

Filing Fee: \$50.00

(See footnote below)

ID Number: 108349



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Office of the Secretary of State
Corporations Division
148 W. River Street
Providence, Rhode Island 02904-2615

LIMITED LIABILITY COMPANY

AMENDMENT TO APPLICATION FOR REGISTRATION

Pursuant to the provisions of Section 7-16-52 of the General Laws of Rhode Island, 1956, as amended, the undersigned foreign limited liability company hereby (**check one box only**) ☐ corrects **or** ☒ amends its Application for a Certificate of Registration to transact business in the state of Rhode Island, and for that purpose submits the following statement:

1. The name of the limited liability company is:

Janney Montgomery Scott LLC

2. The statements in the application for registration were inaccurate when made or a change has occurred as follows:

Change of Structure from Member Managed to Manager Managed

SEE ATTACHED LIST

Under penalty of perjury, I declare and affirm that I have examined this Amendment to Application for Registration, including any accompanying attachments, and that all statements contained herein are true and correct.

Date: 4/10/07

JANNEY MONTGOMERY SCOTT LLC

Print Exact Name of Limited Liability Company Making Application

By

[Signature]

Signature of authorized person

Filing fee footnote

If the purpose of this amendment is solely to indicate a change in managers pursuant to Section 7-16-52, the filing fee shall be \$10.00.

FILED

MAY 10 2007

By [Signature]

**JANNEY MONTGOMERY SCOTT LLC
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