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State of Rhode Island and Providence Plantations Office of the Secretary of State

Fee: \$50.00

Division Of Business Services 148 W. River Street Providence RI 02904-2615 (401) 222-3040

Foreign Business Corporation Annual Report

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2020

1. Corporate ID No. 000911088

- **2.** Name of Corporation Raymond James Insurance Group, Inc.
- 3. Street Address Principal Business Office:

No. and Street: 880 CARILLON PARKWAY

ATTN: LEGAL DEPARTMENT

City or Town: ST. PETERSBURG State: FL Zip: 33716 Country: USA

4. Business Phone No.

5. State of Incorporation

State: FL

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes <u>here.</u> More information on <u>NAICS</u> can be found online.

561110

6. Brief Description of the Character of Business Conducted in Rhode Island

PROVIDES SALES, MARKETING AND ADMINISTRATIVE SUPPORT SERVICES FOR INSURANCE AND ANNUITY PRODUCTS TO FINANCIAL ADVISORS OF AFFILIATED BROKER-DEALERS. MAINTAINS SELLING AGREEMENTS WITH MORE THAN 60 INSURANCE/ANNUITY CARRIERS.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

| ndividual Name | Address |
|----------------|----------------|
| ļ | ndividual Name |

| | First, Middle, Last, Suffix | Address, City or Town, State, Zip Code, Country |
|--------------------------------------|-----------------------------|---|
| PRESIDENT/CEO/DIRECTOR | SCOTT L. STOLZ | 880 CARILLON PARKWAY,ATTN: LEGAL DEPARTMENT ST. PETERSBURG, FL 33716 USA |
| VP/SECRETARY | ELIZABETH J. MAZIAD | 880 CARILLON PARKWAY,ATTN: LEGAL DEPARTMENT ST. PETERSBURG, FL 33716 USA |
| CHIEF FINANCIAL OFFICER/TREASURER | PAUL M. SHOUKRY | 880 CARILLON PARKWAY,ATTN: LEGAL DEPARTMENT ST. PETERSBURG, FL 33716 USA |
| DIRECTOR | GERALD ARIYAN | 880 CARILLON PARKWAY,ATTN: LEGAL DEPARTMENT ST. PETERSBURG, FL 33716 USA |
| DIRECTOR | SCOTT A. CURTIS | 880 CARILLON PARKWAY,ATTN: LEGAL DEPARTMENT ST. PETERSBURG, FL 33716 USA |

8. Shares Authorized and Issued

| Class of Stock | Series of Stock | Par Value Per Share | Total Authorized Shares Number of Shares | Total Issued and Outstanding Num of Shares |
|----------------|-----------------|---------------------|--|--|
| CWP | | \$1.0000 | 5,000.00 | 2001 |

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 22 Day of January, 2020 at 5:56:30 PM. This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.

By **KELLY LETTMANN**

Signature of Authorized Representative of the Corporation

Form No. 630 Revised 09/07

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