



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

Matthew A. Brown, Secretary of State
Corporations Division
148 W. River Street, Providence, RI 02904-2615
401.222.3040

LIMITED LIABILITY COMPANY ANNUAL REPORT FOR THE YEAR 2005

Filing Period: September 1 - November 1 • Filing Fee: \$50.00

1. ID No. 108349		2. Exact name of the limited liability company Janney Montgomery Scott LLC	
3. State of Formation DELAWARE		4. Brief description of the character of the business which is actually conducted in Rhode Island ENGAGES IN SECURITIES BROKERAGE BUSINESS	
5. Principal office address 1801 MARKET STREET		City PHILADELPHIA	State PA Zip 19103
6. MAILING ADDRESS OF LIMITED LIABILITY COMPANY AND NAME OR TITLE OF CONTACT PERSON:			
Contact Name MICHAEL HRICKO		Contact Title	
Street Address 1801 MARKET STREET		City PHILADELPHIA	State PA Zip 19103
7. NAME AND ADDRESS OF EACH MANAGER OF THE LIMITED LIABILITY COMPANY, IF APPLICABLE FILL IN SPACES BEFORE USING ATTACHMENTS ("X" BOX FOR ATTACHMENT) <input type="checkbox"/> ANY MODIFICATIONS TO MANAGERS REQUIRES FILING OF AMENDMENT. R.I.G.L. 7-16-12 (a) (2) / 7-16-52			
Manager Name		Manager Name	
Street Address		Street Address	
City	State	Zip	City
Manager Name	Manager Name		
Street Address		Street Address	
City	State	Zip	City
8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER - Changes require filing of Form 642 - R.I.G.L. 7-16-11			
Agent Name CT CORPORATION SYSTEM		Address 10 WEYBOSSET STREET	
Address		City PROVIDENCE	Zip 02903

2006 AUG 25 AM 9:25

This report must be executed by an authorized person pursuant to R.I.G.L. 7-16-66 (b).



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108349 FLIC 08/22/06 02:31:55 PM	
File Date	FILED
Check No.	AUG 25 2006
By:	By AOK 10-082500
FOR SECRETARY OF STATE USE ONLY	

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Authorized Person
Date 8/24/06
Howard B. Scherker
Print or Type Name of Authorized Person



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
Office of the Secretary of State
Matthew A. Brown, Secretary of State

Corporations Div.
100 North Main St.
Providence, RI 02903-13
401.222.36

LIMITED LIABILITY COMPANY ANNUAL REPORT FOR THE YEAR 2004
Filing Period: September 1 - November 1 • Filing Fee: \$50.00
(FORM MUST BE TYPED OR PRINTED IN BLACK)

1. ID No. 108349		2. Exact name of the limited liability company Janney Montgomery Scott LLC	
3. State of Formation DELAWARE		4. Brief description of the character of the business which is actually conducted in Rhode Island ENGAGES IN SECURITIES BROKERAGE BUSINESS	
5. Principal office address 1801 MARKET ST. 9TH FLOOR		City PHILADELPHIA	State PA
6. MAILING ADDRESS OF LIMITED LIABILITY COMPANY AND NAME OR TITLE OF CONTACT PERSON: Contact Name MICHAEL HRICKO		Zip 19103	
Street Address 1801 MARKET ST. 9TH FLOOR		City PHILADELPHIA	State PA
7. NAME AND ADDRESS OF EACH MANAGER OF THE LIMITED LIABILITY COMPANY, IF APPLICABLE FILL IN SPACES BEFORE USING ATTACHMENTS ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/> ANY MODIFICATIONS TO MANAGERS REQUIRES FILING OF AMENDMENT, R.I.G.L. 7-16-12 (a) (2) / 7-16-52		Zip 19103	
Manager Name		Manager Name	
Street Address		Street Address	
City		City	
State		State	
Zip		Zip	
Manager Name		Manager Name	
Street Address		Street Address	
City		City	
State		State	
Zip		Zip	
8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER - Changes require filing of Form 642 - R.I.G.L. 7-16-11		Agent Name	
Address CT CORPORATION SYSTEM		Address	
City 10 WEYBOSSET STREET		City PROVIDENCE	
State		State	
Zip		Zip 02903	

This report must be signed in ink by an authorized person pursuant to R.I.G.L. 7-16-66.



* 1 0 8 3 4 9 *

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Richard A. Thornton
Signature of Authorized Person

Date

RICHARD A. THORNTON 1st VP/CFO
Print or Type Name of Authorized Person

FOR SECRETARY OF STATE USE ONLY

<p style="text-align: center;">JANNEY MONTGOMERY SCOTT, LLC BOARD OF MANAGERS</p>

1801 Market Street
Philadelphia, PA 19103

<u>Last Name</u>	<u>First Name</u>	<u>Title</u>
Wolitzsky	James W.	President, CEO, & Manager
Sander	Rudolph C.	Co-Chairman of the Board
Wilde, Jr.	Norman T.	Co-Chairman of the Board
Bennett, Sr.	Robert	Senior Vice President & Manager
Chuff	John J.	Senior Vice President & Manager
Croonquist, Sr.	George T.	Senior Vice President & Manager
Cunningham	David J.	Senior Vice President & Manager
Eldredge, Jr.	Ashton G.	Senior Vice President & Manager
Hughes	John P.	Senior Vice President & Manager
Knott	Mark D.	Senior Vice President, Chief Administrative Officer, Asst. Treasurer, & Manager
Lombard, Jr.	Jerome F.	Senior Vice President & Manager
Schankel	Alan M.	Senior Vice President & Manager
Scherer	Howard B.	Senior Vice President, Secretary, & Manager
Sullivan	Charles J.	Senior Vice President & Manager
Thornton	Richard A.	Senior Vice President, CFO, Treasurer, & Manager

600 Dresher Road
Horsham, PA 19044

<u>Last Name</u>	<u>First Name</u>	<u>Title</u>
Brodie	Nancy S.	Manager
Chappell	Robert E.	Manager

FILED

SEP 17 2004

By ISA



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS
Office of the Secretary of State
Matthew A. Brown, Secretary of State

Corporations Division
100 North Main Street
Providence, RI 02903-1335
401.222.3040

LIMITED LIABILITY COMPANY ANNUAL REPORT FOR THE YEAR 2003

Filing Period: September 1 - November 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED OR PRINTED IN BLACK)

1. ID No 108349		2. Exact name of the limited liability company Janney Montgomery Scott LLC			
3. State of Formation DELAWARE		4. Brief description of the character of the business which is actually conducted in Rhode Island ENGAGES IN SECURITIES BROKERAGE BUSINESS			
5. Principal office address 1801 MARKET ST. 9 th FLOOR		City PHILADELPHIA	State PA	Zip 19103	
6. MAILING ADDRESS OF LIMITED LIABILITY COMPANY AND NAME OR TITLE OF CONTACT PERSON:					
Contact Name MICHAEL HRICKO			Contact Title SR. ACCOUNTANT		
Street Address 1801 MARKET ST. 9 th FLOOR		City PHILADELPHIA	State PA	Zip 19103	
7. NAME AND ADDRESS OF EACH MANAGER OF THE LIMITED LIABILITY COMPANY, IF APPLICABLE FILL IN SPACES BEFORE USING ATTACHMENTS ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/> ANY MODIFICATIONS TO MANAGERS REQUIRES FILING OF AMENDMENT, R.I.G.L. 7-16-12 (a) (2) / 7-16-52					
Manager Name JAMES W. WOLITARSKY			Manager Name HOWARD B. SCHERER		
Street Address 1801 MARKET ST			Street Address 1801 MARKET ST		
City PHILA	State PA	Zip 19103	City PHILA	State PA	Zip 19103
Manager Name RICHARD A. THORNTON			Manager Name MARK D. KNOTT		
Street Address 1801 MARKET ST			Street Address 1801 MARKET ST		
City PHILA	State PA	Zip 19103	City PHILA	State PA	Zip 19103
8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER - Changes require filing of Form 642 - R.I.G.L. 7-16-11					
Agent Name CT CORPORATION SYSTEM			Address		
Address 10 WEYBOSSET STREET		City PROVIDENCE	Zip 02903		

This report must be signed in ink by an authorized person pursuant to R.I.G.L. 7-16-66.



* 1 0 8 3 4 9 *

File Date	10-14-03
Check No.	200174422
By:	
FOR SECRETARY OF STATE USE ONLY	

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Authorized Person Date

RICHARD A. THORNTON
Print or Type Name of Authorized Person

JANNEY MONTGOMERY SCOTT, LLC

BOARD OF MANAGERS

1801 Market Street
Philadelphia, PA 19103

<u>Last Name</u>	<u>First Name</u>	<u>Title</u>
Wolitorsky	James W.	President, CEO, & Manager
Sander	Rudolph C.	Co-Chairman of the Board
Wilde, Jr.	Norman T.	Co-Chairman of the Board
Cook, Jr.	Charles B.	Vice Chairman Emeritus
Foley	Michael C.	Executive Vice President & Manager
Bennett, Sr.	Robert	Senior Vice President & Manager
Chuff	John J.	Senior Vice President & Manager
Croonquist, Sr.	George T.	Senior Vice President & Manager
Cunningham	David J.	Senior Vice President & Manager
Eldredge, Jr.	Ashton G.	Senior Vice President & Manager
Hughes	John P.	Senior Vice President & Manager
Knott	Mark D.	Senior Vice President, Chief Administrative Officer, Asst. Treasurer, & Manager
Lombard, Jr.	Jerome F.	Senior Vice President & Manager
Schankel	Alan M.	Senior Vice President & Manager
Scherer	Howard B.	Senior Vice President, Secretary, & Manager
Sullivan	Charles J.	Senior Vice President & Manager
Thornton	Richard A.	Senior Vice President, CFO, Treasurer, & Manager

600 Dresher Road
Horsham, PA 19044

<u>Last Name</u>	<u>First Name</u>	<u>Title</u>
Brodie	Nancy S.	Manager
Chappell	Robert E.	Manager



STATE OF RHODE ISLAND
AND PROVIDENCE PLANTATIONS
Office of the Secretary of State

Edward S. Inman, III, Secretary of State
Corporations Division
100 North Main Street, Providence, RI 02903-1335
401.222.3040

LIMITED LIABILITY COMPANY ANNUAL REPORT FOR THE YEAR 2002

Filing Period: September 1 - November 1 • Filing Fee: \$50.00

(FORM MUST BE TYPED OR PRINTED IN BLACK)

1. ID No. 108349		2. Exact name of the limited liability company Janney Montgomery Scott LLC	
3. State of Formation DELAWARE		4. Brief description of the character of the business which is actually conducted in Rhode Island ENGAGES IN SECURITIES BROKERAGE BUSINESS	
5. Principal office address 1801 MARKET ST.		City PHILA	State PA
		Zip 19103	
6. MAILING ADDRESS OF LIMITED LIABILITY COMPANY AND NAME OR TITLE OF CONTACT PERSON:			
Contact Name MICHAEL HRICKO		Contact Title SR. ACCOUNTANT	
Street Address 1801 MARKET ST. 9 th FLOOR		City PHILA	State PA
		Zip 19103	
7. NAME AND ADDRESS OF EACH MANAGER OF THE LIMITED LIABILITY COMPANY, IF APPLICABLE FILL IN SPACES BEFORE USING ATTACHMENTS ("X" BOX FOR ATTACHMENT) <input checked="" type="checkbox"/> ANY MODIFICATIONS TO MANAGERS REQUIRES FILING OF AMENDMENT. R.I.G.L. 7-16-12 (a) (2) / 7-16-52			
Manager Name		• Manager Name	
Street Address		• Street Address	
City	State	Zip	City
Manager Name	• Manager Name		
Street Address	• Street Address		
City	State	Zip	City
8. RESIDENT AGENT IN RHODE ISLAND - DO NOT ALTER - Changes require filing of Form 642 - R.I.G.L. 7-16-11			
Agent Name CT CORPORATION SYSTEM		Address	
Address 10 WEYBOSSET STREET		City PROVIDENCE	Zip 02903

This report must be signed in ink by an authorized person pursuant to 7-16-66.



* 1 0 8 3 4 9 *

File Date 9.13.02
Check No. A00149147
By: [Signature]
FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Richard A. Thornton 9/6/02
Signature of Authorized Person Date
RICHARD A. THORNTON
Print or Type Name of Authorized Person

JANNEY MONTGOMERY SCOTT, LLC

BOARD OF MANAGERS

1801 Market Street
Philadelphia, PA 19103

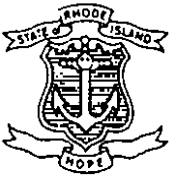
<u>Last Name</u>	<u>First Name</u>	<u>Title</u>
Wolitarsky	James W.	President, CEO, & Manager
Sander	Rudolph C.	Co-Chairman of the Board
Wilde, Jr.	Norman T.	Co-Chairman of the Board
Cook, Jr.	Charles B.	Vice Chairman Emeritus
Foley	Michael C.	Executive Vice President & Manager
Gray	John J.	Executive Vice President & Manager
Chuff	John J.	Senior Vice President & Manager
Croonquist, Sr.	George T.	Senior Vice President & Manager
Cunningham	David J.	Senior Vice President & Manager
Eldredge, Jr.	Ashton G.	Senior Vice President & Manager
Hughes	John P.	Senior Vice President & Manager
Lombard, Jr.	Jerome F.	Senior Vice President & Manager
Miller	Stephen P.	Senior Vice President & Manager
Purkiss	Richard A.	Senior Vice President & Manager
Schankel	Alan M.	Senior Vice President & Manager
Scherer	Howard B.	Senior Vice President, Secretary, & Manager
Sullivan	Charles J.	Senior Vice President & Manager
Thornton	Richard A.	Senior Vice President, CFO, Treasurer, & Manager

600 Dresher Road
Horsham, PA 19044

<u>Last Name</u>	<u>First Name</u>	<u>Title</u>
Brodie	Nancy S.	Manager
Chappell	Robert E.	Manager

Filing Fee: \$50.00

To be filed annually between
September 1 and November 1



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Corporations Division
100 North Main Street
Providence, Rhode Island 02903-1335

LIMITED LIABILITY COMPANY

ID Number 108349

Annual Report for the year 2001

1. The name of the limited liability company is:

JANNEY MONTGOMERY SCOTT LLC.

2. The address of the principal office of the limited liability company is:

1801 Market Street Philadelphia, PA 19103

3. The state or other jurisdiction under the laws of which it is formed is: Delaware

4. The name and address of its resident agent is: CT Corporation System

10 Weybosset Street Providence, RI 02903

5. The current mailing address of the limited liability company and the name or title of a person to whom communications may be directed are: 1801 Market Street Philadelphia, PA 19103

Attn: Mary Schnepf, Vice President

6. A brief statement of the character of the business in which the limited liability company is actually engaged in this state: Engages in Securities brokerage business

7. If the limited liability company has managers, list the name and address of each manager:

Name

Address

SEE ATTACHED

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Date: November 1, 2001

JANNEY MONTGOMERY SCOTT LLC

Exact Name of Limited Liability Company

By

[Signature]

Senior Vice President

Title

FILED

NOV 02 2001

BY

272839

Janney Montgomery Scott LLC
Board of Managers

LAST NAME

FIRST NAME

TITLE

1801 MARKET STREET, PHILADELPHIA, PA 19103

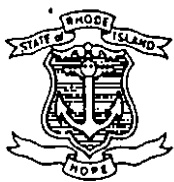
Sander	Rudolph C.	Co-Chairman of the Board
Wilde, Jr.	Norman T.	Co-Chairman of the Board
Wolitarisky	James W.	President, Chief Executive Officer & Manager
Cook, Jr.	Charles B.	Vice Chairman Emeritus
Foley	Michael C.	Executive Vice President & Manager
Gray	John J.	Executive Vice President & Manager
Brandreth, Sr.	William R.	Senior Vice President & Manager
Croonquist, Sr.	George T.	Senior Vice President & Manager
Cunningham	David J.	Senior Vice President & Manager
Eldredge, Jr.	Ashton G.	Senior Vice President & Manager
Lombard, Jr.	Jerome F.	Senior Vice President & Manager
Miller	Stephen P.	Senior Vice President & Manager
Purkiss	Richard A.	Senior Vice President & Manager
Schankel	Alan M.	Senior Vice President & Manager
Scherer	Howard B.	Senior Vice President, Secretary & Manager
Sullivan	Charles J.	Senior Vice President & Manager
Thornton	Richard A.	Senior Vice President, Chief Financial Officer, Treasurer & Manager

PENN MUTUAL LIFE INSURANCE CO., 600 DRESHER ROAD, HORSHAM, PA 19044

Brodie	Nancy S.	Manager
Chappell	Robert E.	Manager

Filing Fee: \$50.00

To be filed annually between
September 1 and November 1



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Corporations Division
100 North Main Street
Providence, Rhode Island 02903-1335

LIMITED LIABILITY COMPANY

ID Number 108349

Annual Report for the year 2000

1. The name of the limited liability company is:

JANNEY MONTGOMERY SCOTT LLC.

2. The address of the principal office of the limited liability company is:

1801 Market Street Philadelphia, PA 19103

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Attn: Mary Schnepf, Vice President

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7. If the limited liability company has managers, list the name and address of each manager:

Name

Address

SEE ATTACHED

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Date: November 1, 2001

JANNEY MONTGOMERY SCOTT LLC.

Exact Name of Limited Liability Company

By [Signature]

Senior Vice President

Title

FILED

NOV 02 2001

By [Signature]
272839

Janney Montgomery Scott LLC
Board of Managers

<u>LAST NAME</u>	<u>FIRST NAME</u>	<u>TITLE</u>
1801 MARKET STREET, PHILADELPHIA, PA 19103		
Sander	Rudolph C.	Co-Chairman of the Board
Wilde, Jr.	Norman T.	Co-Chairman of the Board
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Croonquist, Sr.	George T.	Senior Vice President & Manager
Cunningham	David J.	Senior Vice President & Manager
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Lombard, Jr.	Jerome F.	Senior Vice President & Manager
Miller	Stephen P.	Senior Vice President & Manager
Purkiss	Richard A.	Senior Vice President & Manager
Schankel	Alan M.	Senior Vice President & Manager
Scherer	Howard B.	Senior Vice President, Secretary & Manager
Sullivan	Charles J.	Senior Vice President & Manager
Thornton	Richard A.	Senior Vice President, Chief Financial Officer, Treasurer & Manager

PENN MUTUAL LIFE INSURANCE CO., 600 DRESHER ROAD, HORSHAM, PA 19044

Brodie	Nancy S.	Manager
Chappell	Robert E.	Manager