



**State of Rhode Island and Providence Plantations  
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services  
148 W. River Street  
Providence RI 02904-2615  
(401) 222-3040

**Foreign Business Corporation  
Annual Report**

*Filing Period: January 1 - March 1*

*In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.*

**ANNUAL REPORT YEAR:** 2020

**1. Corporate ID No.** 000057893

**2. Name of Corporation** ProEquities, Inc.

**3. Street Address Principal Business Office:**

No. and Street: 2801 HIGHWAY 280 SOUTH  
City or Town: BIRMINGHAM

State: AL Zip: 35223 Country: USA

**4. Business Phone No.**

205-268-1000

**5. State of Incorporation**

State: AL

**ARTICLE III**

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

524210

**6. Brief Description of the Character of Business Conducted in Rhode Island**

INSURANCE PRODUCT WHOLESALERS

**7. Names and Addresses of the Officers and Directors:**

**All officers and directors must be listed.**

| Title     | Individual Name<br>First, Middle, Last, Suffix | Address<br>Address, City or Town, State, Zip Code, Country |
|-----------|------------------------------------------------|------------------------------------------------------------|
| PRESIDENT | CHRISTOPHER W. FLINT                           | 2801 HIGHWAY 280 S<br>BIRMINGHAM, AL 35223 USA             |
| SECRETARY | DARREN W. GUERRERA                             | 2801 HIGHWAY 280 S                                         |

|          |                      |                                                         |
|----------|----------------------|---------------------------------------------------------|
|          |                      | BIRMINGHAM, AL 35223 USA                                |
| CFO      | DARREN W. GUERRERA   | 2801 HIGHWAY 280 S<br>BIRMINGHAM, AL 35223 USA          |
| DIRECTOR | PAUL R. WELLS        | 2801 HIGHWAY 280 S<br>BIRMINGHAM, AL 35223 USA          |
| DIRECTOR | CHRISTOPHER W. FLINT | 2801 HIGHWAY 280 S<br>BIRMINGHAM, AL 35223 USA          |
| DIRECTOR | MATTHEW A. RIEBEL    | 600 VINE STREET, SUITE 1800<br>CINCINNATI, OH 45202 USA |

## 8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized<br>Shares<br><i>Number of Shares</i> | Total Issued<br>and<br>Outstanding<br><i>Num of<br/>Shares</i> |
|----------------|-----------------|---------------------|-------------------------------------------------------|----------------------------------------------------------------|
| CWP            |                 | \$1.0000            | 250,000.00                                            | 114408                                                         |

**9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.**

**Signed this 22 Day of January, 2020 at 2:45:28 PM.** *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By DARREN C. GUERRERA  
Signature of Authorized Representative of the Corporation

Form No. 630  
Revised 09/07

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