

Filing Fee: \$150.00

License Fee: \$15.00 minimum (§7-1.1-124)

ID Number: 107332



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Corporations Division
100 North Main Street
Providence, Rhode Island 02903-1335

BUSINESS CORPORATION

APPLICATION FOR CERTIFICATE OF AUTHORITY

Pursuant to the provisions of Section 7-1.1-103 of the General Laws, 1956, as amended, the undersigned corporation hereby applies for a Certificate of Authority to transact business in the State of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is GreenPoint Mortgage Funding, Inc.
2. It is incorporated under the laws of New York
3. The name which it elects to use in Rhode Island is GreenPoint Mortgage Funding, Inc.

(If the corporation does not contain the word "corporation," "company," "incorporated," or "limited" or an abbreviation of one of such words, insert the name of the corporation with the word or abbreviation which it elects to add thereto for use in Rhode Island.)

4. The date of its incorporation is March 7, 1984 and the period of its duration is Perpetual
5. The address of its principal office in the state or country under the laws of which it is incorporated is 90 Park Avenue, New York, New York 10016
6. The address of its proposed registered office in Rhode Island is 122 ~~Boylston~~ Street 10 Weybosset St.
Providence (Street)
(City/Town), RI 02903 (Zip Code) and the name of its proposed registered agent in Rhode Island at that address is C T Corporation System

7. The specific purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:
originating and servicing mortgage loans. Notwithstanding the foregoing, the purpose of the corporation is to engage in any lawful act or activity for which corporations may be organized to do business under the laws of Rhode Island.

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8. The names and respective addresses of the directors and officers are:

	Name	Address
Director	<u>Andy Occhino</u>	<u>90 Park Avenue, New York, New York 10016</u>
Director	<u>James R. Manion III</u>	<u>1100 Larkspur Landing Circle, Suite 101,</u>
President	<u>Andy Occhino</u>	<u>90 Park Avenue, New York, New York 10016</u>
Vice President	<u>James R. Manion III</u>	<u>1100 Larkspur Landing Circle, Suite 101,</u>
Secretary	<u>James R. Manion III</u>	<u>1100 Larkspur Landing Circle, Suite 101,</u>
Treasurer	<u>Andy Occhino</u>	<u>90 Park Avenue, New York, New York 10016</u>

9. The aggregate number of shares which it has authority to issue, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

Number of Shares	Class	Series	Par Value or Statement that Shares are without Par Value
1,000	Preferred	(No series)	\$1.00
10,000	Common	(No series)	\$0.01

10. The aggregate number of its issued shares, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

Number of Shares	Class	Series	Par Value or Statement that Shares are without Par Value
0	Preferred	(No series)	\$1.00
9,638	Common	(No series)	\$0.01

11. An estimate, expressed as a percentage, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located 0; and an estimate, expressed as a percentage, of the proportion that the gross amount of business to be transacted by the corporation at or from places of business in this state during the following year bears to the gross amount thereof which will be transacted by the corporation during the following year 0.

12. An estimate of the value of all property to be owned by it for the following year wherever located is \$ 27,600,000.00

13. An estimate of the value of its property to be located within Rhode Island during the following year is \$ 1,000.00

14. An estimate of the gross amount of business to be transacted by it during the following year is \$ 9,000,000,000.00

15. An estimate of the gross amount of business to be transacted by it at or from places of business in Rhode Island during the following year is \$ 17,000,000.00

16. This application is accompanied by certified copies of its articles of incorporation and all amendments thereto, duly authenticated by the proper officer of the state or country under the laws of which it is incorporated.

Dated 12/1, 19 99

GreenPoint Mortgage Funding, Inc.

(Exact Corporate Name of Corporation Making Application)

By [Signature]

☒ President or ☐ Vice President (check one)

Andy Occhino

AND

By [Signature]

☒ Secretary or ☐ Assistant Secretary (check one)

James R. Manion III

STATE OF New York
COUNTY OF New York

In New York, on this 25th day of MAY, 19 99, personally appeared before me Andy Occhino, an officer of the corporation, who, under oath, verified that the information contained in this Application is true and accurate

[Signature]
Notary Public

My Commission Expires:

ELLEN EDWARDS
Notary Public, State of New York
No. 01ED6014508
Qualified in Westchester County
Commission Expires 10/13/2000

P.O. Box 195005
Charlotte, NC 28219-5005
(800) 545-4595

James R. Manion, III
Senior Vice President,
General Counsel and Secretary



May 21, 1999

Office of the Secretary of State
100 North Main Street
Providence, Rhode Island 02903

Ladies and Gentlemen:

Please be advised that GreenPoint Mortgage Corp. has no objection to and will permit the use of the name "GreenPoint Mortgage Funding, Inc." in the State of Rhode Island by the entity currently seeking to qualify under the name GreenPoint Mortgage Funding, Inc. in Rhode Island.

Please call the undersigned should you have any questions, or require additional information.

Very truly yours,

A handwritten signature in black ink, appearing to read "James R. Manion, III". The signature is fluid and cursive, with a large initial "J" and a long, sweeping underline.

06 JUN 8 1999
RECEIVED
OFFICE OF THE SECRETARY OF STATE
PROVIDENCE, RHODE ISLAND

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CT-07

CERTIFICATE OF AMENDMENT

OF

CREDIT SUISSE ASSET MANAGEMENT, INC.

UNDER SECTION 805
OF

THE BUSINESS CORPORATION LAW

FILED

JAN 5 2 00 PM '99

1CC
STATE OF NEW YORK
DEPARTMENT OF STATE

FILED JAN 05 1999

TAX S

BY: *AS*
NY

Fried Frank Harris
Shriver & Jacobson
One New York Plaza
New York, NY 10004

[Signature]
JAN 5 12 00 PM '99

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