

Filing Fee: \$150.00
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ID Number:

147643



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Corporations Division
100 North Main Street
Providence, Rhode Island 02903-1335

BUSINESS CORPORATION

APPLICATION FOR CERTIFICATE OF AUTHORITY
(To Be Filed In Duplicate Original)

Pursuant to the provisions of Section 7-1.1-103 of the General Laws, 1956, as amended, the undersigned foreign corporation hereby applies for a Certificate of Authority to transact business in the state of Rhode Island, and for that purpose submits the following statement:

1. The name of the corporation is SunTrust Securities, Inc. *JK*
2. It is incorporated under the laws of Georgia
3. The name, if different, which it elects to use in Rhode Island is:
(a) If the name of the corporation in its jurisdiction of incorporation does not contain the word "corporation," "company," "incorporated," or "limited," or an abbreviation thereof, then list the name of the corporation with the addition of one of the above corporate endings for use in Rhode Island:

(b) If the corporate name is not available in Rhode Island, then set forth below the fictitious name under which the corporation will qualify and transact business in Rhode Island as stated in the "Fictitious Business Name Statement" to be filed with this application:

4. The date of its incorporation is 11-27-85 and the period of its duration is perpetual
5. The address of its principal office in the state or country under the laws of which it is incorporated is
303 Peachtree Center Ave., Suite 140, Atlanta, GA 30303
6. The address of its proposed registered office in Rhode Island is 10 Weybosset Street
Providence RI 02903 (Street Address, not P.O. Box)
(City/Town) (Zip Code) and the name of its proposed registered agent in Rhode Island at
that address is CT Corporation System
(Name of Agent)
7. The specific purpose or purposes which it proposes to pursue in the transaction of business in Rhode Island are:
investment and insurance services

8. The names and respective addresses of the directors and officers are:

	Name	Address
Director	see attachment	
Director		
President		
Vice President		
Treasurer		
Secretary		

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MAY 02 2005

30-04691

101-11114 3-1-1-1-3
AUG 2005
2005-08-01

9. The aggregate number of shares which it has authority to issue, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

Number of Shares	Class	Series	Par Value or Statement that Shares are without Par Value
52,125	Common	none	\$1.00

10. The aggregate number of its issued shares, itemized by classes, par value of shares, shares without par value, and series, if any, within a class, is:

Number of Shares	Class	Series	Par Value or Statement that Shares are without Par Value
52,125	Common	none	\$1.00

11. (a) An estimate of the value of all property to be owned by the corporation for the following year, wherever located, is \$ 1,758,464.
- (b) An estimate of the value of the corporation's property to be located within Rhode Island during the following year is \$ 0.
- (c) An estimate, expressed as a percentage, of the proportion that the estimated value of the property of the corporation to be located within this state during the following year bears to the value of all property of the corporation to be owned during the following year, wherever located, is 0 %. [divide (b) by (a) and multiply by 100 to obtain the percentage].
12. (a) An estimate of the gross amount of business to be transacted by the corporation during the following year is \$ 200,000,000.
- (b) An estimate of the gross amount of business to be transacted by the corporation at or from places of business in Rhode Island during the following year is \$ 0.
- (c) An estimate, expressed as a percentage, of the proportion that the gross amount of business to be transacted by the corporation at or from places of business in this state during the following year bears to the gross amount thereof which will be transacted by the corporation during the following year is 0 % [divide (b) by (a) and multiply by 100 to obtain the percentage].
13. This application is accompanied by certified copies of its articles of incorporation and all amendments thereto, duly authenticated by the secretary of state or other authorized officer of the jurisdiction of its incorporation.

Date: 4/26/05

SunTrust Securities, Inc.

Print Exact Name of Corporation Making Application

By Paul Child

☐ President or ☒ Vice President (check one)

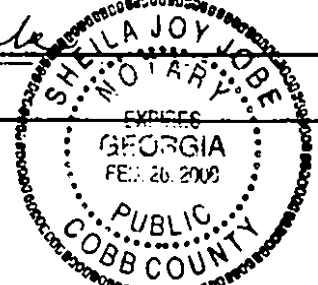
By David AND

☒ Secretary or ☐ Assistant Secretary (check one)

STATE OF Georgia
COUNTY OF Fulton

In Atlanta, on this 27th day of April, 2005, personally appeared before me David C. Prince who, being by me first duly sworn, declared that he/she is the Secretary of the corporation and that he/she signed the foregoing document as such officer of the corporation, and that the statements herein contained are true.

Sheila Joy Fale
Notary Public
My Commission Expires: _____



ATTACHMENT

**SUNTRUST SECURITIES, INC.
OFFICERS AND DIRECTORS**

<u>Name</u>	<u>Title</u>	<u>Address</u>
Peter G Bielan	Director/ Chief Executive Officer	303 Peachtree Ctr. Ave., Suite 140 Atlanta, GA 30303
James K. Ardrey	Director/ Chief Financial Officer	303 Peachtree Ctr. Ave., Suite 140 Atlanta, GA 30303
Paul C. Field	First Vice President	303 Peachtree Ctr. Ave., Suite 140 Atlanta, GA 30303
David C. Prince	Director/Secretary	303 Peachtree Ctr. Ave., Suite 140 Atlanta, GA 30303
Charles F. Wright	Director	303 Peachtree Ctr. Ave., Suite 140 Atlanta, GA 30303
Stuart F. Scott	Director	303 Peachtree Ctr. Ave., Suite 140 Atlanta, GA 30303
Mario J. Conti	Director	303 Peachtree Ctr. Ave., Suite 140 Atlanta, GA 30303
F. David Good	Director	303 Peachtree Ctr. Ave., Suite 140 Atlanta, GA 30303

Secretary of State

Corporations Division

315 West Tower

#2 Martin Luther King, Jr. Dr.

Atlanta, Georgia 30334-1530

DOCKET NUMBER : 051160553
CONTROL NUMBER : J604276
DATE INC/AUTH/FILED : 11/27/1985
JURISDICTION : GEORGIA
PRINT DATE : 04/26/2005
FORM NUMBER : 215

MCKENNA LONG & ALDRIDGE LLP
CAROL MCEWEN
303 PEACHTREE ST STE 5300
ATLANTA, GA 30308

CERTIFIED COPY

I, Cathy Cox, the Secretary of State of the State of Georgia, do hereby certify under the seal of my office that the attached documents are true and correct copies of documents filed under the name of

SUNTRUST SECURITIES, INC. A DOMESTIC PROFIT CORPORATION

Said entity was formed in the jurisdiction set forth above and has filed in the Office of Secretary of State on the date set forth above its certificate of limited partnership, articles of incorporation, articles of association, articles of organization or application for certificate of authority to transact business in Georgia.

This certificate is issued pursuant to Title 14 of the Official Code of Georgia Annotated and is prima-facie evidence of the existence or nonexistence of the facts stated herein.



Cathy Cox
Secretary of State