



**State of Rhode Island and Providence Plantations
Office of the Secretary of State**

Fee: \$50.00

Division Of Business Services
148 W. River Street
Providence RI 02904-2615
(401) 222-3040

**Foreign Business Corporation
Annual Report**

Filing Period: January 1 - March 1

In accordance with R.I.G.L. 7-1.2-1501(e), each corporation failing or refusing to file its annual report within thirty (30) days after the time prescribed by law (R.I.G.L. 7-1.2-1501 (c&d)) is subject to a penalty fee of \$25.00.

ANNUAL REPORT YEAR: 2020

1. Corporate ID No. 000588249

2. Name of Corporation Thornburg Securities Corporation

3. Street Address Principal Business Office:

No. and Street: 2300 NORTH RIDGETOP ROAD

City or Town: SANTA FE

State: NM Zip: 87506 Country: USA

4. Business Phone No.

0115E11

5. State of Incorporation

State: DE

ARTICLE III

Enter the six digit NAICS Code that best describes the primary business conducted by the entity. Download the list of codes [here](#). More information on [NAICS](#) can be found online.

523120

6. Brief Description of the Character of Business Conducted in Rhode Island

SECURITIES BROKER

7. Names and Addresses of the Officers and Directors:

All officers and directors must be listed.

Title	Individual Name First, Middle, Last, Suffix	Address Address, City or Town, State, Zip Code, Country
TREASURER	NIMISH BHATT	2300 N. RIDGETOP ROAD SANTA FE, NM 87506 USA
VICE PRESIDENT	DANA JONES	2300 N. RIDGETOP ROAD

		SANTA FE,, NM 87506 USA
VICE PRESIDENT	LEIGH MOIOLA	2300 N. RIDGETOP ROAD SANTA FE, NM 87506 USA
VICE PRESIDENT	RANDY DRY	2300 N. RIDGETOP ROAD SANTA FE, NM 87506 USA
VICE PRESIDENT	JASON BRADY	2300 N. RIDGETOP ROAD SANTA FE, NM 87506 USA
CHAIRMAN	GARRETT THORNBURG	2300 N. RIDGETOP ROAD SANTA FE, NM 87506 USA
PRESIDENT	CARTER SIMS	2300 N. RIDGETOP ROAD SANTA FE, NM 87506 USA
DIRECTOR	ELIOT CUTLER	2300 N. RIDGETOP ROAD SANTA FE, NM 87506 USA
SECRETARY	NIMISH BHATT	2300 N. RIDGETOP ROAD SANTA FE, NM 87506 USA
VICE PRESIDENT	LEIGH MOIOLA	2300 N. RIDGETOP ROAD SANTA FE, NM 87506 USA
VICE PRESIDENT	BRIAN MCMAHON	2300 N. RIDGETOP ROAD SANTA FE, NM 87506 USA
DIRECTOR	BRIAN J MCMAHON	2300 N. RIDGETOP ROAD SANTA FE, NM 87506 USA
DIRECTOR	JASON BRADY	2300 N. RIDGETOP ROAD SANTA FE, NM 87506 USA

8. Shares Authorized and Issued

Class of Stock	Series of Stock	Par Value Per Share	Total Authorized Shares <i>Number of Shares</i>	Total Issued and Outstanding <i>Num of Shares</i>
STK	A	\$1.0000	10,000.00	2500
STK	B	\$1.0000	10,000.00	1500

9. This report must be executed on behalf of the corporation by an authorized representative. If the corporation is in the hands of a receiver or trustee, this report must be executed on behalf of the corporation by the receiver or trustee.

Signed this 31 Day of January, 2020 at 8:24:00 AM. *This electronic signature of the individual or individuals signing this instrument constitutes the affirmation or acknowledgement of the signatory, under penalties of perjury, that this instrument is that individual's act and deed or the act and deed of the corporation, and that the facts stated herein are true, as of the date of the electronic filing, in compliance with R.I. Gen. Laws § 7-1.2.*

By NIMISH BHATT
Signature of Authorized Representative of the Corporation

