

Matthew A. Brown, Secretary of State Corporations Division 148 W. River Street, Providence, RI 02904-2615 401.222.3040

LIMITED LIABILITY COMPANY ANNUAL REPORT FOR THE YEAR 2005 Filing Period: September 1 - November 1 • Filing Fee: \$50.00

3. State of Formation DELAWARE 5. Principal office address 1801 MARKET STREE 6. MAILING ADDRESS Contact Name MICHAEL HRICKO Street Address 1801 MARKET STREE 7. NAME AND ADDRESS City Manager Name Street Address City Manager Name CT CORPORATION Staddress	ENGAGES IN SE ET S OF LIMITED LIAN SS OF EACH MANA FILL IN SPACE MODIFICATIONS TO N	SCURITIES BRO BILITY COMPA AGER OF THE I S BEFORE USING MANAGERS REQU	City PHILADELPHIA ANY AND NAME OR TITLE OF CONTROL Title City PHILADELPHIA City PHILADELPHIA LIMITED LIABILITY COMP ATTACHMENTS ("X" BOX FOR INCRES FILING OF AMENDMENT. R. Manager Name Street Address City	State PA State PA State PA ANY, IF APPLICATE ANTACHMENT)	Zip 19103 CABLE
Principal office address 1801 MARKET STREE 6. MAILING ADDRESS Contact Name 4I CHAEL HRICKO Greet Address 801 MARKET STREE 7. NAME AND ADDRESS ANY Janager Name Greet Address Sity Anager Name Treet Address Sity CRESIDENT AGENT IN Sent Name CT CORPORATION S ddress	State	BILITY COMPA	City PHILADELPHIA ANY AND NAME OR TITLE O Contact Title City PHILADELPHIA LIMITED LIABILITY COMP ATTACHMENTS ("X" BOX FO IRES FILING OF AMENDMENT. R. Manager Name Street Address Manager Name Street Address **City **Manager Name **Street Address **Street Address **Street Address **Street Address **Street Address **Street Address	State PA ANY, IF APPLIC OR ATTACHMENT) I.G.L 7-16-12 (a) (2)	Zip 19103
1801 MARKET STREE 6. MAILING ADDRESS Contact Name 41 CHAEL HRICKO Circel Address 801 MARKET STREE 7. NAME AND ADDRESS City Annager Name Circel Address City 9. RESIDENT AGENT IN Gent Name CT CORPORATION S ddress	State	Zip	PHILADELPHIA ANY AND NAME OR TITLE O Contact Title City PHILADELPHIA LIMITED LIABILITY COMP ATTACHMENTS ("X" BOX FO IRES FILING OF AMENDMENT. R. Manager Name 'Street Address 'City 'Manager Name 'Street Address 'Street Address 'Street Address	State PA ANY, IF APPLIC OR ATTACHMENT) I.G.L 7-16-12 (a) (2)	Zip 19103
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Manager Name Street Address City B. RESIDENT AGENT IN gent Name CT CORPORATION S Iddress	State	Zip	*Manager Name *Street Address	[
Manager Name Street Address City 8. RESIDENT AGENT IN gent Name CT CORPORATION S Address Chis report must be executed.			• Street Address	State	
B. RESIDENT AGENT IN gent Name CT CORPORATION S			• 	State	19:
B. RESIDENT AGENT IN gent Name CT CORPORATION S Address			City	State	17/5
CT CORPORATION S	RHODE ISLAND -DO				Zip
			Address 10 WEYBOSSET ST	<u>-</u>	
his report must be exec	·	 	City		Zip
his report must be exec			PROVIDENCE		02903 ~ ?
	cuted by an authorize	ed person pursu	ant to R.I.G.L. 7-16-66 (b).		75 AUG 25 AH 9: 25
*108349 FLLC 08/22/0	8 3 4 9 	T	Under penalty of perjuice this report, including and that all statements	any accompanying	ffirm that I have examined schedules and statements, are true and correct.
File Date FILE	D 2006	o	Signorula of Authorized	ne.	Date 8 24 06

Form 632 Rev. 12/05



... STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Corporations Divis 100 North Main Str Providence, RI 02903-15

Matthew A. Brown, Secretary of State

LIMITE	Drvien, se	cretary of State			100 North Main Sti
Filing Period	D LIABILITY C	OMPANY AND	17 T A == .	OR THE YEAR _	Providence, RI 02903-15
(FORM MUST BE	September 1 - November	r I Filing England	UAL REPORT F	OR TUR VR	401.222.36
1. ID No.	2 Event	CK)	50.00	OR THE YEAR _	2004
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3. State of Format.	Janney Montgom	IETY Scott LLC			
DELAWARE	, ,,,,,,,,,	Allon of the character of the b	usiness which is actually conducte	 -	
5 Principal office	ENGAGE	S IN SECURITIES BROKE	RAGE BUSINESS	d in Rhode Island	
1801	hadres		- OLOC BOSINESS		
6. MAILING AD	MARKET ST.	9th FLOOR	City		
Contact Name	DRESS OF LIMITED LIA	BILITY COMPANY AND	1 PHILADELP	HIA PA	Zip
MI	CHAEL HRICKO	A THE LANGE	PHILADELP NAME OR TITLE OF CON	TACT PERSON.	19103
Street Address	THE CHAICAD	 -	Contact Title	- a myoM;	i
1801	MARKET ST.	0 14	:	_	
7. NAME AND A	DDRESS OF THE	9th FLOOR	City	State	
	FILL MAN	AGER OF THE LIMITER	THILADELPH	IA PA	Zip
1	ANY MODIFICATIONS T	PACES BEFORE USING	PHILADELPH LIABILITY COMPANY, IF ATTACHMENTS ("X" RO	APPLICABLE	19102
Manager Name		O WANGERS REQUIRE	ES FILING OF AMENDMEN	APPLICABLE OX FOR ATTACHMENT) OX, R.I.G.L. 7-16-12 (a) (2)	
Stanza			Manager Name	1, R.J.G.L. 7-16-12 (a) (2)	7-16-52
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City			Street Address		
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Manager Name		ZIp	City		1
, same		·····	******	State	Zip
Street Address			Manager Name		
<u> </u>					•••••••••••
City			Street Address		
_	State	Zip			1
8. RESIDENT AGEN	T IN RHODE IS: 401-	1	City	State	
Agent Name	THOUS ISLAND .	DO NOT ALTER - Chang	CS require on	······································	2φ
CI CORPORATION SY	/A==		Gity Ges require filing of Form Address	n 642 - R.I.G.L. 7-16.11	1 11
4ddress	DIEM		1.	0-11	
10 WEYBOSSET STRE					1 11
SOOSE SIKE	<u>t</u>		City		
	- 		PROVIDENCE	Zip	
				02903	

This report must be signed in ink by an authorized person pursuant to R.I.G.L. 7-16-66.



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)aic9	17/04
No. 9	9728
	DA
FOR SECRETARY OF S	TATE USE ONLY

Under penalty of perjury. I declare and affirm that I have examined this report. including any accompanying schedules and statements, and that all statements,

RICHARD A. THORNTON Print or Type Name of Authorized Person

1st VP/CFO

JANNEY MONTGOMERY SCOTT, LLC BOARD OF MANAGERS

1801 Market Street Philadelphia, PA 19103

Last Name	First Name	Title
Wolitarsky	James W.	President, CEO, & Manager
Sander	Rudolph C.	Co-Chairman of the Board
Wilde, Jr.	Norman T.	Co-Chairman of the Board
Bennett, Sr.	Robert	Senior Vice President & Manager
Chuff	John J.	Senior Vice President & Manager
Croonquist, Sr.	George T.	Senior Vice President & Manager
Cunningham	David J.	Senior Vice President & Manager
Eldredge, Jr.	Ashton G.	Senior Vice President & Manager
Hughes	John P.	Senior Vice President & Manager
Knott	Mark D.	Senior Vice President, Chief Administrative Officer,
		Asst. Treasurer, & Manager
Lombard, Jr.	Jerome F.	Senior Vice President & Manager
Schankel	Alan M.	Senior Vice President & Manager
Scherer	Howard B.	Senior Vice President, Secretary, & Manager
Sullivan	Charles J.	Senior Vice President & Manager
Thornton	Richard A.	Senior Vice President, CFO, Treasurer, & Manager
		600 Dresher Road
		Horsham, PA 19044
Last Name	First Name	Title
Brodie	Nancy S.	Manager
Chappell	Robert E.	Manager
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FILED

SEP 1 7 2004

By NA



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS Office of the Secretary of State

Matthew A. Brown, Secretary of State

Corporations Division 100 North Main Street Providence, RI 02903-1335 401-222,3040

LIMITED LIABILITY COMPANY ANNUAL REPORT FOR THE YEAR _

2003

Fung Period: Se			Filing Fee: \$50.00			
1.1D.No 108349		name of the limited Itabi nney Montgomery S				
3. State of Formation		4. Brief description of th	e character of the business wh	hich is actually conducted in Rhode Isla	and	
DELAWARE			OMITIES BROKERAGE E	503ME33		
5. Principal office ad		KET ST.	9th FLOUR	PHILADELPHIA	State	19103
6. MAILING ADI	ORESS OF L	IMITED LIABILITY	COMPANY AND NAME	OR TITLE OF CONTACT PE	RSON:	,
Mic	HAEL	HRICKO		SR. ACCOUNTA	INT	
Street Address				City	State	Zip
1801 MA	RKET	ST. YE	FLOCR	PHILADELPHIA	PA	19103
Manager Name		FILL IN SPACES FICATIONS TO MAI	BEFORE USING ATTA NAGERS REQUIRES FI	CHMENTS ("X" BOX FOR A LING OF AMENDMENT, R.I.G Manager Name	i.L. 7-16-12 (:	1) (2) / 7-16-52
Street Address		TAICSKY	 	HOWARD B.	<u>Schere</u>	R
	1ARKET	ा १		Street Address 1801 MARK	ET ST	
City PHILA	****	State PA	19103	City PHILA	State	19103
Manager Name RICHARI	<u> </u>	HORNTON		Manager Name MARK D. K	W07T	•
Sirvei Address 801 A	1ARKET	TST		Sircel Address 1801 MARK	CET IT	
PHILA		State	I9103	PHILA	State	
8. RESIDENT AG	ENT IN RH	ODE ISLAND - DO	NOT ALTER - Changes	require filing of Form 642	- R.I.G.L. 7-1	6-11
CT CORPORATIO	ON SYSTEM					
Address				City		Zip
10 WEYBOSSET	STREET			PROVIDENCE		02903
				I TROTIDENCE		05203

This report must be signed in ink by an authorized person pursuant to R.I.G.L. 7-16-66.

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File Date	10-1	14-	03	3				
Check No.	$\mathcal{A}_{\mathcal{C}}$	00	/^	14	14.	26	2	
a				2)			

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements, contained herein are true and correct.

Signature of Authorized Person Date

RICHARD A. THORNTON

Print or Type Name of Authorized Person

JANNEY MONTGOMERY SCOTT, LLC BOARD OF MANAGERS

1801 Market Street Philadelphia, PA 19103

Last Name	First Name	Title
Wolitarsky	James W.	President, CEO, & Manager
Sander	Rudolph C.	Co-Chairman of the Board
Wilde, Jr.	Norman T.	Co-Chairman of the Board
Cook, Jr.	Charles B.	Vice Chairman Emeritus
Foley	Michael C.	Executive Vice President & Manager
Bennett, Sr.	Robert	Senior Vice President & Manager
Chuff	John J.	Senior Vice President & Manager
Croonquist, Sr.	George T.	Senior Vice President & Manager
Cunningham	David J.	Senior Vice President & Manager
Eldredge, Jr.	Ashton G.	Senior Vice President & Manager
Hughes	John P.	Senior Vice President & Manager
Knott	Mark D.	Senior Vice President, Chief Administrative Officer,
		Asst. Treasurer, & Manager
Lombard, Jr.	Jerome F.	Senior Vice President & Manager
Schankel	Alan M.	Senior Vice President & Manager
Scherer	Howard B.	Senior Vice President, Secretary, & Manager
Sullivan	Chartes J.	Senior Vice President & Manager
Thornton	Richard A.	Senior Vice President, CFO, Treasurer, & Manager
		600 Dresher Road
		Horsham, PA 19044
Last Name	First Name	Title
Brodie	Nancy S.	Manager
Chappell	Robert E.	Manager
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Edward S. Inman, III. Secretary of State Corporations Division 100 North Main Street, Providence, RI 02903-1335 401.222.3040

LIMITED LIABILITY COMPANY ANNUAL REPORT FOR THE YEAR 2002

Filing Period: September 1 - November 1 • Filing Fee: \$50.00 (FORM MUST BE TYPED OR PRINTED IN BLACK) I. ID No. 2. Exact name of the limited liability company 108349 Janney Montgomery Scott LLC 3. State of Formation 4. Brief description of the character of the business which is actually conducted in Rhode Island **ENGAGES IN SECURITIES BROKERAGE BUSINESS DELAWARE** 5. Principal office uddress State 1801 MARKET ST. PHILA 19103 PA 6. MAILING ADDRESS OF LIMITED LIABILITY COMPANY AND NAME OR TITLE OF CONTACT PERSON: Contact Name Contact Title MICHAEL HRICKO SR. ACCOUNTANT Street Address State 1801 PHILA 19103 MARKET PA 7. NAME AND ADDRESS OF EACH MANAGER OF THE LIMITED LIABILITY COMPANY, IF APPLICABLE FILL IN SPACES BEFORE USING ATTACHMENTS ("X" BOX FOR ATTACHMENT ANY MODIFICATIONS TO MANAGERS REQUIRES FILING OF AMENDMENT. R.I.G.L 7-16-12 (a) (2) / 7-16-52 Manager Name · Manager Name Street Address Street Address City State Zip City State Ziр Manager Name Manager Name Sireei Address Street Address City State Zip City State Zip 8. RESIDENT AGENT IN RHODE ISLAND -DO NOT ALTER- Changes require filing of Form 642 - R.I.G.L. 7-16-11 Igent Name Address **CT CORPORATION SYSTEM** Address City Zip 10 WEYBOSSET STREET **PROVIDENCE** 02903

This report must be signed in ink by an authorized person pursuant to 7-16-66.



9.1'3.02

File Date

Check No. 00149147

By:

FOR SECRETARY OF STATE USE ONLY

Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.

Signature of Authorized Person Date

Print or Type Name of Authorized Person

Form 632 Rev. 6/02

JANNEY MONTGOMERY SCOTT, LLC BOARD OF MANAGERS

1801 Market Street Philadelphia, PA 19103

<u>Last Name</u>	First Name	Title
Wolitarsky	James W.	President, CEO, & Manager
Sander	Rudolph C.	Co-Chairman of the Board
Wilde, Jr.	Norman T.	Co-Chairman of the Board
Cook, Jr.	Charles B.	Vice Chairman Emeritus
Foley	Michael C.	Executive Vice President & Manager
Gray	John J.	Executive Vice President & Manager
Chuff	John J.	Senior Vice President & Manager
Croonquist, Sr.	George T.	Senior Vice President & Manager
Cunningham	David J.	Senior Vice President & Manager
Eldredge, Jr.	Ashton G.	Senior Vice President & Manager
Hughes	John P.	Senior Vice President & Manager
Lombard, Jr.	Jerome F.	Senior Vice President & Manager
Miller	Stephen P.	Senior Vice President & Manager
Purkiss	Richard A.	Senior Vice President & Manager
Schankel	Alan M.	Senior Vice President & Manager
Scherer	Howard B.	Senior Vice President, Secretary, & Manager
Sullivan	Charles J.	Senior Vice President & Manager
Thornton	Richard A.	Senior Vice President, CFO, Treasurer, & Manager

600 Dresher Road Horsham, PA 19044

Last Name	First Name	Title
Brodie	Nancy S.	Manager
Chappell	Robert E.	Manager



Revised: 01/99

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Corporations Division
100 North Main Street
Providence, Rhode Island 02903-1335

LIMITED LIABILITY COMPANY

ID	Number 108349 Annual Report for the year 2001
1.	The name of the limited liability company is:
	JANNEY MONTGOMERY SCOTT LLC.
2.	The address of the principal office of the limited liability company is:
	1801 Market Street Philadelphia, PA 19103
3.	The state or other jurisdiction under the laws of which it is formed is: Delaware
4.	The name and address of its resident agent is:CT_Corporation_System
	10 Weybosset Street Providence, RI 02903
5.	The current mailing address of the limited liability company and the name or title of a person to who
	communications may be directed are: 1801 Market Street Philadelphia, PA 19103
	Attn: Mary Schnepp, Vice President
6	A brief statement of the character of the business in which the limited liability company is actually engaged in the
	state: Engages in Securities brokerage business
7	If the limited liability company has managers, list the name and address of each manager:
•	
	Name Address
	SEE ATTACHED
	Under penalty of perjury, I declare and affirm that I have examined the report, including any accompanying schedules and statements, a that all statements contained herein are true and correct.
Da	te:November 1, 2001JANNEY MONTGOMERY SCOTT LLC
	FILED By Sand Substitute Puls dent
For	Title

Janney Montgomery Scott LLC Board of Managers

<u>LAST NAME</u> <u>FIRST NAME</u> <u>TITLE</u>

1801 MARKET STREET, PHILADELPHIA, PA 19103

Sander	Rudolph C.	Co-Chairman of the Board
Wilde, Jr.	Norman T.	Co-Chairman of the Board
Wolitarsky	James W.	President, Chief Executive Officer & Manager
Cook, Jr.	Charles B.	Vice Chairman Emeritus
Foley	Michael C.	Executive Vice President & Manager
Gray	John J.	Executive Vice President & Manager
Brandreth, Sr.	William R.	Senior Vice President & Manager
Croonquist, Sr.	George T.	Senior Vice President & Manager
Cunningham	David J.	Senior Vice President & Manager
Eldredge, Jr.	Ashton G.	Senior Vice President & Manager
Lombard, Jr.	Jerome F.	Senior Vice President & Manager
Miller	Stephen P.	Senior Vice President & Manager
Purkiss	Richard A.	Senior Vice President & Manager
Schankel	Alan M.	Senior Vice President & Manager
Scherer	Howard B.	Senior Vice President, Secretary & Manager
Sullivan	Charles J.	Senior Vice President & Manager
Thornton	Richard A.	Senior Vice President, Chief Financial Officer, Treasurer & Manager

PENN MUTUAL LIFE INSURANCE CO., 600 DRESHER ROAD, HORSHAM, PA 19044

1

Brodie	Nancy S.	Manager
Chappell	Robert E.	Manager

November 1, 2001

Filing Fee: \$50.00



Form No. 632 Revised 01/99

STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Corporations Division
100 North Main Street
Providence, Rhode Island 02903-1335

LIMITED LIABILITY COMPANY

ID N	lumber 108349	Annual Report for the year 2000
1.	The name of the limited liability company is:	
	JANNEY MONTGOMERY SCOTT L	LC·.
2.	The address of the principal office of the limited liability company is:	
	1801 Market Street Phil	adelphia, PA 19103
3.	The state or other jurisdiction under the laws of which it is formed is:	
4.	The name and address of its resident agent is:CT_Corporation_System	
	10 Weybosset Street Pro	vidence, RI 02903
5.	ne current mailing address of the limited liability company and the name or title of a person to who	
(communications may be directed are:	1801 Market Street Philadelphia PA 19103
	Attn: Mary Schnepp, Vic	e President
6	A brief statement of the character of the business in which the limited liability company is actually engaged in this	
:	state: Engages in Securities b	rokerage business
7.	If the limited liability company has manage	rs, list the name and address of each manager:
	Name	Address
		SEE ATTACHED
		Under penalty of perjury, I declare and affirm that I have examined this report, including any accompanying schedules and statements, and that all statements contained herein are true and correct.
Date	November 1, 2001	JANNEY MONTGOMERY SCOTT LLC.
F	2 2001	Exact Name of Limited Liability Company
NO,	102 2000	By Devel
84	A 277839	Somer Vice President
8y.	02 2001 2001 2001 2001	By Direct Soner Via President

Janney Montgomery Scott LLC Board of Managers

<u>LAST NAME</u> <u>FIRST NAME</u> <u>TITLE</u>

1801 MARKET STREET, PHILADELPHIA, PA 19103

Sander Rudolph C. Co-Chairman of the Board Wilde, Jr. Norman T. Co-Chairman of the Board

Wolitarsky James W. President, Chief Executive Officer & Manager

Cook, Jr. Charles B. Vice Chairman Emeritus

Folcy Michael C. Executive Vice President & Manager Gray John J. Executive Vice President & Manager Brandreth, Sr. William R. Senior Vice President & Manager Senior Vice President & Manager Croonquist, Sr. George T. Cunningham David J. Senior Vice President & Manager Eldredge, Jr. Ashton G. Senior Vice President & Manager Lombard, Jr. Jerome F. Senior Vice President & Manager Miller Stephen P. Senior Vice President & Manager **Purkiss** Richard A. Senior Vice President & Manager Schankel Alan M. Senior Vice President & Manager

Scherer Howard B. Senior Vice President, Secretary & Manager

Sullivan Charles J. Senior Vice President & Manager

Thornton Richard A. Senior Vice President, Chief Financial Officer, Treasurer & Manager

PENN MUTUAL LIFE INSURANCE CO., 600 DRESHER ROAD, HORSHAM, PA 19044

Brodie Nancy S. Manager Chappell Robert E. Manager

November 1, 2001 1