

Filing Fee \$50.00

I.D. # 13-3380138

**FICTITIOUS BUSINESS NAME STATEMENT
(To be filed in Duplicate)**

To the Secretary of State
of the State of Rhode Island

Pursuant to the provisions of Section 7-1.1-7.1 for corporations or 7-16-9 for limited liability companies of the General Laws, 1956, as amended, the undersigned hereby submits the following statement for authority to transact business in the State of Rhode Island under a fictitious name:

FIRST: Fictitious business name to be used: SBCM *(DU)*

SECOND: Legal name of applicant corporation or limited liability company: Sumitomo Bank Capital Markets, Inc.

THIRD: Organized under the laws of: Delaware

FOURTH: Date of organization: December 4, 1986

FIFTH: If a corporation, business in which corporation is engaged: The corporation is authorized to engage in general business activities. Its current principal activity is providing financial services to other corporations and financial institutions.

SIXTH: If a corporation, address of registered office of the corporation within Rhode Island: The Prentice-Hall Corporation System, Inc. 32 Lookerman Square, Suite L-100, Dover, DE 19901

SEVENTH: Applicant is otherwise authorized to do business in the State of Rhode Island.

Dated November 23, 1993.

Sumitomo Bank Capital Markets, Inc.

(Applicant)

By: *[Signature]*

Its: Secretary

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