

Filing Fee: \$50.00

ID Number: 96643



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Corporations Division
100 North Main Street
Providence, Rhode Island 02903-1335

RECEIVED
SECRETARY OF STATE
CORPORATIONS DIVISION
AUG 22 11 47 AM '01

FICTITIOUS BUSINESS NAME STATEMENT
(To Be Filed In Duplicate)

Pursuant to the provisions of Section 7-1.1-7.1, 7-16-9 or 7-13-2 of the General Laws, 1956, as amended, the undersigned business corporation, limited liability company or limited partnership hereby submits the following statement for authority to transact business in the state of Rhode Island under a fictitious business name:

1. The legal name of the applicant business corporation, limited liability company or limited partnership is:
H. D. Vest Investment Securities, Inc.
2. The fictitious business name to be used is H. D. Vest Investment Services
3. The state or territory under the laws of which it is incorporated, organized or formed is Texas
4. The date of incorporation, organization or formation is April 13, 1983
5. If a business corporation, the address of its registered office within Rhode Island is CT Corporation System
10 Weybosset Street, Providence, Rhode Island 02903
6. If a business corporation, the business in which it is engaged Investment services
7. Applicant is otherwise authorized to do business in the state of Rhode Island.

Under penalty of perjury, I declare that the information contained herein is true and correct.

Date: August 7, 2001

H. D. Vest Investment Securities, Inc.
Name of Applicant Corporation, Limited Liability Company or Limited Partnership

By [Signature] / Chief Compliance Officer
Signature of Officer for the Corporation Title

or

By _____
Signature of Authorized Person for the Limited Liability Company

or

By _____
Signature of Authorized Person for the Limited Partnership

FILED
AUG 22 2001
By [Signature]
269744