTHORIZED (II Applicable)	NUMBER OF SHARES ISSUED AND OUTSTAN	DING (If Appl.cable)
NUMBER 2,000	NOMBER 765	
CLASS Common	· CLASS Common	
SERIES N/A	; SERIES N/A	
PAR VALUE OR WITHOUT PAR NPV	PAR VALUE OR WITHOUT PAR NPV	
Date August 16, By By	Steven A. Holdberg	
NOV 2 Office	Steven A. Goldberg	
DESIGNATED REGISTERED OR RESI	DENT AGENT FOR SERVICE OF PROCESS:	
PLEASE NOTE If the Corporation has changed its registered office and/or		≽ filed

Form 31 10/91

State of Rhode Island and Providence Plantations CORPORATIONS DIVISION 100 NORTH MAIN STREET PROVIDENCE, RHODE ISLAND 02903

orate ID. 68041	Annual Report for the year 1993			
FIRST: The name of the co	rporation isCUN	A Brokerage Services,	Inc.	
Second: It is incorporated	under the laws of	Wisconsin.		
THIRD: Character of busin	ess, briefly stated, i	s to engage in the re	tail sale of securities	
FOURTH: If foreign corpor		s principal office 5910 Mir	eral Point Road,	
Madison, WI 53705. FIFTH: Business address in	Rhode Island			
SIXTH: Names and addres	ses of its directors a		(Attach rider if necessar	
Gary L. Cutler	Director	2000 Heritage Wa	y, Waverly, IA 50677	
	Director			
***	Presiden	t&Dir. 5910 Mineral Poi	nt Road, Madison, WI 53705	
	Vice Pre	sident&Dir. 5910 Mineral	Point Road, Madison, WI 5 nt Road, Madison, WI 53709	
Steven A. Goldberg Michael G. Joneson		r&Dir. 2000 Heritage W		
SEVENTH: Number of Sha			Par Value or statement that shares are without	
No. of Shares 2,000	Class Common	Series N/A	par value NPV	
Еіднтн: Number of Shar	es issued:	NOV 28 1994 3179	Par Value or statement that shares are without	
No. of Shares 765	Class Common B	N/A	par value NPV	
ted August 16,	19 94	(Name of Corporation) Fue A.	Teller HE WEEL OF	
(Report must be signed by	oy an officer)	Steven A. Goldbo Title Secretary	erg (

Form 31 10/91

State of Rhode Island and Providence Plantations CORPORATIONS DIVISION 100 NORTH MAIN STREET PROVIDENCE, RHODE ISLAND 02903

Director Donald J. Dybiec Director Director Director Director Director Robert W. Bush President & Dir. 5910 Mineral Point Road, Madison, WI 5370 William W. Sayles Vice President & Dir. 5910 Mineral Point Road, Madison, WI 5370 William W. Sayles Vice President & Dir. 5910 Mineral Point Road, Madison, WI 5370 William W. Sayles Vice President & Dir. 5910 Mineral Point Road, Madison, WI 5370 Seven A. Goldberg Secretary & Dir. 5910 Mineral Point Road, Madison, WI 5370 Michael G. Joneson Treasurer & Dir. 2000 Heritage Way, Waverly, IA 50677 Seventh: Number of Shares authorized: No of Shares Class Series Series Series Series Par Value or statement that shares are without par value Par Value or statement that shares are without par value or statement that shares are without par value A 13 17 9 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	porate ID 68041	Annual Report for the year 1992			
THIRD: Character of business, briefly stated, isto_engage_in_the_retail_sale_of_securities_ and any activities related thereto. FOURTH: If foreign corporation, address of its principal office_5910_Mineral_Point_Road, Madison, WI 53705. FIFTH: Business address in Rhode Island SIXTH: Names and addresses of its directors and officers: Office	First: The name of the c	corporation isC	UNA Brokerage Services, Inc		
Address (including number, street, tip code) Board J. Dybiec Director Board W. Bush President & Dir. 5910 Mineral Point Road, Madison, WI 53705 Steven A. Goldberg Secretary & Dir. 5910 Mineral Point Road, Madison, WI 53705 Seventh: Number of Shares authorized: No of Shares Class 2000 Common EIGHTH: Number of Shares issued: No of Shares Class 765 Common Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Address (including number, street, tip code) (Attach rider if necessary Addr	SECOND: It is incorporate	ed under the laws o	f Wisconsin.		
Madison, WI 53705. FIFTH: Business address in Rhode Island					
SIXTH: Names and addresses of its directors and officers: Office Office Address (including number, street, zip code) Director Director Director Director Robert W. Bush President & Dir., 5910 Mineral Point Road, Madison, WI 5370 William W. Sayles Vice President & Dir., 5910 Mineral Point Road, Madison, WI 5370 Seven A. Goldberg Secretary & Dir., 5910 Mineral Point Road, Madison, WI 5370 Michael G. Joneson Treasurer & Dir., 5910 Mineral Point Road, Madison, WI 5370 Michael G. Joneson Treasurer & Dir., 2000 Herritage Way, Waverly, IA 50677 Seventh: Number of Shares authorized: No of Shares 2000 Common FINED NOV 2 8 1994 NOV 2 8 1994 Par Value or statement that shares are without par value Par Value Of Shares Class Road Shares Class Senes Par Value Of Shares without par value Par Value Of Shares Class NOV 2 8 1994 NOV 2 8 1994 NOV 2 8 1994 NOV 2 8 1994 CUNA Brokerage Services, Inc. NOV 2 8 1994		oration, address of i	ts principal office 5910 Mineral	Point Road,	
Donald J. Dybiec Director Donald J. Dybiec Director Director Robert W. Bush President & Dir. 5910 Mineral Point Road, Madison, WI 5370 William W. Sayles Vice President & Dir. 5910 Mineral Point Road, Madison, WI 5370 William W. Sayles Vice President & Dir. 5910 Mineral Point Road, Madison, WI 5370 William W. Sayles Vice President & Dir. 5910 Mineral Point Road, Madison, WI 5370 Michael G. Joneson Treasurer & Dir. 2000 Heritage Way, Waverly, IA 50677 Seventh: Number of Shares authorized: No of Shares Class Senies Par Value Or statement that shares are without par value No of Shares Class Common NOV 2 8 1994 NOV 2 8 1995 FINALD Par Value Or statement that shares are without par value Par value Or statement that shares are without par value Or statement that s	FIFTH: Business address	in Rhode Island	.		
Director Director Robert W. Bush President & Dir. 5910 Mineral Point Road, Madison, WI 5370 William W. Sayles Vice President & Dir. 5910 Mineral Point Road, Madison, WI 5370 Seven A. Goldberg Secretary & Dir. 5910 Mineral Point Road, Madison, WI 53. Michael G. Joneson Treasurer & Dir. 2000 Heritage Way, Waverly, IA 50677 Seventh: Number of Shares authorized: No of Shares 2000 Common FINED NOV 2 & 1994 EIGHTH: Number of Shares issued: No of Shares 765 Common Cunna Brokerage Services, Inc. (Name of Corporation) By Cunna Brokerage Services, Inc. (Name of Corporation) By Cunna Brokerage Services, Inc. (Name of Corporation) By Cunna Brokerage Services, Inc. Steven A. Goldberg				(Attach rider if necessary) number, street, zip code)	
Director Robert W. Bush President & Dir 5910 Mineral Point Road, Madison, WI. 5370 William W. Sayles Vice President & Dir 5910 Mineral Point Road, Madison, WI. 5370 Seven A. Goldberg Secretary & Dir 5910 Mineral Point Road, Madison, WI. 53 Michael G. Joneson Treasurer & Dir 2000 Herritage Way, Waverly, IA 50677 Seventh: Number of Shares authorized: No of Shares Class Senes Par Value or statement that shares are without par value No of Shares Class Senes Par Value or statement that shares are without par value NOV 2 8 1991 NOV 2 8 1991 NOV 2 8 1991 NOV 2 8 1991 Par Value or statement that shares are without par value NOW Description of Shares Services or statement that shares are without par value NOW Description of Shares Services or Serv					
President & Dir. 5910 Mineral Point Road, Madison, WI 5370 William W. Sayles Vice President & Dir. 5910 Mineral Point Road, Madison, WI 5370 William W. Sayles Vice President & Dir. 5910 Mineral Point Road, Madison, WI 5370 Wichael G. Joneson Treasurer & Dir. 2000 Heritage Way, Waverly, IA 50677 Seventh: Number of Shares authorized: No of Shares Class Senes Senes 2000 Common Par Value or statement that shares are without par value No of Shares Class Senes Par Value or statement that shares are without par value No of Shares Class Par Value or statement that shares are without par value No of Shares Class Par Value or statement that shares are without par value No of Shares Class Par Value or Statement that shares are without par value No of Shares Class Par Value or Statement that shares are without par value No of Shares Class Par Value or Statement that shares are without par value No of Shares Class Par Value or Statement that shares are without par value No of Shares Class Par Value or Statement that shares are without par value No of Shares Class Par Value or Statement that shares are without par value No of Shares Class Par Value or Statement that shares are without par value No of Shares Class Par Value or Statement that shares are without par value No of Shares Class Par Value or Statement that shares are without par value No of Shares Class Par Value or Statement that shares are without par value No of Shares Class Par Value or Statement that shares are without par value No of Shares Class Par Value or Statement that shares are without par value No of Shares Class Par Value or Statement that shares are without par value No of Shares Class Par Value or Statement that shares are without par value No of Shares Class Par Value or Statement that shares are without par value No of Shares Class Par Value or Statement that shares are without par value No of Shares Class Par Value or Statement that shares are without par value or Statement that shares are without par value or Statement tha	Donald J. Dybiec	Directo	r 2000 Heritage Way, M	averly, IA 505//	
Vice President & Dir. 5910 Mineral Point Road, Madison, WI. Steven A. Goldberg Secretary & Dir. 5910 Mineral Point Road, Madison, WI. 53 Michael G. Joneson Treasurer & Dir. 2000 Herritage Way, Waverly, IA 50677 Seventh: Number of Shares authorized: No of Shares Class Senes Par Value or statement that shares are without par value No of Shares Class Senes NPV EIGHTH: Number of Shares issued: No of Shares Class No of Shares					
Steven A. Goldberg Secretary & Dir. 5910 Mineral Point Road, Madison, WI 53 Michael G. Joneson Treasurer & Dir. 2000 Herritage Way, Waverly, IA 50677 Seventh: Number of Shares authorized: No of Shares Class Senes FINED NOV 2 8 1991 NOV 2 8 1991 Par Value or statement that shares are without par value Par Value Or statement that shares are without par value Par Value Or statement that shares are without par value NOV 2 8 1991 NOV 2 8 1991 NOV 2 8 1991 NOV 2 8 1991 Or statement that shares are without par value Or statement that shar	Robert W. Bush	Preside	nt & Dir. 5910 Mineral Point	Road, Madison, WI 53/05	
SEVENTH: Number of Shares authorized: No. of Shares 2000 Common FINAL No. of Shares Class Series Series Par Value or statement that shares are without par value NPV FINAL NOV 2 8 1994 Par Value Or statement that shares are without par value NPV FINAL NPV Par Value Or statement that shares are without par value NPV FINAL NPV Cunsulation NPV Cunsulation NPV Cunsulation Cunsulation Cunsulation Cunsulation NPV Cunsulation Cunsulation NPV Cunsulation Cuns	William W. Sayles	Vice Pr	esident & Dir. 5910 Mineral F	Point Road, Madison, WL5	
SEVENTH: Number of Shares authorized: No. of Shares Class Common FINALD NPV EIGHTH: Number of Shares issued: No. of Shares Class No. of Shares Class Class No. of Shares Class No. of Shares Class Common No. of Shares Class No. of Shares Class Common No. of Shares Class No. of Shares No. of Shares Class Class No. of Shares Class Class No. of	Steven A. Goldberg	••••			
SEVENTH: Number of Shares authorized: No. of Shares Class Common FINED NOV 2 8 1994 Par Value or statement that shares are without par value Nov 2 8 1994 Par Value or statement that shares are without par value Par Value or statement that shares are without par value Or statement that shares are without par	Michael G. Joneson	Treasul	rer & Din2000HenitageWay.	Waverly, IA 50677	
No. of Shares 2000 Common FINED NPV EIGHTH: Number of Shares issued: No. of Shares Class Class Common NPV Par Value or statement that shares are without par value No. of Shares Class NOV 2 8 1991 NOV 2 8 1991 NOV 2 8 1991 Par Value or statement that shares are without par value NPV August 16, Steven A. Goldberg Steven A. Goldberg	SEVENTH: Number of SI			Par Value or statement that shares are without	
EIGHTH: Number of Shares issued: No. of Shares Class Class Common N/A Common N/A Common N/A Common N/A Common Common N/A Common Common N/A Comm			Series	•	
No. of Shares No. of Shares Class Common N/A Common Common N/A Common Commo	2000	Common	FILED	I₹F¥	
765 Common N/A NPV ated August 16, 19 94 CUNA Brokerage Services Inc. (Name of Corporation) Steven A. Goldberg	Eighth: Number of Sha	ares issued:	NOV 2 8 1991	or statement that shares are without	
August 16, 19 94 CUNA Brokerage Services. Inc. (Name of Corporation) By June A. Goldberg			Bysetter () 13/7	•	
By Steven A. Goldberg	1 16		CUNA Brokerage Service		
(Report must be signed by an officer) Title Secretary		Lhu an afficae)	By Sum A. Nolls	ley	