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**Amendment to Application for Registration**  
 FOREIGN Limited Liability Company

→ Filing Fee: \$50.00

Pursuant to the provisions of RIGL 7-16-52 the undersigned foreign limited liability company hereby amends its Application for a Certificate of Registration to transact business in the state of Rhode Island, and for that purpose submits the following statement:

1. Entity ID Number: <div style="font-size: 1.2em; font-family: cursive;">001679310</div>	2. The name of the limited liability company is: <div style="font-size: 1.2em; font-weight: bold;">Siebert Cisneros Shank &amp; Co., L.L.C.</div>
3. If the entity's name is changing, state the new name: <span style="float: right;">Check the box to indicate no change <input type="checkbox"/></span> <div style="text-align: center; font-weight: bold;">Siebert Williams Shank &amp; Co., LLC</div>	
3a. The entity's name, if different, under which it proposed to register and transact business in Rhode Island is:	
4. If the period of duration has changed in the home state, complete the following section: <b>CHECK ONE BOX ONLY</b> <input type="checkbox"/> Perpetual (on-going) <input type="checkbox"/> Date certain for dissolution _____ <span style="float: right;">Check the box to indicate no change <input checked="" type="checkbox"/></span>	
5. If the required address of the office to be maintained in the state or country of its organization has changed, complete the following section:  <span style="float: right;">Check the box to indicate no change <input checked="" type="checkbox"/></span>	
6. If the mailing address is changing complete the following section:  <span style="float: right;">Check the box to indicate no change <input checked="" type="checkbox"/></span>	
7. If the entity's purpose is changing complete the following section: <i>*The new purpose should include ALL activity to be transacted in the State of Rhode Island.</i>  <div style="font-size: 1.2em; font-family: cursive;"> <input type="checkbox"/> </div> <span style="float: right;">Check the box to indicate no change <input checked="" type="checkbox"/></span>	

**MAIL TO:**  
 Division of Business Services  
 148 W. River Street, Providence, Rhode Island 02904-2615  
 Phone: (401) 222-3040  
 Website: www.sos.ri.gov

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8. If the management structure has changed, complete the following section:

The Limited Liability Company is to be managed by: **CHECK ONLY ONE BOX**

- Its member(s) (If you have checked this box, skip to Section 9. **DO NOT** fill out the chart on the next page.)
- One (1) or more manager(s) (If the limited liability company has manager(s) at the time of the filing of this Amendment to the Application for Registration, state the name and address of each manager.)

MANAGER	ADDRESS

Check the box to indicate no change

9. As required by RIGL 7-16-67, the limited liability company has paid all fees and taxes.

10. Except as herein modified, the original Application for Registration continues in full force and effect and is hereby confirmed, by a person with authority, by reference into this Amendment to the Application for Registration.

11. Date when this Amendment to the Application for Registration will be effective: **CHECK ONE BOX ONLY**

- Date received (Upon filing)
- Later effective date (Date must be no more than 90 days from the date of filing) \_\_\_\_\_

*Under penalty of perjury, I declare and affirm that I have examined this Amendment to the Application for Registration, including any accompanying attachments, and that all statements contained herein are true and correct.*

Type or Print Name of Limited Liability Company <b>Siebert Williams Shank &amp; Co., LLC</b>	Date <b>12/05/2019</b>
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Signature of Authorized Person  
 SIGN DOCUMENT HERE

# Delaware

Page 1

The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY "SIEBERT WILLIAMS SHANK & CO., LLC" IS DULY FORMED UNDER THE LAWS OF THE STATE OF DELAWARE AND IS IN GOOD STANDING AND HAS A LEGAL EXISTENCE SO FAR AS THE RECORDS OF THIS OFFICE SHOW, AS OF THE EIGHTH DAY OF NOVEMBER, A.D. 2019.

AND I DO HEREBY FURTHER CERTIFY THAT THE SAID "SIEBERT WILLIAMS SHANK & CO., LLC" WAS FORMED ON THE TENTH DAY OF MARCH, A.D. 1997.

AND I DO HEREBY FURTHER CERTIFY THAT THE ANNUAL TAXES HAVE BEEN PAID TO DATE.

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Jeffrey W. Bullock, Secretary of State

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You may verify this certificate online at [corp.delaware.gov/authver.shtml](http://corp.delaware.gov/authver.shtml)

Authentication: 203970584

Date: 11-08-19