



**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2005**

Filing Period: January 1 - March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. 32546		2. Name of Corporation Aon Securities Corporation	
3. Street Address Principal Business Office 200 EAST RANDOLPH DR. 4TH FL		City CHICAGO,	State IL
4. Business Phone No. 3123813273		5. State of Incorporation NEW YORK	
6. SIC Code 5702		7. Brief Description of the Character of Business Conducted in Rhode Island BROKER/DEALER	

**8. NAMES AND ADDRESSES OF THE OFFICERS** ( BOX FOR ATTACHMENT)  FILL IN SPACES BEFORE USING ATTACHMENTS

President Name WILLIAM L. DELANEY			Vice President Name RICHARD E. BARRY		
Street Address 200 E. RANDOLPH STREET			Street Address 200 E. RANDOLPH STREET		
City CHICAGO	State IL	Zip 60601	City CHICAGO	State IL	Zip 60601
Secretary Name JENNIFER L. KRAFT			Treasurer Name BRIAN H. LAWRENCE		
Street Address 200 E. RANDOLPH STREET			Street Address 200 E. RANDOLPH STREET		
City CHICAGO	State IL	Zip 60601	City CHICAGO	State IL	Zip 60601

**9. NAMES AND ADDRESSES OF THE DIRECTORS** ( BOX FOR ATTACHMENT)  FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name WILLIAM L. DELANEY			Director Name BRYON G. EHRHART		
Street Address 200 E. RANDOLPH STREET			Street Address 200 E. RANDOLPH STREET		
City CHICAGO	State IL	Zip 60601	City CHICAGO	State IL	Zip 60601
Director Name PAUL T. SCHULTZ			Director Name LINDA P. HOLLEMAN		
Street Address 200 E. RANDOLPH STREET			Street Address 200 E. RANDOLPH STREET		
City CHICAGO	State IL	Zip 60601	City CHICAGO	State IL	Zip 60601

**10. SHARES AUTHORIZED** ( BOX FOR ATTACHMENT)  **11. SHARES ISSUED** ( BOX FOR ATTACHMENT)

AUTHORIZED SHARES			ISSUED SHARES		
Number of Shares	Class/Series	Par Value	Number of Shares	Class/Series	Par Value
200 COMM NO PAR VALUE			100	COMMON	NO PAR

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



\*32546 FBC 01/18/05 03:44:42 PM\*

File Date: 2-20-05

Check No. 3741993

By: [Signature]

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

[Signature] Signature of Officer  
Date: 1-20-05

RICHARD L. VODZIAK  
Print or Type Name of Officer

AVP-TAXES

## Directors / Officers Report

As of 01/18/2005

### Aon Securities Corporation

13-2642812

#### Directors

William L. Delaney	Director
Bryon G. Ehrhart	Director
Linda P. Holleman	Director
Paul T. Schultz	Director
Garrett P. Shumway	Director

#### Officers

William L. Delaney	President
William L. Delaney	General Securities Principal
Brian H. Lawrence	Financial Operations Principal
Brian H. Lawrence	Controller
Brian H. Lawrence	Chief Financial Officer
Brian H. Lawrence	Treasurer
Richard E. Barry	Vice President & Assistant Secretary - Law
Jennifer L. Kraft	Secretary
Jennifer L. Kraft	Vice President
Richard L. Vodziak	Assistant Vice President - Taxes



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS  
Office of the Secretary of State  
Matthew A. Brown, Secretary of State

Corporations D.  
100 North Main  
Providence, RI 02903  
401.222

**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR** 2004  
Filing Period: January 1 - March 1 • Filing Fee: \$50.00  
(FORM MUST BE TYPED OR PRINTED IN BLACK)

1. Corporate ID No. 32546 2. Name of Corporation Aon Securities Corporation  
3. Street Address 200 E. Randolph Street City Chicago State IL Zip 60601  
4. Business Phone No. 312-381-3273 5. State of Incorporation NEW YORK 6. SIC Code 5702  
7. Brief Description of the Character of Business Conducted in Rhode Island BROKER/DEALER

8. NAMES AND ADDRESSES OF THE OFFICERS: ("X" BOX FOR ATTACHMENT)  FILL IN SPACES BEFORE USING ATTACHMENTS

President Name <u>William L. Delaney</u> Street Address <u>200 E Randolph Street</u> City <u>Chicago</u> State <u>IL</u> Zip <u>60601</u>	Vice President Name <u>Richard E. Barry</u> Street Address <u>200 E Randolph Street</u> City <u>Chicago</u> State <u>IL</u> Zip <u>60601</u>
Secretary Name <u>Leonora de la Torre</u> Street Address <u>200 E. Randolph Street</u> City <u>Chicago</u> State <u>IL</u> Zip <u>60601</u>	Treasurer Name <u>Brian H. Lawrence</u> Street Address <u>200 E. Randolph Street</u> City <u>Chicago</u> State <u>IL</u> Zip <u>60601</u>

9. NAMES AND ADDRESSES OF THE DIRECTORS: ("X" BOX FOR ATTACHMENT)  FILL IN SPACES BEFORE USING ATTACHMENTS

Director Name <u>William L. Delaney</u> Street Address <u>200 E. Randolph Street</u> City <u>Chicago</u> State <u>IL</u> Zip <u>60601</u>	Director Name <u>Al Diamond</u> Street Address <u>200 E. Randolph Street</u> City <u>Chicago</u> State <u>IL</u> Zip <u>60601</u>
Director Name <u>Bryon S. Ehrhart</u> Street Address <u>200 E. Randolph Street</u> City <u>Chicago</u> State <u>IL</u> Zip <u>60601</u>	Director Name <u>Garrett P. Shumway</u> Street Address <u>200 E. Randolph Street</u> City <u>Chicago</u> State <u>IL</u> Zip <u>60601</u>

10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT)

Number of Shares	Class/Series	Par Value
100	Common	No Par Value

11. SHARES ISSUED ("X" BOX FOR ATTACHMENT)

Number of Shares	Class/Series	Par Value
100	Common	No Par Value

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



\* 3 2 5 4 6 \*

4/21/04  
0003468133  
W.

SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Richard L. Wodziak 2/10/04  
Signature of Officer Date  
Print or Type Name of Officer  
AIP-T

# Directors / Officers Report

Aon Securities Corporation

3546

## Directors

Currently Authorized: 0

Comment:

Min: 0

Max:

Quorum: 0

See Attached List -  
All Officers & Directors Are Located At:  
200 E. Randolph Dr., Chicago, IL 60601

		Effective
William L. Delaney	Director	
Al Diamond	Director	1/7/1999
Bryon G. Ehrhart	Director	1/12/2004
Garrett P. Shumway	Director	4/1/2002
		4/1/2002

## Officers

		Effective
William L. Delaney	President	
William L. Delaney	General Securities Principal	6/26/2000
William L. Delaney	Chief Financial Officer	1/15/2001
Brian H. Lawrence	Financial Operations Principal	1/15/2001
Brian H. Lawrence	Controller	1/20/1998
Brian H. Lawrence	Treasurer	5/4/1998
Richard E. Barry	Vice President & Assistant Secretary - Law	6/26/2000
Leonor de la Torre	Secretary	6/26/2000
Jennifer L. Kraft	Vice President & Assistant Secretary - Law	4/1/2002
Richard L. Vodziak	Assistant Vice President - Taxes	1/17/2003
		11/15/2002



**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2003**  
Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED OR PRINTED IN BLACK)

1. Corporate ID No. **32546** 2. Name of Corporation **Aon Securities Corporation**

3. Street Address Principal Business Office **200 E. RANDOLPH** City **Chicago** State **IL** Zip **66601**  
4. Business Phone No. **312-381-3286** 5. State of Incorporation **NEW YORK** 6. SIC Code **5702**

7. Brief Description of the Character of Business Conducted in Rhode Island

**Brokers/ Dealer / AGENTS**

8. NAMES AND ADDRESSES OF THE OFFICERS (\*X\* BOX FOR ATTACHMENT) **FILL IN SPACES BEFORE USING ATTACHMENTS**

President Name **William Delaney**  
Street Address **200 E. RANDOLPH**  
City **Chicago** State **IL** Zip **60601**

Vice President Name **Jerome I. Baer**  
Street Address **200 E. RANDOLPH**  
City **Chicago** State **IL** Zip **60601**

Secretary Name **Leonor de la Torre**  
Street Address **200 E. RANDOLPH**  
City **Chicago** State **IL** Zip **60601**

Treasurer Name **Brian Lawrence**  
Street Address **200 E. RANDOLPH**  
City **Chicago** State **IL** Zip **60601**

9. NAMES AND ADDRESSES OF THE DIRECTORS (\*X\* BOX FOR ATTACHMENT) **FILL IN SPACES BEFORE USING ATTACHMENTS**

Director Name **Robert A. Bondi**  
Street Address **200 E. RANDOLPH**  
City **Chicago** State **IL** Zip **60601**

Director Name  
Street Address  
City State Zip

Director Name **William Delaney**  
Street Address **200 E. RANDOLPH**  
City **Chicago** State **IL** Zip **60601**

Director Name  
Street Address  
City State Zip

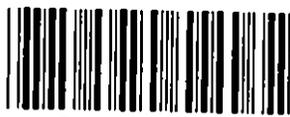
10. SHARES AUTHORIZED (\*X\* BOX FOR ATTACHMENT)

AUTHORIZED SHARES	Number of Shares	Class/Series	Par Value
	200	COMM	NO PAR VALUE

11. SHARES ISSUED (\*X\* BOX FOR ATTACHMENT)

ISSUED SHARES	Number of Shares	Class/Series	Par Value
	200	COMMON	NO PAR NONE

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



\* 3 2 5 4 6 \*

File Date: **1.30.03**  
Check No.: **2890978**  
By: **UP**

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer **Jerome I. Baer** Date **1/19/03**

Print or Type Name of Officer **Jerome I. Baer**

Title of Officer **V.P. - Tax**

# Directors / Officers Report

32546

As of 01/20/2003

## Aon Securities Corporation

### Directors

Currently Authorized: 0      Min: 0      Max:      Quorum: 0

Comment:

Robert A. Bondi

Director

Effective      First Elected      Last Elected  
06/26/2000      06/26/2000      06/26/2000

William L. Delaney

Director

Effective      First Elected      Last Elected  
01/07/1999      01/07/1999      06/26/2000

### Officers

William L. Delaney

President

Effective      First Elected      Last Elected  
06/26/2000      06/26/2000      01/15/2001

William L. Delaney

Chief Financial Officer

Effective      First Elected      Last Elected  
01/15/2001      01/15/2001      01/15/2001

Brian H. Lawrence

Treasurer

Effective      First Elected      Last Elected  
06/26/2000      06/26/2000      01/15/2001

Kevann M. Cooke

Vice President & Secretary - Law

Effective      First Elected      Last Elected  
04/05/2002      04/05/2002      04/05/2002

Richard E. Barry

Vice President & Assistant Secretary - Law

Effective      First Elected      Last Elected  
06/26/2000      06/26/2000      01/15/2001

Robert A. Bondi

Director

Effective      First Elected      Last Elected  
01/15/2001      01/15/2001      01/15/2001

William L. Delaney

General Securities Principal

Effective      First Elected      Last Elected  
01/15/2001      01/15/2001      01/15/2001

Brian H. Lawrence

Financial Operations Principal

Effective      First Elected      Last Elected  
01/20/1998      01/20/1998      01/15/2001

Brian H. Lawrence

Controller

Effective      First Elected      Last Elected  
05/04/1998      05/04/1998      01/15/2001

Richard L. Vodziak

Assistant Vice President - Taxes

Effective      First Elected      Last Elected  
11/15/2002      11/15/2002      11/15/2002

**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2002**

Filing Period: January 1-March 1 • Filing Fee: \$50.00



(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. **32546** 2. Name of Corporation **Aon Securities Corporation**  
3. Street Address Principal Business Office **200 East Randolph Dr., 4<sup>th</sup> Fl.  
Chicago, IL 60601** State \_\_\_\_\_ Zip \_\_\_\_\_  
4. Business Phone No. **312-381-3273** State of Incorporation **NEW YORK** 6. SIC Code **5702**

7. Brief Description of the Character of Business Conducted in Rhode Island  
**INSURANCE AGENTS & BROKERS**

**8. NAMES AND ADDRESSES OF THE OFFICERS (\*X\* BOX FOR ATTACHMENT) FILL IN SPACES BEFORE USING ATTACHMENTS**

President Name **William J. Delaney,**  
Street Address **200 East Randolph Dr., 4<sup>th</sup> Fl.  
Chicago, IL 60601**  
City \_\_\_\_\_

Vice President Name **JEROME F. BAER**  
Street Address **200 East Randolph Dr., 4<sup>th</sup> Fl.  
Chicago, IL 60601**  
City \_\_\_\_\_

Secretary Name **Arlene Jeschke**  
Street Address **200 East Randolph Dr., 4<sup>th</sup> Fl.  
Chicago, IL 60601**  
City \_\_\_\_\_

Treasurer Name **DIANE AIGOTTI**  
Street Address **200 East Randolph Dr., 4<sup>th</sup> Fl.  
Chicago, IL 60601**  
City \_\_\_\_\_

**9. NAMES AND ADDRESSES OF THE DIRECTORS (\*X\* BOX FOR ATTACHMENT) FILL IN SPACES BEFORE USING ATTACHMENTS**

Director Name **ROBERT A. BONDI**  
Street Address **200 East Randolph Dr., 4<sup>th</sup> Fl.  
Chicago, IL 60601**  
City \_\_\_\_\_

Director Name **William J. Delaney**  
Street Address **200 E. RANDOLPH DR.**  
City **CHICAGO** State **IL** Zip **60601**

Director Name **KEVIN R. CALLAHAN**  
Street Address **200 East Randolph Dr., 4<sup>th</sup> Fl.  
Chicago, IL 60601**  
City \_\_\_\_\_

Director Name \_\_\_\_\_  
Street Address \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

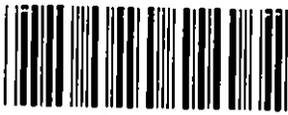
**10. SHARES AUTHORIZED (\*X\* BOX FOR ATTACHMENT)**

AUTHORIZED SHARES  
Number of Shares Class/Series Par Value  
**200 COMM NO PAR VALUE**

**11. SHARES ISSUED (\*X\* BOX FOR ATTACHMENT)**

ISSUED SHARES  
Number of Shares Class/Series Par Value  
**200 COMMON NO PAR**

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



\* 3 2 5 4 6 \*

File Date: **1/28/02**  
Check No.: **0002054507**  
By: **[Signature]**

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.  
Signature of Officer **[Signature]** Date **1/21/02**  
Print or Type Name of Officer **JEROME F. BAER**  
Title of Officer **VICE-PRESIDENT**



**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 2001**

Filing Period: January 1 - March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. <u>32546</u>		2. Name of Corporation AON SECURITIES CORPORATION			
3. Street Address Principal Business Office 123 NORTH WACKER DRIVE			City CHICAGO	State ILLINOIS	Zip 60606
4. Business Phone No. 312/701-3551		5. State of Incorporation RHODE ISLAND			6. SIC Code 5702
7. Brief Description of the Character of Business Conducted in Rhode Island BROKER/DEALER					
8. NAMES AND ADDRESSES OF THE OFFICERS ("X" BOX FOR ATTACHMENT)   FILL IN SPACES BEFORE USING ATTACHMENTS					
President Name William L. Delaney			Vice President Name Jerome I. Baer		
Street Address 123 North Wacker Drive			Street Address 123 North Wacker Drive		
City Chicago	State Illinois	Zip 60606	City Chicago	State Illinois	Zip 60606
Secretary Name Catherine M. Lyczko			Treasurer Name Diane Aigotti		
Street Address 123 North Wacker Drive			Street Address 123 North Wacker Drive		
City Chicago	State Illinois	Zip 60606	City Chicago	State Illinois	Zip 60606
9. NAMES AND ADDRESSES OF THE DIRECTORS ("X" BOX FOR ATTACHMENT)   FILL IN SPACES BEFORE USING ATTACHMENTS					
Director Name Robert A. Bondi			Director Name William L. Delaney		
Street Address 123 North Wacker Drive			Street Address 123 North Wacker Drive		
City Chicago	State Illinois	Zip 60606	City Chicago	State Illinois	Zip 60606
Director Name Kevin R. Callahan			Director Name		
Street Address 123 North Wacker Drive			Street Address		
City Chicago	State Illinois	Zip 60606	City	State	Zip
10. SHARES AUTHORIZED ("X" BOX FOR ATTACHMENT)   11. SHARES ISSUED ("X" BOX FOR ATTACHMENT)					
AUTHORIZED SHARES			ISSUED SHARES		
Number of Shares	Class/Series	Par Value	Number of Shares	Class/Series	Par Value
200	Common Stock	No Par	200	Common Stock	No Par

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

File Date: 3/9/01

Check No.: 1655020

By: [Signature]

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer: [Signature] Date: 2/28/01

Print or Type Name of Officer: Jerome I. Baer

Title of Officer: Vice President - Tax



**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR** 2000  
Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. 32546 2. Name of Corporation AON SECURITIES CORPORATION  
3. Street Address Principal Business Office 123 N. WACKER DR. City CHICAGO State IL. Zip 60606  
4. Business Phone No. 312-701-3978 5. State of Incorporation NEW YORK 6. SIC Code 5702  
7. Brief Description of the Character of Business Conducted in Rhode Island  
INSURANCE BROKERAGE

**8. NAMES AND ADDRESSES OF THE OFFICERS (\*X\* BOX FOR ATTACHMENT)**

<p>President Name <u>KEVIN R. DIAMOND</u> Street Address <u>123 N. WACKER DR.</u> City <u>CHICAGO</u> State <u>IL.</u> Zip <u>60606</u></p> <p>Secretary Name <u>CATHERINE M. LYCZKO</u> Street Address <u>123 N. WACKER DR.</u> City <u>CHICAGO</u> State <u>IL.</u> Zip <u>60606</u></p>	<p>Vice President Name <u>JEROME I. BAER</u> Street Address <u>123 N. WACKER DR.</u> City <u>CHICAGO</u> State <u>IL.</u> Zip <u>60606</u></p> <p>Treasurer Name <u>ARLENE H. HARDY</u> Street Address <u>123 N. WACKER DR.</u> City <u>CHICAGO</u> State <u>IL.</u> Zip <u>60606</u></p>
--	---

**9. NAMES AND ADDRESSES OF THE DIRECTORS (\*X\* BOX FOR ATTACHMENT)**

<p>Director Name <u>KEVIN R. CALLAHAN</u> Street Address <u>123 N. WACKER DR.</u> City <u>CHICAGO</u> State <u>IL.</u> Zip <u>60606</u></p> <p>Director Name <u>MICHAEL A. CONWAY</u> Street Address <u>123 N. WACKER DR.</u> City <u>CHICAGO</u> State <u>IL.</u> Zip <u>60606</u></p>	<p>Director Name <u>KEVIN DIAMOND</u> Street Address <u>123 N. WACKER DR.</u> City <u>CHICAGO</u> State <u>IL.</u> Zip <u>60606</u></p>
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**10. SHARES AUTHORIZED (\*X\* BOX FOR ATTACHMENT)**

Number of Shares	Class/Series	Par Value
<u>200</u>	<u>COMMON</u>	<u>NO PAR</u>

**11. SHARES ISSUED (\*X\* BOX FOR ATTACHMENT)**

Number of Shares	Class/Series	Par Value
<u>200</u>	<u>COMMON</u>	<u>NO PAR</u>

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

File Date: 4/5/00  
Check No.: 1002612  
By: [Signature]

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.  
Signature of Officer: [Signature] Date: 3/22/00  
Print or Type Name of Officer: JEROME I. BAER  
Title of Officer: V.P. TAXES

# Data Sheet Report

Monday, February 21, 2000

## Aon Securities Corporation as of Monday, February 21, 2000

Status: Active  
 Incorporation: New York  
 REPORT CODE: INVESTMENT  
 BUSINESS CODE: BROKER/DEALER  
 ANNUAL MEETING: MAY  
 US/FOREIGN: US  
 O/D List?: YES  
 Last Bd Ann: 05/03/1994

Entity Type: Corporation  
 Federal ID #: 13-2642812  
 Employees: No

Fiscal Year End:  
 Tax Year End:  
 Comment:

Do NOT Make any corporate changes or officer/director/sharholder changes WITHOUT SPECIFIC APPROVAL OF AN ATTORNEY-check with Cait Lyczko. D/B/A Aon Capital Markets filed in states where there are actual offices-HI does not require d/b/a filing.

Annual Meeting:  
**Primary Address**  
 123 N. Wacker Drive  
 Chicago, IL 60606

NOTE: This company does not follow the standard corp. off. list.

**Registered Address**  
 None given

**Primary Phone**  
**Primary Fax**

Former Name (s)	From Date	Through Date
Reid & Carr Equities, Inc. Comment:	Friday, May 02, 1969	Monday, April 02, 1973
RBH Equities, Inc. Comment:	Monday, April 02, 1973	Friday, December 22, 1995

### DIRECTORS

Currently Authorized: 0  
 Comment: Min: 0 Max: Quorum: 0

	Title	First Elected Date	Effective Date
Kevin R. Callahan	Director	Monday, May 04, 1998	Monday, May 04, 1998
Michael A. Conway	Director	Tuesday, January 01, 1901	Tuesday, January 01, 1901
Kevin Diamond	Director	Tuesday, January 01, 1901	Tuesday, January 01, 1901

### OFFICERS

Title First Elected Date Effective Date

Aon Securities Corporation

Kevin R. Callahan	Chairman	Monday, May 04, 1998	Monday, May 04, 1998
Kevin Diamond	President	Monday, May 04, 1998	Monday, May 04, 1998
Brian H. Lawrence	Financial Operations Principal	Saturday, January 01, 1901	Tuesday, January 01, 1901
	Chief Financial Officer	Tuesday, January 01, 1901	Tuesday, January 01, 1901
	Controller	Monday, May 04, 1998	Monday, May 04, 1998
Arlene H. Hardy	Treasurer	Monday, May 04, 1998	Monday, May 04, 1998
Catherine M. Lyczko	Vice President & Secretary - Law	Monday, May 04, 1998	Monday, May 04, 1998
Jerome S. Hanner	Vice President & Assistant Secretary - Law	Tuesday, January 01, 1901	Tuesday, January 01, 1901
James R. Harding	Principal	Monday, May 04, 1998	Monday, May 04, 1998
Linda P. Holleman	Principal	Monday, May 04, 1998	Monday, May 04, 1998
Russell W. Robertson	Principal	Monday, May 04, 1998	Monday, May 04, 1998
Donald M. Spitzer	Principal	Monday, May 04, 1998	Monday, May 04, 1998
Arnold F. Stone	Principal	Monday, May 04, 1998	Monday, May 04, 1998

**STOCKS**

**Common**

Price/Par Value: No Par Date Authorized: Friday, May 02, 1969  
 CUSIP: Authorized: 200  
 SYMBOL: Outstanding: 200  
 Issued: 200  
 # in Treasury:

Comment:

Current Owner(s)	Certificate No.	%Ownership	No. of Shares	Date Issued or Transferred	Surrender Date
Aon Corporation	005	50	100	Tuesday, December 19, 1995	
Value of Consideration:					
Consideration:					
Comment:					
Aon Group, Inc.	006	50	100	Wednesday, May 13, 1998	
Value of Consideration:					
Consideration:					
Comment: Result of merging Aon Capital Markets, Inc. with and into Aon Securities Corporation.					
Former Owner(s)	Certificate No.	%Ownership	No. of Shares	Date Issued or Transferred	Surrender Date
Aon Risk Services, Inc of New York	002	50	100	Tuesday, March 11, 1980	Monday, June 30, 1986

Aon Securities Corporation

Value of Consideration:						
Consideration:						
Comment:						
Ryan Insurance Group, Inc.	003	50	100	Monday, June 30, 1986	Thursday, December 31, 1987	
Value of Consideration:						
Consideration:						
Comment:						
Aon Warranty Group, Inc.	004	50	100	Thursday, December 31, 1987	Tuesday, December 19, 1995	
Value of Consideration:						
Consideration:						
Comment:						

**INCORPORATION/QUALIFICATIONS**

Jurisdiction	Inc/Qual	Charter No.	Tax ID No.	Date	End Date	Duration
New York	Incorporation			Friday, May 02, 1969		
Agent:	The Corporation Trust Company					
Comment:						
<b>DBA's:</b>	Aon Capital Markets			<b>Begin Date</b> Tuesday, July 07, 1998	<b>End Date</b>	
<b>Alabama</b>	Qualification			Friday, December 07, 1984		
Comment:	Filed by Ben Nager of Sidley & Austin.					
Agent:	The Corporation Company					
Comment:	Name Change: 7/8/96					
<b>Florida</b>	Qualification			Sunday, December 16, 1984		
Agent:	C T Corporation System					
Comment:	Name Change: 6/25/96					
<b>DBA's:</b>	Aon Capital Markets			<b>Begin Date</b> Friday, June 12, 1998	<b>End Date</b> Thursday, June 12, 2003	
<b>Georgia</b>	Qualification	8451936		Wednesday, October 17, 1984		
Comment:	Filed by Ben Nager of Sidley & Austin.					
Agent:	C T Corporation System					
Comment:	Name Change: 7/5/96					
<b>Hawaii</b>	Qualification	19390F1		Tuesday, August 18, 1998		

Aon Securities Corporation

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Agent:	The Corporation Company, Inc.		
Comment:			
<b>Illinois</b>	Qualification F 5005 319 9		Friday, June 30, 1972
Agent:	C T Corporation System		
Comment:	Name Change: 7/5/96		
<b>DBA's:</b>	Aon Capital Markets	<b>Begin Date</b>	<b>End Date</b>
		Friday, June 12, 1998	Thursday, June 01, 2000
<b>Kansas</b>	Comment: Filed by Ben Nager of Sidley & Austin.		
	Qualification 722-067-6		Tuesday, December 04, 1984
Agent:	The Corporation Company, Inc.		
Comment:	Reinstatement: 1/25/94 Name Change: 6/25/96		
<b>Louisiana</b>	Qualification		Tuesday, September 25, 1984
Agent:	C T Corporation System		
Comment:	Name Change: 7/8/96		
<b>Michigan</b>	Qualification 624-406		Friday, July 27, 1984
Agent:	The Corporation Company		
Comment:	Name Change: 6/25/96		
<b>Minnesota</b>	Qualification		Friday, October 26, 1984
Agent:	C T Corporation System		
Comment:	Name Change: 6/25/96		
<b>Mississippi</b>	Qualification		Monday, November 26, 1984
Agent:	C T Corporation System		
Comment:	Name Change: 6/26/96		
<b>Missouri</b>	Qualification		Tuesday, October 16, 1984
Agent:	C T Corporation System		
Comment:	Name Change: 6/25/96		
<b>New Jersey</b>	Qualification		Friday, December 14, 1984
Agent:	The Corporation Trust Company		
Comment:	Name Change: 7/5/96		

Aon Securities Corporation

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<b>North Carolina</b>	Qualification	C-0470980	Thursday, September 17, 1998	
	Agent:	C T Corporation System		
	Comment:			
	<b>DBA's:</b>		<b>Begin Date</b>	<b>End Date</b>
		Aon Capital Markets	Tuesday, July 07, 1998	
<b>Oregon</b>	Comment:	Forsyth County. Filed by Ben Nager of Sidley & Austin.	Monday, November 19, 1984	
	Qualification			
	Agent:	C T Corporation System		
	Comment:	Name Change: 6/25/96		
	<b>DBA's:</b>		<b>Begin Date</b>	<b>End Date</b>
		Aon Capital Markets	Friday, July 31, 1998	
<b>Pennsylvania</b>	Comment:	Filed by Ben Nager of Sidley & Austin.	Thursday, June 07, 1973	
	Qualification			
	Agent:	C T Corporation System		
	Comment:	Name Change: 6/25/96		
	<b>DBA's:</b>		<b>Begin Date</b>	<b>End Date</b>
		Aon Capital Markets	Monday, June 15, 1998	
<b>Rhode Island</b>	Comment:	Filed by Ben Nager of Sidley & Austin.	Friday, November 23, 1984	
	Qualification			
	Agent:	C T Corporation System		
	Comment:	Name Change Filed: 8/2/96		
<b>Texas</b>	Qualification	00122336	Wednesday, July 29, 1998	
	Agent:	C T Corporation System		
	Comment:	Pending. Sent to CT for filing 7/29/98.		
	<b>DBA's:</b>		<b>Begin Date</b>	<b>End Date</b>
		Aon Capital Markets	Thursday, August 06, 1998	Wednesday, August 06, 2008
<b>Washington</b>	Comment:	Filed by Ben Nager of Sidley & Austin.	Wednesday, July 22, 1998	
	Qualification	601-890-450		
	Agent:	C T Corporation System		
	Comment:	UBI# 601-890-450.		
	<b>DBA's:</b>		<b>Begin Date</b>	<b>End Date</b>
		Aon Capital Markets	Thursday, August 06, 1998	
	Comment:			

**HISTORY**

Wednesday, May 13, 1998 Merged Aon Capital Markets, Inc. merged with and into Aon Securities Corporation on May 13, 1998

Aon Capital Markets, Inc. merged with and into Aon Securities Corporation. Ben Nager of Sidley & Austin handled for Cait Lyczko. Aon Group, Inc. (MD) received a small amount of shares of this corp in merger with Aon Capital Markets, Inc.



**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 1999**  
Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. 32546 2. Name of Corporation Aon Securities Corporation  
3. Street Address Principal Business Office 123 N. Wacker Dr. City Chicago State IL Zip 60606  
4. Business Phone No. 312 701-3640 5. State of Incorporation New York  
6. SIC Code 5702  
7. Brief Description of the Character of Business Conducted in Rhode Island  
Insurance Brokerage

8. NAMES AND ADDRESSES OF THE OFFICERS (\*X\* BOX FOR ATTACHMENT)

President Name <u>Kevin Diamond</u> Street Address <u>123 North Wacker Drive</u> City <u>Chicago, IL 60606</u> Zip	Vice President Name <u>Jerome I. Baer</u> Street Address <u>123 North Wacker Drive</u> City <u>Chicago, IL 60606</u> Zip
Secretary Name <u>Jerome S. Hanner</u> Street Address <u>123 North Wacker Drive</u> City <u>Chicago, IL 60606</u>	Treasurer Name <u>Arlene H. Hardy</u> Street Address <u>123 North Wacker Drive</u> City <u>Chicago, IL 60606</u>

9. NAMES AND ADDRESSES OF THE DIRECTORS (\*X\* BOX FOR ATTACHMENT)

Director Name <u>Michael A. Conway</u> Street Address <u>123 North Wacker Drive</u> City <u>Chicago, IL 60606</u> Zip	Director Name <u>Brian H. Lawrence</u> Street Address <u>123 North Wacker Drive</u> City <u>Chicago, IL 60606</u>
Director Name <u>Kevin Diamond</u> Street Address <u>123 North Wacker Drive</u> City <u>Chicago, IL 60606</u>	Director Name  Street Address  City  Zip

10. SHARES AUTHORIZED (\*X\* BOX FOR ATTACHMENT)

AUTHORIZED SHARES	Class/Series	Par Value
<u>200</u>	<u>Common No Par</u>	

11. SHARES ISSUED (\*X\* BOX FOR ATTACHMENT)

ISSUED SHARES	Class/Series	Par Value
<u>200</u>	<u>Common No Par</u>	

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

File Date: Mar 9, 1999  
Check No.: 278527  
By: [Signature]  
FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.  
Signature of Officer: [Signature] Date: 03/7/99  
Print or Type Name of Officer: Jerome I. Baer  
Title of Officer: V.P. - Taxes



**PROFIT CORPORATION ANNUAL REPORT FOR THE YEAR 1998**

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. **32546** 2. Name of Corporation **Aon Securities Corporation**  
 3. Street Address Principal Business Office **123 North Wacker Dr.** City **Chicago** State **IL** Zip **60606**  
 4. Business Phone No. **(312) 701-3978** 5. State of Incorporation **NEW YORK** 6. SIC Code **5702**  
 7. Brief Description of the Character of Business Conducted in Rhode Island **Insurance Brokerage**

8. NAMES AND ADDRESSES OF THE OFFICERS (\*X\* BOX FOR ATTACHMENT)

President Name **Lawrence E. Harb** Vice President Name **AVP-TAXES**  
 Street Address **123 North Wacker Drive** Street Address **Susan Fyda**  
 City **Chicago, IL 60606** City **Chicago, IL 60606**  
 Secretary Name - Law **Karl W. Krause, Jr.** Treasurer Name **Rodney G. Ensley**  
 Street Address **123 North Wacker Drive** Street Address **123 North Wacker Drive**  
 City **Chicago, IL 60606** City **Chicago, IL 60606**

9. NAMES AND ADDRESSES OF THE DIRECTORS (\*X\* BOX FOR ATTACHMENT)

Director Name **Michael A. Conway** Director Name **Rodney G. Ensley**  
 Street Address **123 North Wacker Drive** Street Address **123 North Wacker Drive**  
 City **Chicago, IL 60606** City **Chicago, IL 60606**  
 Director Name **Lawrence E. Harb** Director Name \_\_\_\_\_  
 Street Address \_\_\_\_\_ Street Address \_\_\_\_\_  
 City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

10. SHARES AUTHORIZED (\*X\* BOX FOR ATTACHMENT)

AUTHORIZED SHARES	Number of Shares	Class/Series	Par Value
	1000	Common	1.00

11. SHARES ISSUED (\*X\* BOX FOR ATTACHMENT)

ISSUED SHARES	Number of Shares	Class/Series	Par Value
	1000	Common	1.00

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee



File Date: 3/9  
 Check No.: 662-07  
 By: [Signature]  
 FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer: [Signature] Date: 03/02/98  
 Print or Type Name of Officer: Susan Fyda  
 Title of Officer: Asst. V.P. - TAXES



# PROFIT CORPORATION ANNUAL REPORT 1997

Filing Period: January 1-March 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED IN BLACK)

1. Corporate ID No. 32546 2. Name of Corporation ADN SECURITIES CORPORATION  
 3. Street Address Principal Business Office 123 N. Wacker Dr. City Chicago State IL Zip 60606  
 4. Business Phone No. 312-701-3978 5. State of Incorporation New York 6. SIC Code 5702  
 7. Brief Description of the Character of Business Conducted in Rhode Island  
Insurance Brokerage

## 8. NAMES AND ADDRESSES OF THE OFFICERS (\*X\* BOX FOR ATTACHMENT)

President Name <u>Lawrence E. Harb</u> Street Address <u>123 N. Wacker Dr.</u> City <u>Chicago</u> State <u>IL</u> Zip <u>60606</u>	Vice President Name <u>Susan M. Fyda</u> Street Address <u>123 N. Wacker Dr.</u> City <u>Chicago</u> State <u>IL</u> Zip <u>60606</u>
Secretary Name <u>Karl W. Krause</u> Street Address <u>123 N. Wacker Dr.</u> City <u>Chicago</u> State <u>IL</u> Zip <u>60606</u>	Treasurer Name <u>Rodney G. Ensley</u> Street Address <u>123 N. Wacker Dr.</u> City <u>Chicago</u> State <u>IL</u> Zip <u>60606</u>

## 9. NAMES AND ADDRESSES OF THE DIRECTORS (\*X\* BOX FOR ATTACHMENT)

Director Name <u>Michael A. Conway</u> Street Address <u>123 N. Wacker Dr.</u> City <u>Chicago</u> State <u>IL</u> Zip <u>60606</u>	Director Name <u>Lawrence E. Harb</u> Street Address <u>123 N. Wacker Dr.</u> City <u>Chicago</u> State <u>IL</u> Zip <u>60606</u>
Director Name <u>Rodney G. Ensley</u> Street Address <u>123 N. Wacker Dr.</u> City <u>Chicago</u> State <u>IL</u> Zip <u>60606</u>	

## 10. SHARES AUTHORIZED AND ISSUED (\*X\* BOX FOR ATTACHMENT)

AUTHORIZED SHARES			ISSUED SHARES		
Number of Shares	Class/Series	Par Value	Number of Shares	Class/Series	Par Value
<u>1000</u>	<u>Common</u>	<u>1.00</u>	<u>1000</u>	<u>Common</u>	<u>1.00</u>

This report must be signed in ink by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

File Date: 8.12.97  
 Check No.: 2799315  
 By: ICP

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Officer: [Signature] Date: 7.16.97  
 Print or Type Name of Officer: Assistant Vice President  
 Title of Officer: Susan M. Fyda

# **Aon Securities Corporation**

## **List of Officers and Directors**

**President**  
**Secretary**  
**Treasurer**  
**Assistant Vice President**

**Lawrence E. Hard**  
**Karl Krause**  
**Arlene H. Hardy**  
**Susan M. Fyda**

**Director**  
**Director**

**Michael A. Conway**  
**Rodney G. Ensley**

**PROFIT CORPORATION  
ANNUAL REPORT**

**1996**



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS  
James R. Langevin, Secretary of State  
Corporations Division  
100 North Main Street  
Providence, Rhode Island 02903-1335 • (401) 277-3040

Filing Period: January 1-March 1  
Filing Fee: \$50.00

PLEASE TYPE OR PRINT IN BLACK INK.

1. CORPORATE ID NO. 32546		2. NAME OF CORPORATION RBH EQUITIES, INC. <i>ADU Secur. 4195 CO</i>	
3. STREET ADDRESS PRINCIPAL BUSINESS OFFICE <i>123 North Wacker Drive</i>		CITY <i>Chicago</i>	STATE <i>Illinois</i>
4. BUSINESS PHONE NO. <i>312-761-3978</i>		5. STATE OF INCORPORATION NEW YORK	6. SIC CODE <i>5702</i>
7. BRIEF DESCRIPTION OF THE CHARACTER OF BUSINESS CONDUCTED IN RHODE ISLAND <i>Insurance Brokerage</i>			

8. NAMES AND ADDRESSES OF THE OFFICERS		
PRESIDENT NAME <i>Stephen W. Barrett</i>		VICE PRESIDENT NAME <i>Patrick H. Hughes</i>
STREET ADDRESS <i>123 N. Wacker Drive</i>		STREET ADDRESS <i>123 North Wacker Drive</i>
CITY <i>Chicago</i>	STATE <i>Illinois</i>	ZIP CODE <i>60606</i>
SECRETARY NAME <i>Karl W. Krause, Jr.</i>		TREASURER NAME <i>Rodney G. Easley</i>
STREET ADDRESS <i>123 N. Wacker Drive</i>		STREET ADDRESS <i>123 N. Wacker Drive</i>
CITY <i>Chicago</i>	STATE <i>Illinois</i>	ZIP CODE <i>60606</i>

9. NAMES AND ADDRESSES OF THE DIRECTORS		
DIRECTOR NAME <i>Stephen W. Barrett</i>		DIRECTOR NAME <i>Rodney G. Easley</i>
STREET ADDRESS <i>123 North Wacker Drive</i>		STREET ADDRESS <i>123 N. Wacker Drive</i>
CITY <i>Chicago</i>	STATE <i>Illinois</i>	ZIP CODE <i>60606</i>
DIRECTOR NAME <i>Patrick H. Hughes</i>		DIRECTOR NAME
STREET ADDRESS <i>123 N. Wacker Drive</i>		STREET ADDRESS
CITY <i>Chicago</i>	STATE <i>Illinois</i>	ZIP CODE <i>60606</i>

10. SHARES AUTHORIZED AND ISSUED					
AUTHORIZED SHARES			ISSUED SHARES		
NUMBER OF SHARES	CLASS / SERIES	PAR VALUE	NUMBER OF SHARES	CLASS / SERIES	PAR VALUE
<i>1000</i>	<i>Common</i>	<i>1.00</i>	<i>1000</i>	<i>Common</i>	<i>1.00</i>

This report must be **SIGNED IN INK** by either the President, Vice President, Secretary, Assistant Secretary, Treasurer, Receiver or Trustee

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

File Date: *2/16/96*  
Check No: *02372790*  
By: *cc/p*  
For Secretary of State Use Only

*Rodney G. Easley*  
Signature of Officer  
*Rodney G. Easley*  
Print or Type Name of Officer  
*Treasurer*  
Title of Officer

*2/9/96*  
Date

**ANNUAL REPORT**

Please Type or Print  
 File Annually - Jan. 1 - March 1  
 Filing Fee \$50.00  
 Make Checks Payable to: Secretary of State

**ALL ENTRIES MUST BE COMPLETED IN FULL OR THE FORM WILL BE RETURNED.**

Corporate ID: 0032546 Annual Report for the year: 1995

Name of Corporation: REH EQUITIES, INC.

Business entity organized under the laws of the State of: N.Y.

Business Entity is (check one):

For foreign entity, address and telephone number of principal office:

Business Corporation (See RIGL Chapter 7-1.1)

123 North Wacker Drive Chicago IL  
60606

Professional Service Corporation (See RIGL Chapter 7-5.1)

Phone: (312) 701-3986

Brief statement of the character of business conducted in Rhode Island:

Address and telephone of the principal office of business entity in Rhode Island (Provide street address - Not P.O. Box):

Dealer and Broker

CT Corporation System

123 Dyer street

Providence RI 02903

Phone: ( )

**THE NAMES OF THE OFFICERS ARE:**

PRESIDENT STREET ADDRESS CITY/STATE ZIP CODE

VICE PRESIDENT STREET ADDRESS CITY/STATE ZIP CODE

SECRETARY STREET ADDRESS CITY/STATE ZIP CODE

TREASURER STREET ADDRESS CITY/STATE ZIP CODE

*See ATTACHED DOCUMENT*

**THE NAMES OF THE DIRECTORS ARE:**

NAME STREET ADDRESS CITY/STATE ZIP CODE

NAME STREET ADDRESS CITY/STATE ZIP CODE

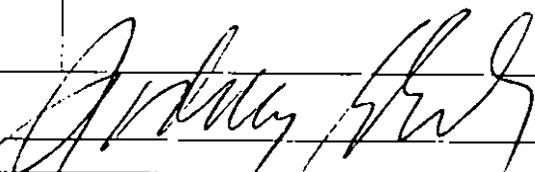
NAME STREET ADDRESS CITY/STATE ZIP CODE

*See ATTACHED DOCUMENT*

NUMBER OF SHARES AUTHORIZED (Rider may be attached) NUMBER OF SHARES ISSUED AND OUTSTANDING (Rider may be attached)

Number of Shares	Class / Series	Number of Shares	Class / Series
<u>200</u>	<u>Common</u>	<u>100</u>	<u>Common</u>

Date 2-16-95, 19

By: 

PRINT OR TYPE NAME OF OFFICER SIGNING  
Rodney G. Ensley

TITLE OF OFFICER SIGNING  
Controller

Form 31 1/95

**DESIGNATED REGISTERED AGENT FOR SERVICE OF PROCESS:**

PLEASE NOTE: If the registered office and/or registered agent indicated below is incorrect, Form 9 must be filed.

CT CORPORATION SYSTEM  
 123 DYER STREET  
 PROVIDENCE RI 02903

FILED

FEB 21 1995

*ck 40322350 @ 95*

By: \_\_\_\_\_

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**RBH Equities, Inc.**

**DIRECTORS:**

Stephen W. Barrett  
Rodney G. Ensley  
Patrick H. Hughes

Director  
Director  
Director

**OFFICERS:**

Stephen W. Barrett  
George W. Lawler  
Karl W. Krause, Jr.

President  
Treasurer  
Secretary - Law

Rodney G. Ensley  
Jerome S. Hanner  
Patrick H. Hughes  
Annette Merced  
Cynthia L. Wittenberg

Controller  
Assistant Secretary - Law  
Vice President  
Assistant Secretary  
Vice President

---

**All of the Above Are Located At:  
Aon Corporation  
123 North Wacker Drive, 26th Floor  
Chicago, Illinois 60606**

File Annually  
LLC: Sept. 1 - Nov. 1  
CORP: Jan. 1 - March 1

PLEASE TYPE or PRINT

State of Rhode Island and Providence Plantations  
Office of The Secretary of State  
100 North Main Street  
Providence, Rhode Island 02903-1335  
401-277-3040

1994

Reg Fee \$50.00  
Available to:  
Secretary of State

Corporate ID: 0032546 Annual Report for the year: \_\_\_\_\_  
Name of Business Entity: REH EQUITIES, INC.

Business entity organized under the laws of the State of: NY  
Federal Taxpayer Identification Number: [REDACTED]  
For foreign entity, address and telephone number of principal office:  
123 NORTH WACKER DR  
CHICAGO IL 60606  
Phone: (312) 701 3978  
Address and telephone of the principal office of business entity in Rhode Island (Provide street address - Not P.O. Box):  
NONE  
Phone: ( )

Business Entity is (check one):  
 Business Corporation (See RIGL Chapter 7-1.1)  
 Professional Service Corporation (See RIGL Chapter 7-5.1)  
 Limited Liability Company (See RIGL 7-16)  
Name, title and mailing address of contact person to whom communications may be directed:  
ROBERT J. GROB  
ASST TAX DIRECTOR  
123 N. WACKER DR 26 FL  
CHICAGO IL 60606  
Brief statement of the character of business conducted in Rhode Island:  
INSURANCE AGENCY  
Date of Organization: 5/2/69  
Date of Qualification to do business in Rhode Island (if foreign entity):  
11/23/84

THE NAMES OF THE OFFICERS ARE:

- |  | STREET ADDRESS          | CITY/STATE        | ZIP CODE     |
|--|-------------------------|-------------------|--------------|
| <input checked="" type="checkbox"/> CHIEF EXECUTIVE OFFICER OR <input checked="" type="checkbox"/> PRESIDENT (Check One) | <u>123 N. WACKER DR</u> | <u>CHICAGO IL</u> | <u>60606</u> |
| <input type="checkbox"/> CHIEF OPERATING OFFICER OR <input checked="" type="checkbox"/> VICE PRESIDENT (Check One)       | <u>123 N. WACKER DR</u> | <u>CHICAGO IL</u> | <u>60606</u> |
| <input type="checkbox"/> CUSTODIAN OF RECORDS OR <input checked="" type="checkbox"/> SECRETARY (Check One)               | <u>123 N. WACKER DR</u> | <u>CHICAGO IL</u> | <u>60606</u> |
| <input type="checkbox"/> CHIEF FINANCIAL OFFICER OR <input checked="" type="checkbox"/> TREASURER (Check One)            | <u>123 N. WACKER DR</u> | <u>CHICAGO IL</u> | <u>60606</u> |

THE NAMES OF THE DIRECTORS ARE:

- | NAME                      | STREET ADDRESS          | CITY/STATE        | ZIP CODE     |
|---------------------------|-------------------------|-------------------|--------------|
| <u>STEPHEN W. BARRETT</u> | <u>123 N. WACKER DR</u> | <u>CHICAGO IL</u> | <u>60606</u> |
| <u>PATRICK H. HUGHES</u>  | <u>123 N. WACKER DR</u> | <u>CHICAGO IL</u> | <u>60606</u> |

NUMBER OF SHARES AUTHORIZED (If Applicable)

NUMBER 200  
CLASS COMMON  
SERIES  
PAR VALUE OR WITHOUT PAR NO PAR

NUMBER OF SHARES ISSUED AND OUTSTANDING (If Applicable)  
NUMBER 100  
CLASS COMMON  
SERIES  
PAR VALUE OR WITHOUT PAR NO PAR

By: [Signature]

Filing Fee \$50.00

To be filed annually between  
January 1st and March 1st

# State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION  
100 NORTH MAIN STREET  
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 003254E Annual Report for the year 1993

FIRST: The name of the corporation is RBH EQUITIES, INC.

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is Dealer & Broker

FOURTH: If foreign corporation, address of its principal office  
123 North Wacker Dr. Chicago, Illinois 60606

FIFTH: Business address in Rhode Island None

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name	Office	Address (including number, street, zip code)
Stephen W. Barrett	Director	123 N. Wacker Dr. Chicago, IL 60606
Patrick H. Hughes	Director	123 N. Wacker Dr. Chicago, IL 60606
Irma Villarreal	Director	123 N. Wacker Dr. Chicago, IL 60606
Stephen W. Barrett	President	123 N. Wacker Dr. Chicago, IL 60606
Jerome S. Hanner	Vice President	123 N. Wacker Dr. Chicago, IL 60606
Irma Villarreal	Secretary	123 N. Wacker Dr. Chicago, IL 60606
George W. Lawler	Treasurer	123 N. Wacker Dr. Chicago, IL 60606

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
200	Common	MAR 02 1993	No Par

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
100	Common		No Par

SECY OF STATE

*Check # 129571*

Dated 2/23 19 93

RBH Equities, Inc.

(Name of Corporation)

By Irma Villarreal

Title Secretary

(Report must be signed by an officer)

Filing Fee \$50.00

To be filed annually between  
January 1st and March 1st

# State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION  
100 NORTH MAIN STREET  
PROVIDENCE, RHODE ISLAND 02903

831599R.O.

Corporate ID: 0032546 Annual Report for the year 1992

FIRST: The name of the corporation is RBH Equities, Inc.

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is Dealer & Broker

FOURTH: If foreign corporation, address of its principal office  
123 North Wacker Dr. Chicago, IL 60606

FIFTH: Business address in Rhode Island  
None

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name	Office	Address (including number, street, zip code)
Stephen W. Barrett	Director	123 N. Wacker Dr. Chicago, IL 60606
Patrick H. Hughes	Director	123 N. Wacker Dr. Chicago, IL 60606
	Director	
Stephen W. Barrett	President	123 N. Wacker Dr. Chicago, IL 60606
Patrick H. Hughes	Vice President	123 N. Wacker Dr. Chicago, IL 60606
Irma Villarreal	Secretary	123 N. Wacker Dr. Chicago, IL 60606
George W. Lawler	Treasurer	123 N. Wacker Dr. Chicago, IL 60606

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
200	Common	N/A	No Par

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
100	Common	N/A	No Par

Dated February 12 19 92

RBH Equities  
(Name of Corporation)  
By: *George W. Lawler*  
Title: Treasurer

(Report must be signed by an officer)

Filing Fee \$50.00

To be filed annually between  
January 1st and March 1st

# State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION  
100 NORTH MAIN STREET  
PROVIDENCE, RHODE ISLAND 02903

55

Corporate ID 0032546 Annual Report for the year 1991

FIRST: The name of the corporation is RBH EQUITIES, INC.

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is Dealer & Broker

FOURTH: If foreign corporation, address of its principal office.....

123 North Wacker Drive Chicago, Illinois 60606

FIFTH: Business address in Rhode Island.....

None

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name	Office	Address (including number, street, zip code)
Stephen W. Barrett	Director	123 North Wacker Dr. Chicago, IL 60606
Patrick H. Hughes	Director	123 North Wacker Dr. Chicago, IL 60606
Thomas F. Sullivan	Director	123 North Wacker Dr. Chicago, IL 60606
Stephen W. Barrett	President	123 North Wacker Dr. Chicago, IL 60606
Thomas F. Sullivan	Vice President	123 North Wacker Dr. Chicago, IL 60606
Hugo A. Lorenz	Secretary	123 North Wacker Dr. Chicago, IL 60606
Kyle L. Campbell	Treasurer	123 North Wacker Dr. Chicago, IL 60606

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
200	Common	N/A	Without Par Value

PAID

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
100	Common	N/A	Without Par Value

FEB 19 1991  
SECY OF STATE

Dated February 14 19 91

RBH Equities, Inc.

(Name of Corporation)

By Hugo A. Lorenz

Title Secretary

(Report must be signed by an officer)

Filing Fee ~~\$15.00~~ 50.00

To be filed annually between January 1st and March 1st

# State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION  
100 NORTH MAIN STREET  
PROVIDENCE, RHODE ISLAND 02903

AA

Corporate ID 0032546 Annual Report for the year 1990

FIRST: The name of the corporation is RBH Equities, Inc.

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is Broker/Dealer

FOURTH: If foreign corporation, address of its principal office 123 N. Wacker Drive, Chicago, Illinois 60606

FIFTH: Business address in Rhode Island c/o CT Corporation System, 123 Dyer Street, Providence, Rhode Island 02903

SIXTH: Names and addresses of its directors and officers: SEE LIST ATTACHED (Attach rider if necessary)

Name Office Address (including number, street, zip code)

- ..... Director .....
- ..... Director .....
- ..... Director .....
- ..... President .....
- ..... Vice President .....
- ..... Secretary .....
- ..... Treasurer .....

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series
200	Common	----

Par Value or statement that shares are without par value

PAID DEC 31 1998  
shares are without par value

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series
100	Common	----

Par Value or statement that shares are without par value

REC'D OF STATE  
shares are without par value

Dated December 27, 19 90

RBH Equities, Inc.  
(Name of Corporation)

By *Jerome S. Hanner*

Title Jerome S. Hanner, Assistant Secretary

(Report must be signed by an officer)

RBH Equities, Inc.

OFFICERS

Stephen William Barrett  
President  
123 North Wacker Drive  
Chicago, IL 60606

Patrick Henry Hughes  
Vice President  
123 North Wacker Drive  
Chicago, IL 60606

Thomas F. Sullivan  
Vice President  
123 North Wacker Drive  
Chicago, IL 60606

Cheryl Dorsey  
Assistant Vice President  
123 North Wacker Drive  
Chicago, IL 60606

Terry D. Asbury  
Assistant Vice President  
123 North Wacker Drive  
Chicago, IL 60606

Kyle L. Campbell  
Treasurer & Controller  
123 North Wacker Drive  
Chicago, IL 60606

Hugo A. Lorenz  
Secretary  
123 North Wacker Drive  
Chicago, IL 60606

Jerome S. Hanner  
Assistant Secretary  
123 North Wacker Drive  
Chicago, IL 60606

DIRECTORS

Stephen William Barrett  
123 North Wacker Drive  
Chicago, IL 60606

Thomas F. Sullivan  
123 North Wacker Drive  
Chicago, IL 60606

Patrick Henry Hughes  
2440 Orrington  
Evanston, IL 60204

# State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION  
100 NORTH MAIN STREET  
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 0032545 Annual Report for the year 1989

FIRST: The name of the corporation is RBH EQUITIES, INC.

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is Broker/Dealer

FOURTH: If foreign corporation, address of its principal office 123 North Wacker Drive, Chicago, Illinois 60606

FIFTH: Business address in Rhode Island c/o CT Corporation System, 111 Westminster Street, Providence, Rhode Island 02903

SIXTH: Names and addresses of its directors and officers: see Attached Exhibit A (Attach rider if necessary)

Name	Office	Address (including number, street, zip code)
------	--------	--

.....	Director	.....
.....	Director	.....
.....	Director	.....
.....	President	.....
.....	Vice President	.....
.....	Secretary	.....
.....	Treasurer	.....

*See Attached*

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
200	Common	<b>PAID</b>	Shares are without par value.

SECY OF STATE

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
100	Common	--	Shares are without par value.

Dated 2/27 19 89

RBH Equities, Inc.

(Name of Corporation)

By Stephen W. Smith

Title President

(Report must be signed by an officer)

RBH Equities, Inc.OFFICERS

Stephen William Barrett  
President  
123 North Wacker Drive  
Chicago, IL 60606

Patrick Henry Hughes  
Vice President  
123 North Wacker Drive  
Chicago, IL 60606

Thomas F. Sullivan  
Vice President  
123 North Wacker Drive  
Chicago, IL 60606

Cheryl Dorsey  
Assistant Vice President  
123 North Wacker Drive  
Chicago, IL 60606

Terry D. Asbury  
Assistant Vice President  
123 North Wacker Drive  
Chicago, IL 60606

Kyle L. Campbell  
Treasurer & Controller  
123 North Wacker Drive  
Chicago, IL 60606

Hugo A. Lorenz  
Secretary  
123 North Wacker Drive  
Chicago, IL 60606

Jerome S. Hanner  
Assistant Secretary  
123 North Wacker Drive  
Chicago, IL 60606

DIRECTORS

Stephen William Barrett  
123 North Wacker Drive  
Chicago, IL 60606

Thomas F. Sullivan  
123 North Wacker Drive  
Chicago, IL 60606

Patrick Henry Hughes  
2440 Orrington  
Evanston, IL 60204

File No.

To be filed annually between  
January 1st and March 1st

# State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION  
270 WESTMINSTER MALL  
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 42546 Annual Report for the year 1988

FIRST: The name of the corporation is RBH EQUITIES, INC.

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is Broker-Dealer

FOURTH: If foreign corporation, address of its principal office 123 North Wacker Drive,  
Chicago, Illinois 60606

FIFTH: Business address in Rhode Island c/o C T Corporation System, 111  
Westminster Street, Providence, Rhode Island 02903

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name	Office	Address (including number, street, zip code)
	Director	
	Director	
	Director	SEE ATTACHED EXHIBIT A
	President	
	Vice President	
	Secretary	
	Treasurer	

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
200	Common	PAID	Shares are without par value

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
100	Common	--	Shares are without par value

FEB 19 1988

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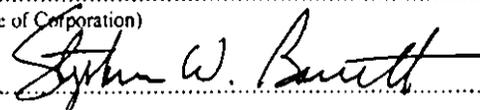


Dated February 12, 19 88

RBH Equities, Inc.

(Name of Corporation)

By X



President

Title

(Report must be signed by an officer)

RBH Equities, Inc.

OFFICERS

Stephen William Barrett  
President  
123 North Wacker Drive  
Chicago, IL 60606

Patrick Henry Hughes  
Vice President  
123 North Wacker Drive  
Chicago, IL 60606

Thomas F. Sullivan  
Vice President  
123 North Wacker Drive  
Chicago, IL 60606

Cheryl Dorsey  
Assistant Vice President  
123 North Wacker Drive  
Chicago, IL 60606

Kyle L. Campbell  
Treasurer & Controller  
123 North Wacker Drive  
Chicago, IL 60606

Hugo A. Lorenz  
Secretary  
123 North Wacker Drive  
Chicago, IL 60606

Jerome S. Hanner  
Assistant Secretary  
123 North Wacker Drive  
Chicago, Illinois 60606

DIRECTORS

Stephen William Barrett  
123 North Wacker Drive  
Chicago, IL 60606

Thomas F. Sullivan  
123 North Wacker Drive  
Chicago, IL 60606

Patrick Henry Hughes  
c/o Scarborough & Co.  
55 East Monroe Street  
Chicago, IL 60603

# State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION  
270 WESTMINSTER MALL  
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 32546 Annual Report for the year 1987

FIRST: The name of the corporation is RBH EQUITIES, INC.

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is Broker-Dealer

FOURTH: If foreign corporation, address of its principal office 123 North Wacker Drive, Chicago, Illinois 60606

FIFTH: Business address in Rhode Island c/o C T Corporation System, 111 Westminster Street, Providence, Rhode Island 02903

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name	Office	Address (including number, street, zip code)
	Director	
See Attached Exhibit	Director	
A	Director	
	President	
	Vice President	
	Secretary	
	Treasurer	

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
200	Common	--	Shares are without par value

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
100	Common	--	Shares are without par value

Dated April 21, 19 87

RBH Equities, Inc.

(Name of Corporation)

By X Stephen W. Barrett

Title President

(Report must be signed by an officer)

RBH Equities, Inc.

OFFICERS

Stephen William Barrett  
President  
123 North Wacker Drive  
Chicago, IL 60606

Patrick Henry Hughes  
Vice President  
c/o Scarborough & Co.  
55 East Monroe Street  
Chicago, IL 60603

Thomas F. Sullivan  
Vice President  
123 North Wacker Drive  
Chicago, IL 60606

Cheryl Dorsey  
Assistant Vice President  
123 North Wacker Drive  
Chicago, IL 60606

Kyle L. Campbell  
Treasurer & Controller  
123 North Wacker Drive  
Chicago, IL 60606

Hugo A. Lorenz  
Secretary  
123 North Wacker Drive  
Chicago, IL 60606

Jerome S. Hanner  
Assistant Secretary  
123 North Wacker Drive  
Chicago, Illinois 60606

DIRECTORS

Stephen William Barrett  
123 North Wacker Drive  
Chicago, IL 60606

Thomas F. Sullivan  
123 North Wacker Drive  
Chicago, IL 60606

Patrick Henry Hughes  
c/o Scarborough & Co.  
55 East Monroe Street  
Chicago, IL 60603

Filing Fee \$15.00

To be filed annually between  
January 1st and March 1st

# State of Rhode Island and Providence Plantations

CORPORATIONS DIVISION  
270 WESTMINSTER MALL  
PROVIDENCE, RHODE ISLAND 02903

Corporate ID 32546 Annual Report for the year 1986

FIRST: The name of the corporation is RBH EQUITIES, INC.

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is Broker-dealer

FOURTH: If foreign corporation, address of its principal office 111 Westminister Street  
Providence, RI 02903

FIFTH: Business address in Rhode Island c/o CT Corporation System,  
111 Westminister Street, Providence, Rhode Island 02903

SIXTH: Names and addresses of its directors and officers: (Attach rider if necessary)

Name	Office	Address (including number, street, zip code)
.....	Director	.....
.....	Director	See Attached Exhibit A
.....	Director	.....
.....	President	.....
.....	Vice President	.....
.....	Secretary	.....
.....	Treasurer	.....

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
200	Common	---	Shares are without par value

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
100	Common	---	Shares are without par value

Dated February 20, 19 86

RBH Equities, Inc.

(Name of Corporation)

By Stephen W. Bennett

Title President

APR 09 ENT'D MR

(Report must be signed by an officer)

RBH Equities, Inc.

OFFICERS

Stephen William Barrett  
President  
222 North Dearborn Street  
Chicago, IL 60601

John C. Collins  
Vice President  
605 Third Avenue  
New York, NY 10158

Charles E. Kircher  
Vice President  
605 Third Avenue  
New York, NY 10158

Richard A. Mickelsen  
Assistant Vice President  
605 Third Avenue  
New York, NY 10158

Patrick Henry Hughes  
Assistant Vice President  
c/o Scarborough & Co.  
55 East Monroe Street  
Chicago, IL 60603

Darlene G. Dies  
Treasurer & Secretary  
605 Third Avenue  
New York, NY 10158

Virginia G. Schooley  
Assistant Secretary  
10 South Riverside Plaza  
Chicago, IL 60606

Donald A. Wilson  
Assistant Secretary  
605 Third Avenue  
New York, NY 10158

Edward Lawless  
Controller  
605 Third Avenue  
New York, NY 10158

DIRECTORS

Stephen William Barrett  
222 North Dearborn Street  
Chicago, IL 60601

Thomas F. Sullivan  
222 North Dearborn Street  
Chicago, IL 60601

Patrick Henry Hughes  
c/o Scarborough & Co.  
55 East Monroe Street  
Chicago, IL 60603

Filing fee: \$15.00

To be filed annually between January 1st and March 1st

State of Rhode Island and Providence Plantations  
OFFICE OF THE SECRETARY OF STATE

32540

Annual Report for the year 1985

FIRST: The name of the corporation is RBH Equities, Inc.

RBH E

SECOND: It is incorporated under the laws of New York

THIRD: Character of business, briefly stated, is Broker-dealer

FOURTH: If foreign corporation, address of its principal office 111

Westminister Street, Providence, R.I. 02903

FIFTH: Business address in Rhode Island (blank reports will be mailed to this address) c/o C T Corporation Systems, 111 Westminister Street, Providence, Rhode Island 02903

SIXTH: Names and addresses of its directors and officers:

(Addresses must include street and number, if any)

Name	Office	Address
	Director	
	Director	See Attached Exhibit A
	Director	
	President	
	Vice President	
	Secretary	
	Treasurer	

(If additional space is needed, attach rider)

SEVENTH: Number of Shares authorized:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
200	Common	- - -	Share are without par value

EIGHTH: Number of Shares issued:

No. of Shares	Class	Series	Par Value or statement that shares are without par value
100	Common	- - -	Shares are without par value

LR

Dated: November 15, 1985

RBH Equities, Inc.

(Name of Corporation)

By Stephen W. Barrett

Title President

Corporation ID# 32546

(Report must be signed by an officer)

If the corporation has changed its registered office and/or its registered agent, Form #9 must be filed. Please contact Corporation Division for information. 277-3040

15-106  
15.00

RBH Equities, Inc.

OFFICERS

Stephen William Barrett  
President  
222 North Dearborn Street  
Chicago, IL 60601

John C. Collins  
Vice President  
605 Third Avenue  
New York, NY 10158

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Vice President  
605 Third Avenue  
New York, NY 10158

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605 Third Avenue  
New York, NY 10158

Edward Lawless  
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55 East Monroe Street  
Chicago, IL 60603