



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2020

1. Corporate ID No. 000562027

2. Name of Corporation Singulex, Inc.

3. Street Address Principal Business Office:

No. and Street: 1701 HARBOR BAY PKWY
SUITE 200

City or Town: ALAMEDA State: CA Zip: 94502 Country: USA

4. Business Phone No.

5. State of Incorporation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

541712

6. Brief Description of the Character of Business Conducted in Rhode Island

SINGULEX, INC. IS THE DEVELOPER AND LEADING PROVIDER OF SINGLE MOLECULE COUNTING (SMCTM) TECHNOLOGY FOR CLINICAL DIAGNOSTICS AND SCIENTIFIC DISCOVERY. SINGULEX'S PROPRIETARY SMC TECHNOLOGY ENABLES PHYSICIANS AND SCIENTISTS TO DETECT BIOMARKERS OF DISEASE THAT WERE PREVIOUSLY UNDETECTABLE. POWERED BY SMC TECHNOLOGY, SINGULEX'S ULTRA-SENSITIVE IMMUNOASSAY SOLUTIONS AND LAB TESTING SERVICES ARE TRANSFORMING PATIENT CARE FROM REACTIVE DISEASE TREATMENT TO PROACTIVE HEALTH MANAGEMENT AND

FUELING THE DISCOVERY AND DEVELOPMENT OF NEW THERAPEUTICS.

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
SECRETARY	ALAN C. MENDELSON	1701 HARBOR BAY PKWY,SUITE 200 ALAMEDA, CA 94502 USA
CFO	BRANDON HANTS	1701 HARBOR BAY PKWY,SUITE 200 ALAMEDA, CA 94502 USA
CEO / PRESIDENT	GUIDO BAECHLER	1701 HARBOR BAY PKWY,SUITE 200 ALAMEDA, CA 94502 USA
DIRECTOR	DAVID I. BELL	1701 HARBOR BAY PKWY,SUITE 200 ALAMEDA, CA 94502 USA
DIRECTOR	CARL L. GORDON	1701 HARBOR BAY PKWY,SUITE 200 ALAMEDA, CA 94502 USA
DIRECTOR	STEPHEN L. ROSE	1701 HARBOR BAY PKWY,SUITE 200 ALAMEDA, CA 94502 USA
DIRECTOR	FRED K. VOGT	1701 HARBOR BAY PKWY,SUITE 200 ALAMEDA, CA 94502 USA
DIRECTOR	HEINER DREISMANN	1701 HARBOR BAY PKWY,SUITE 200 ALAMEDA, CA 94502 USA
DIRECTOR	GUIDO BAECHLER	1701 HARBOR BAY PKWY, SUITE 200 ALAMEDA, CA 94502 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
CWP		\$0.0010	258,647,146.00	5768355
PWP	A1 B1	\$0.0010	224,828,248.00	0

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 28 Day of January, 2020 at 12:23:02 PM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By KELLY LETTMANN
Signature of Authorized Representative of the Corporation

Revised 09/07

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